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de las  
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para la  
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y la  
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**COMMISSION ON GENETIC RESOURCES FOR FOOD AND AGRICULTURE  
ACTING AS INTERIM COMMITTEE FOR THE INTERNATIONAL TREATY ON  
PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE**

**Open-Ended Working Group on the Rules of Procedure and the Financial Rules of the  
Governing Body, Compliance, and the Funding Strategy**

Rome, 14 – 17 December 2005

**DEVELOPMENT OF THE FUNDING STRATEGY FOR THE INTERNATIONAL  
TREATY ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE**

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For reasons of economy, this document is produced in a limited number of copies. Delegates and observers are kindly requested to bring it to the meetings and to refrain from asking for additional copies, unless strictly indispensable.  
The documents for this meeting are available on Internet at [www.fao.org/ag/cgrfa/ico1.htm](http://www.fao.org/ag/cgrfa/ico1.htm).



## I. INTRODUCTION

1. The International Treaty on Plant Genetic Resources for Food and Agriculture provides that the Governing Body will “*adopt, at its first session, and periodically review the funding strategy for the implementation of this Treaty, in accordance with the provisions of Article 18*”.<sup>1</sup>
2. At the Second Meeting of the Interim Committee for the Treaty, Members considered the document, *Preparation for Consideration by the Governing Body of the Funding Strategy for the Treaty*.<sup>2</sup> This document contains a detailed analysis of the Funding Strategy and Members are encouraged to review it again for background information.
3. In discussing this document, the Interim Committee “*noted the need for further analyses of the issues relating to the funding strategy, and requested the Secretariat to conduct a study on the nature, criteria and possible contents of the Funding Strategy for the International Treaty. The Interim Committee further requested that, in the process of conducting the analysis, the Secretariat consult Members, receive and compile written comments from Members on their views on all issues related to the Funding Strategy, and place these on the Commission’s website.*”<sup>3</sup> In response to this request, the Secretariat has done two things. First, it prepared and distributed a questionnaire to Members, the results of which are analysed in the document *Compilation and Analysis of Governments’ Views on the Funding Strategy of the Governing Body of the International Treaty on Plant Genetic Resources for Food and Agriculture*.<sup>4</sup> The views of individual Members can be seen at <http://www.fao.org/ag/cgrfa/fs.htm>. Second, three further documents have been prepared to help consideration of the Funding Strategy by Members: *Facilitating Flows of Resources Using the Facilitating Mechanism for the Implementation of the Global Plan of Action*<sup>5</sup>; *Report on the Types of Funding and Assistance and Institutions with Relevant Mandates to the Funding Strategy of the International Treaty on Plant Genetic Resources for Food and Agriculture*,<sup>6</sup> and *Options for Non-monetary Benefit-sharing: An Inventory*.<sup>7</sup>
4. Also, in considering the document, *Preparation for Consideration by the Governing Body of the Funding Strategy for the Treaty*, the Interim Committee expressed a range of views “*about the issues and priorities to be considered in the Funding Strategy, including modalities for the mobilization and utilization of resources. Some were of the view that the Funding Strategy should include a proposal to establish a Trust Account under the FAO Financial Regulations, as well as support the implementation of the Global Plan of Action. Others noted the need for clarification on a number of issues, including in regard to Article 18.4 of the International Treaty and the financial resources available to the Treaty; the status and volume of national, bilateral, regional and multilateral resources; the availability of predictable and agreed resources; and the predictability of monetary benefits resulting from Article 13.2d of the International Treaty; the availability of predictable and agreed resources and the estimation of target funds achievable in the medium and long-term, and strategies to mobilize them; and estimates of the target funding*

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<sup>1</sup> Article 19.3c.

<sup>2</sup> Document CGRFA/MIC-2/04/4.

<sup>3</sup> Document CGRFA/MIC-2/04/REP, Report of the Second Meeting of the Commission on Genetic Resources for Food and Agriculture acting as Interim Committee for the International Treaty on Plant Genetic Resources for Food and Agriculture, Rome, Italy, 15–19 November 2004, paragraph 17.

<sup>4</sup> Document CGRFA/IC/OWG-1/05/6.

<sup>5</sup> Document CGRFA/IC/OWG-1/05/Inf.3.

<sup>6</sup> Background Study Paper No. 29.

<sup>7</sup> Background Study Paper No. 30.

*required to cover the activities proposed in the International Treaty, as well as the operational costs.*<sup>8</sup>

5. The present document seeks to further analyze the Funding Strategy in the light of the discussion held in the Second Meeting of the Interim Committee for the Treaty and of the results of the questionnaire. Also, in line with the decision of the Interim Committee, *“that the draft Funding Strategy should be forwarded to an Open-ended Working Group, to be convened subject to the availability of extra-budgetary funds, [...], prior to being submitted to the Governing Body, for consideration at its first session”*, a draft Funding Strategy is annexed to this document, for the consideration of the Open-ended Working Group.

## II. THE FUNDING STRATEGY AND ACTIONS FOR ITS IMPLEMENTATION

6. While Article 18 of the Treaty identifies the objectives and a number of elements that might be contained in the Funding Strategy, it does not define the form that the Funding Strategy should take. However, the Funding Strategy is clearly a broad instrument covering resources both under the control and not under the control of the Governing Body. In the light of this, the Funding Strategy might best be developed as a framework of principles, policies and information that together create a basis for mobilizing and utilizing resources (both those under the control and those not under the control of the Governing Body) for the implementation of the International Treaty in a transparent, fair and equitable manner. Such a framework could be accompanied by a series of agreed actions necessary for the implementation of the Funding Strategy. This possible approach to the Funding Strategy is discussed below.

### *Form and Content of the Funding Strategy*

7. The Funding Strategy might take the form of a document for adoption by the Governing Body, laying out the objectives, aims, and priorities of the Funding Strategy and of the provisions necessary for its implementation (the funding target, management of resources under the control of the Governing Body, and mobilization of resources not under the control of the Governing Body). It could also include provisions on monitoring and on its periodic review. The following paragraphs consider what might be included in the Funding Strategy under these headings.

#### Objectives

8. Article 18.2 of the Treaty describes the objectives of the Funding Strategy. This might be transcribed into the Funding Strategy document itself.

#### Aims

9. There might be two main aims of the Funding Strategy:

i) *To ensure that adequate resources are available for the implementation of the Treaty.*

There are five classes of such resources identified in the Treaty: flows of relevant financial resources that developed country Contracting Parties provide and that developing country Parties avail themselves of;<sup>9</sup> resources of relevant international mechanisms, funds and bodies that are used for activities relevant to the priorities

<sup>8</sup> Document CGRFA/MIC-2/04/REP, Report of the Second Meeting of the Commission on Genetic Resources for Food and Agriculture acting as Interim Committee for the International Treaty on Plant Genetic Resources for Food and Agriculture, Rome, Italy, 15–19 November 2004, paragraph 18.

<sup>9</sup> Article 18.4c.

established by the Governing Body;<sup>10</sup> national resources for national activities<sup>11</sup>; monetary benefits from commercialization in the context of the Multilateral System;<sup>12</sup> voluntary contributions.<sup>13</sup> The first three classes are made up of resources over which the Governing Body will have no direct control, while the use of resources resulting from the last two classes would be subject to the decision of the Governing Body.

ii) *To ensure the transparent, effective and efficient utilization of resources.*

Transparent, effective and efficient use of resources under the Funding Strategy is essential for the achievement of the objectives of the International Treaty. To monitor this, reporting arrangements could be established in relation to those resources under the control of the Governing Body. For resources not under the control of the Governing Body, priorities could be developed that the governing bodies that control such resources might wish to support. In addition, memoranda of understanding could be developed with such bodies regarding their role in the implementation of the Funding Strategy, which could include any agreed reporting arrangements.

### Priorities for Funding

10. The Funding Strategy is for the implementation of the Treaty. The Treaty covers all important aspects of the conservation and sustainable use of plant genetic resources for food and agriculture. It provides a framework for the development of policy at the national, sub-regional and regional levels and for international cooperation. However, given the breadth of its coverage, the Funding Strategy will not be capable of responding to all needs at the same time, particularly in its initial stages. In addition, many of the potential sources of financial resources under the Funding Strategy operate in the context of mandates that are not specifically focused on plant genetic resources for food and agriculture, but which nevertheless have some common ground with the Treaty.

11. In the light of the above, it will be necessary to establish priorities for activities that might be supported under the Funding Strategy. These priorities would form the basis for the use of resources that are under the control of the Governing Body. They could also be applied by those that control relevant international mechanisms, funds and bodies and other bilateral, regional and multilateral channels, when allocating resources, within their own mandates, for activities relevant to the implementation of the Treaty. It would be important to ensure that the priorities were kept current, through revision over time, in the light of progress towards the achievement of priorities and in the light of experience.

12. The Open-ended Working Group will wish to take into account that the Treaty itself gives a number of indications of priorities that might be adopted. These include:

- a. *“The Contracting Parties agree that benefits arising from the use of plant genetic resources for food and agriculture that are shared under the Multilateral System should flow primarily, directly and indirectly, to farmers in all countries, especially in developing countries, and countries with economies in transition, who conserve and sustainably utilize plant genetic resources for food and agriculture.”<sup>14</sup>*
- b. *“The Governing Body shall, at its first meeting, consider relevant policy and criteria for specific assistance under the agreed Funding Strategy established under Article 18, for the conservation of plant genetic resources for food and agriculture in developing countries,*

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<sup>10</sup> Article 18.4a.

<sup>11</sup> Article 18.4d.

<sup>12</sup> Articles 13.2d(ii) and 18.4e.

<sup>13</sup> Articles 13.6 and 18.4f.

<sup>14</sup> Article 13.3.

*and countries with economies in transition whose contribution to the diversity of plant genetic resources for food and agriculture in the Multilateral System is significant and/or which have special needs”<sup>15</sup>*

- c. *“The Contracting Parties agree that priority will be given to the implementation of agreed plans and programmes for farmers in developing countries, especially in least developed countries, and in countries with economies in transition, who conserve and sustainably utilize plant genetic resources for food and agriculture.”<sup>16</sup>*

13. There are a number of questions that the Open-ended Working Group will wish to address when considering the issue of priorities. For example:

- a. How should the *Global Plan of Action* be taken into account?
- b. Should priorities be established for the short- and/or the long-term and how often should they be reviewed?
- c. Should particular activities be prioritized (e.g., specific aspects of conservation and sustainable use of plant genetic resources for food and agriculture, international networks, the global information system, etc.) and, if so, how and over what timescale?
- d. In the case of resources deriving from the Multilateral System, how to reflect the Treaty’s provision that they should flow primarily, directly and indirectly, to farmers in all countries, especially in developing countries, and countries with economies in transition, who conserve and sustainably utilize plant genetic resources for food and agriculture?
- e. Should particular crops be prioritized and, if so, how and over what timescale?
- f. What information is needed in order to develop appropriate priorities (e.g. from the monitoring system for the implementation of the *Global Plan of Action* or the proposed *Facilitating Mechanism for the Implementation of the Global Plan of Action*)?

#### Target for Funding

14. Article 18.3 of the Treaty states that *“In order to mobilize funding for priority activities, plans and programmes, in particular in developing countries and countries with economies in transition, and taking the Global Plan of Action into account, the Governing Body shall periodically establish a target for such funding.”* Countries will need to determine what is meant by *“a target for such funding”*. On the one hand, it could relate to the setting of a financial target. On this basis it would be necessary to decide what the target should cover (for example, a global figure, comprising resources provided by relevant international mechanisms, funds and bodies, national resources for national activities, monetary benefits from commercialization in the context of the Multilateral System, and voluntary contributions; or separate figures for different sources of funding; or some other approach); what the target should be; and the period for which the target should be set. On the other hand, the target in Article 18.3 could, for example, relate to the establishment of a list of objectives, and the projects and programmes need for their achievement, to which funding would be matched and against which the Governing Body could judge the success in addressing the priorities it has established for the Funding Strategy. In both cases the Open-ended Working Group may consider that there should be a link between the target for funding and the priorities discussed in the preceding paragraphs. There may be other interpretations.

15. The guidance of the Open-ended Working Group is requested on this.

#### Resources Under the Control of the Governing Body

16. Resources under the control of the Governing Body will include those arising from the monetary benefit-sharing arrangements under the Multilateral System (Article 13.2d(ii)) and

<sup>15</sup> Article 13.4.

<sup>16</sup> Article 18.5.

voluntary contributions (Articles 13.6 and 18.4f). While, in the short term, it is unlikely that significant resources will become available from the benefit-sharing arrangements of the Multilateral System, there may be better potential for voluntary contributions. In order to maximize that potential, and in line with Articles 13.6 and 18.4f of the Treaty, the Governing Body may consider it appropriate to include, within the Funding Strategy, the modalities of a strategy to promote such voluntary contributions.

17. In order to hold moneys for the Funding Strategy, the Governing will need to request FAO to establish a Trust Account, in accordance with Article 19.3f of the Treaty, or make other arrangements.

18. A number of elements will be needed to make this part of the Funding Strategy operative. In particular, certain criteria and operational procedures will need to be adopted to ensure the transparent, fair and equitable use of resources that are under the control of the Governing Body.

19. **Eligibility criteria** would need to be established that proposals would have to meet in order to be considered for funding. One such criterion could be that a proposal must fall within the priorities identified by the Governing Body (see paragraphs 10 – 13 above). A range of other criteria might be developed, including in relation to:

- a. Who has the right to make proposals (e.g. Regional organizations; Contracting Party Governments; national, regional or international institutions; others?), including such matters as the status of the applicant and the applicant's ability and capacity to utilize an award effectively.
- b. The implementation of Article 13.3 of the Treaty, which requires that benefits arising from the Multilateral System, "*should flow primarily, directly and indirectly, to farmers in all countries, especially in developing countries, and countries with economies in transition, who conserve and sustainably utilize plant genetic resources for food and agriculture*".
- c. The size of projects eligible for funding.
- d. A minimum and/or maximum amount of funding to be made available.
- e. Arrangements, where appropriate, for possible co-funding (e.g.. from national resources; other international funding bodies, etc.).
- f. The likely impact of proposals at the farmer, national, regional or international levels.

20. It would be necessary to establish procedures for the selection of proposals for funding. In particular, who would make the selection (e.g. a permanent Technical Advisory Committee established by the Governing Body, (a proposal to establish such a committee will be considered by the First Session of the Governing Body); a specific panel of experts nominated by the Governing Body, or an existing organization managing such processes), and how often would the selection body meet to allocate funds? In addition to judging proposals against the eligibility criteria, a further range of **selection criteria** might be needed to enable selection to take place. Such criteria may need to distinguish between the use of resources derived from the Multilateral System,<sup>17</sup> and the use of other resources under the control of the Governing Body. The advice of the Open-ended Working Group is sought on this.

21. Successful projects would need to be covered by a project agreement, which would set out the terms and conditions under which funding was provided.

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<sup>17</sup> Article 13.4 suggests that special criteria might be needed, at least in part, for the use of resources derived from the Multilateral System. It states "*The Governing Body shall, at its first meeting, consider relevant policy and criteria for specific assistance under the agreed funding strategy established under Article 18 for the conservation of plant genetic resources for food and agriculture in developing countries, and countries with economies in transition whose contribution to the diversity of plant genetic resources for food and agriculture in the Multilateral System is significant and/or which have special needs.*"

22. In the light of its decisions, the Governing Body may wish to request the Secretariat to propose comprehensive procedures for the whole project cycle, for moneys under its direct control, including model project agreements and related procedures, in order to be able to disburse moneys from the Treaty's trust fund.

#### Resources Not Under the Control of the Governing Body

23. The key actors in this, apart from the relevant international organizations themselves, will be the Contracting Parties to the Treaty who, in accordance with Article 18.4f, "*shall take the necessary and appropriate measures within the Governing Bodies of relevant international mechanisms, funds and bodies to ensure due priority and attention to the effective allocation of predictable and agreed resources for the implementation of plans and programmes under this Treaty*". The Governing Body may wish to approach this through requesting Contracting Parties to report on their actions in this regard, and by requesting relevant organizations to provide regular information on the projects and programmes they support.

24. The development of priorities in accordance with paragraphs 10 to 13 above, together with the possible conclusion of memoranda of understanding with relevant funding bodies, could help such bodies when allocating resources in support of the implementation of the International Treaty, within the context of their own mandates. To maximize the potential of these resources in the implementation of the Treaty, it will be important that information about the mandates, policies, eligibility criteria, and procedures of relevant organizations is made widely known, particularly to potential recipients of such support. This information could be provided in the context of the Funding Strategy and could include such information as that provided in the document, *Report on the Types of Funding and Assistance and Institutions with Relevant Mandates to the Funding Strategy of the International Treaty on Plant Genetic Resources for Food and Agriculture*.<sup>18</sup>

25. The Global Crop Diversity Trust is a major element of the Funding Strategy in relation to *ex situ* conservation of plant genetic resources for food and agriculture. There may be scope for other such initiatives to be developed. For example, consortia of donors, both public and private, and providers of services could be established to address particular priorities of the Funding Strategy, and report on developments to the Governing Body. Such initiatives could cover issues such as information exchange, technology transfer, training and other aspects of capacity building. The Open-ended Working Group may wish to consider how such initiatives might be encouraged and what recommendations it might make to the Governing Body in this respect.

#### Monitoring

26. In order to monitor the achievement of the objectives of the Funding Strategy and the realization of the priorities identified, a range of information will be required. Such information would also provide the basis for future decisions the Governing Body may wish to take on the Funding Strategy, including on the development of priorities. Information would be needed on such issues as:

- a. The total resources committed to actions relevant to the implementation of the Treaty.
- b. The projects being approved.
- c. Evaluation of the results of projects funded.
- d. Problems identified.

27. A system of reporting could be developed, including a framework for the submission of reports. Reports could be provided by Contracting Parties both on support of national activities

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<sup>18</sup> Background Study Paper No. 29.



and on bilateral assistance provided, by international funding institutions as to the relevant projects and programmes they support, and on the use of resources under the control of the Governing Body. The Secretariat might be requested to compile and analyze such information for the Governing Body. The results of the monitoring exercise could be reviewed by a Technical Advisory Committee before submission to the Governing Body, should the Governing Body decide to establish such a committee.

#### Review

28. In addition to regular monitoring of the Funding Strategy, the Governing Body may wish to undertake a more fundamental review at regular intervals, perhaps at every fifth session.

#### ***Actions Necessary for the Implementation of the Funding Strategy***

29. In order to ensure the implementation of the Funding Strategy, a range of actions would need to be undertaken by the Governing Body and Contracting Parties. The Governing Body will wish to consider what those actions might be. Such actions might include:

- a. Bringing the Funding Strategy to the attention of relevant international mechanisms, funds and bodies; inviting them to support the Funding Strategy and to provide information on their mandates, policies, eligibility criteria and procedures of relevance to the Funding Strategy; and inviting them to enter into memoranda of understanding on their role in the implementation of the Treaty.
- b. Making a particular request to the Convention on Biological Diversity to contribute to the implementation of the International Treaty through its own policies and, in particular, to review its guidance to the Global Environment Facility in the light of the contribution it could make to the Funding Strategy.
- c. Promoting the provision of voluntary contributions to the Funding Strategy.
- d. Promoting the development of initiatives to support the implementation of specific aspects of the International Treaty.
- e. Establishing cooperation with the Commission on Genetic Resources for Food and Agriculture and with the FAO in relation to the contribution of the *Global Plan of Action*, its *Facilitating Mechanism* and the other supporting components of the Treaty to the realization of the Funding Strategy, and in relation to monitoring the implementation of the *Global Plan of Action*.
- f. Establishing all the necessary mechanisms to support the development and implementation of the Funding Strategy, including the collection of adequate information to ensure transparency of operation and to enable monitoring.
- g. Requesting the Secretariat to draft the necessary administrative rules and procedures, project agreements, *etc.*, for the practical implementation of the Funding Strategy

### **III. ADOPTION OF THE FUNDING STRATEGY**

30. The Funding Strategy and the actions necessary for its implementation could be adopted by the Governing Body through a resolution. A draft resolution to this effect is at the Annex to this document.

31. The draft Funding Strategy is appended to the draft resolution, in the form of a framework that establishes its principle elements, with the details contained in annexes. This approach could facilitate adoption of the Funding Strategy by the First Session of the Governing Body. Should it be unable to negotiate all the annexes at that session, these could be developed for adoption at its Second Session. Placing the detail in annexes would also facilitate future revision of the Funding Strategy, particularly when such revision affected only one or a small number of annexes.

#### IV. GUIDANCE SOUGHT

32. The advice of the Open-ended Working Group is sought on whether it agrees with the proposed approach on the development of the Funding Strategy. If so, the Open-ended Working Group is asked to:
- a. Advise on how the Funding Strategy should be developed so that it can be presented to the First Session of the Governing Body.
  - b. Consider whether or not there might be a three-stage process involving 1) possible adoption of the Funding Strategy by the First Session of the Governing Body; 2) provision of guidance regarding the development of the annexes, either through consideration by a subsidiary body, or requesting the Secretariat to prepare the necessary documents for its next session; and 3) adoption of the outstanding annexes by the Second Session of the Governing Body.
  - c. Review and revise, as appropriate, the draft resolution and draft Funding Strategy in the Annex.
  - d. Indicate what further work the Secretariat should undertake in preparing the draft Funding Strategy for consideration by the Governing Body at its First Session.
33. If the Open-ended Working Group does not consider the proposed approach to be appropriate, guidance is sought on what form the Funding Strategy should take.
34. A long list of possible actions the Secretariat might undertake in order to further develop the draft Funding Strategy, and additional studies it might prepare, were suggested by countries in their responses to the questionnaire circulated under cover of FAO Circular State letter G/AGD-7 of 21 February 2005. These are summarized in Paragraph 9 of Document, Compilation and *Analysis of Governments' Views on the Funding Strategy of the Governing Body of the International Treaty on Plant Genetic Resources for Food and Agriculture*.<sup>19</sup> Taking into account the limited time available, guidance is sought on which actions and studies the Secretariat should undertake prior to the First Session of the Governing Body.

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<sup>19</sup> CGRFA/IC/OWG-1/05/6.

**Resolution \*/2006 of the Governing Body****Adoption of the Funding Strategy for the Implementation of the International Treaty on Plant Genetic Resources for Food and Agriculture****The Governing Body,**

**Recalling** that under Article 18.1 of the Treaty “*The Contracting Parties undertake to implement a funding strategy for the implementation of this Treaty*” in accordance with the provisions of Article 18.

**Recognizing** that an effective Funding Strategy is critical to the implementation of the Treaty.

**Noting** that the Funding Strategy should enhance the availability, transparency, efficiency and effectiveness of the provision of financial resources to implement activities under the Treaty.<sup>20</sup>

**Noting** that the Funding Strategy should seek to attract financial resources from all possible sources, including from:

- Relevant international mechanisms, funds and bodies.<sup>21</sup>
- Developed country Contracting Parties, for the resources that they provide and that Contracting Parties that are developing countries or countries with economies in transition avail themselves of.<sup>22</sup>
- Contracting Parties, for national activities for the conservation and sustainable use of plant genetic resources for food and agriculture, within their national capabilities and financial resources.<sup>23</sup>
- The sharing of benefits derived from the commercialization of plant genetic resources for food and agriculture, in accordance with Article 13.2d(ii) of the Treaty.<sup>24</sup>
- Voluntary contributions provided by Contracting Parties, the private sector, non-governmental organizations and other sources.<sup>25</sup>

**Recognizing** that the extent to which Contracting Parties that are developing countries and Contracting Parties with economies in transition will effectively implement their commitments under the Treaty will depend on the effective allocation, particularly by developed country Parties, of the resources referred to in Article 18 of the Treaty.<sup>26</sup>

**Recognizing** the importance of cooperation with relevant international mechanisms, funds and bodies to the successful implementation of the Funding Strategy.

**Recalling** that, under Article 18.4a of the Treaty, “*Contracting Parties shall take the necessary and appropriate measures within the Governing Bodies of relevant international mechanisms, funds and bodies to ensure due priority and attention to the effective allocation of predictable and agreed resources for the implementation of plans and programmes under this Treaty.*”

**Noting** that the Global Crop Diversity Trust will play a major role in the implementation of the Funding Strategy.

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<sup>20</sup> Article 18.2.

<sup>21</sup> Article 18.4a.

<sup>22</sup> Article 18.4c.

<sup>23</sup> Article 18.4d.

<sup>24</sup> Article 18.4e.

<sup>25</sup> Article 18.4f.

<sup>26</sup> Article 18.4b.

**Noting** the need for voluntary contributions from Contracting Parties, the private sector, non-governmental organizations and other sources.

**Recalling** that, under Article 18.4f of the Treaty, “*the Governing Body shall consider modalities of a strategy to promote [voluntary] contributions.*”

**Noting** the importance of taking into account the Global Plan of Action when establishing priorities for the Funding Strategy and when establishing a target for funding.<sup>27</sup>

**Recognizing** that the Governing Body and Contracting Parties will need to take a number of other actions to support the implementation of the Funding Strategy.

1. **Adopts** the Funding Strategy contained in Appendix I to this Resolution.
2. **Invites** Contracting Parties to undertake, as appropriate, the following actions in support of the implementation of the Funding Strategy:
  - a. Within the Governing Bodies of relevant international mechanisms, funds and bodies, including, in particular, [the Convention on Biological Diversity, the Global Environment Facility, the World Bank and the Common Fund on Commodities],<sup>28</sup> to take the necessary and appropriate measures to ensure due priority and attention to the effective allocation of predictable and agreed resources for the implementation of plans and programmes under the Treaty.<sup>29</sup>
  - b. To provide information to the Secretariat on the availability of bilateral funding relevant to the Funding Strategy from sources within their country, so that this information can be made available to potential recipients of such funding.
  - c. To actively support the implementation of the strategy to promote voluntary contributions (Annex 4 of the Funding Strategy) within their country.
  - d. To report to the Governing Body on the actions they have taken in line with items a. to c. above.
  - e. To report on bilateral and other assistance provided in line with the reporting provisions of Annex 5 of the Funding Strategy.
3. **Invites** the governing bodies of all relevant international mechanisms, funds and bodies to ensure due priority and attention to the effective allocation of predictable and agreed resources for the implementation of plans and programmes under the International Treaty.
4. **Invites** the governing bodies of all relevant international mechanisms, funds and bodies to provide information on their mandates, priorities, eligibility criteria and procedures relevant to the support of actions for the implementation of the Treaty.
5. **Requests** the Secretariat of the Treaty to enter into discussions with the secretariats of relevant international mechanisms, funds and bodies about ways in which they might contribute to the implementation of the Funding Strategy of the Treaty, and the possibility of establishing memoranda of understanding with the Governing Body in this regard.
6. **Invites** Contracting Parties, the private sector, including the food processing industries, non-governmental organizations, and all other interested parties to make voluntary contributions to the Funding Strategy.

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<sup>27</sup> Article 18.3.

<sup>28</sup> The guidance of the Contact Group is requested as to which specific organizations should be cited.

<sup>29</sup> Article 18.4a.

7. ***Invites*** Contracting Parties, relevant international mechanisms, funds and bodies, and providers of relevant services, to propose initiatives to address priorities established for the Funding Strategy.
8. ***Requests*** FAO to establish, in accordance with its own rules and regulations, a Trust Account for the receipt of voluntary contributions to the Funding Strategy.
9. ***Requests*** the Commission on Genetic Resources for Food and Agriculture to support the development of the Funding Strategy in the context of its work in relation to the supporting components of the Treaty, including its work on the *Global Plan of Action* and its *Facilitating Mechanism*.
10. ***Requests*** the Secretariat to undertake such actions as are necessary to facilitate the implementation of this Resolution and of the Funding Strategy at Appendix I.



**INTERNATIONAL TREATY ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE****FUNDING STRATEGY*****I. OBJECTIVE***

1. The objective of the Funding Strategy is to enhance the availability, transparency, efficiency and effectiveness of the provision of financial resources to implement activities under the Treaty.<sup>30</sup>

***II. AIMS***

2. The aims of the Funding Strategy are:

2.1 To ensure that adequate resources are available for the implementation of the Treaty. Such resources shall include:

- a. Financial resources provided by developed country Contracting Parties, which Contracting Parties that are developing countries or countries with economies in transition avail themselves of.<sup>31</sup>
- b. Financial resources for priority activities, plans and programmes relevant to the implementation of the Treaty provided by relevant international mechanisms, funds and bodies<sup>32</sup> and through bilateral and multilateral channels.<sup>33</sup>
- c. Financial resources for national activities for the conservation and sustainable use of plant genetic resources for food and agriculture.<sup>34</sup>
- d. Financial resources resulting from the sharing of monetary benefits from the commercialization of plant genetic resources for food and agriculture under Article 13.2d(ii) of the Treaty.<sup>35</sup>
- e. Voluntary contributions from Contracting Parties; the private sector, including the food processing industries; non-governmental organizations; and other sources.<sup>36</sup>

2.2 To ensure the transparent, efficient and effective utilization of all resources made available under the Funding Strategy.

***IV. PRIORITIES***

3. Priorities for support under the Funding Strategy are established to ensure a balanced approach to the implementation of the Treaty. The priorities are at Annex 1.

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<sup>30</sup> Article 18.2.

<sup>31</sup> Article 18.4c.

<sup>32</sup> Article 18.4a.

<sup>33</sup> Article 18.4c.

<sup>34</sup> Article 18.4d.

<sup>35</sup> Article 18.4e.

<sup>36</sup> Article 18.4f.

### **III. TARGET FOR FUNDING<sup>37</sup>**

4. [The Open-ended Working Group is requested to provide advice on the content of this section, see paragraphs 14 – 15 of the present document.]

### **V. RESOURCES UNDER THE DIRECT CONTROL OF THE GOVERNING BODY**

5. Resources under the direct control of the Governing Body include those resulting from the sharing of monetary benefits from the commercialization of plant genetic resources for food and agriculture under Article 13.2d(ii) of the Treaty, and voluntary contributions.

6. Awards from these resources will be granted by [name of body responsible for granting awards]. Subject to the availability of funds, awards will be granted annually.

7. To be eligible for an award, applicants and proposals must meet the criteria set out in Annex 2.

8. Operational procedures regarding the receipt and management of these resources, and regarding the receipt of applications for awards, the selection of projects for funding, the granting of awards and the monitoring of projects funded, are at Annex 3.

9. [name of body responsible for granting awards] will report on the granting of awards at each session of the Governing Body.

10. Voluntary contributions to the Funding Strategy are encouraged from all sources, including from Contracting Parties, the private sector and non-governmental organizations.<sup>38</sup> Modalities of a strategy to promote voluntary contributions are at Annex 4.

### **VI. RESOURCES NOT UNDER THE DIRECT CONTROL OF THE GOVERNING BODY**

11. Developed country Contracting Parties provide financial resources, which Contracting Parties that are developing countries or countries with economies in transition avail themselves of, in accordance with Article 18.4c of the Treaty.

12. A number of international mechanisms, funds and bodies, and developed countries provide resources through bilateral, regional and multilateral channels in support of activities of relevance to the implementation of the International Treaty. All such funding bodies are encouraged to ensure that due priority and attention is given to the effective allocation of predictable and agreed resources in support of the implementation of the International Treaty. They are invited to use, as appropriate, the priorities identified at Annex 1 when allocating resources in support of the Implementation of the Treaty, within the context of their mandates.

13. Information on the mandates, policies eligibility criteria and procedures of such funding bodies will be collected and maintained by the Secretariat of the International Treaty and made available to Contracting Parties through the FAO website.

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<sup>37</sup> Article 18.3.

<sup>38</sup> Articles 13.2d(ii), 13.6 and 18.3f.



***VII. MONITORING***

14. The Governing Body shall monitor the implementation of the Funding Strategy through the information and reporting requirements identified at Annex 5.

***VIII. REVIEW***

15. The Governing Body shall review this Funding Strategy at least once every fifth Regular Session.

**LIST OF ANNEXES TO APPENDIX I**

- ANNEX 1: Priorities for the Use of Resources under the Funding Strategy
- ANNEX 2: Use of Resources Under the Direct Control of the Governing Body – Eligibility Criteria
- ANNEX 3: Use of Resources Under the Direct Control of the Governing Body – Operational Procedures
- ANNEX 4: Use of Resources Under the Direct Control of the Governing Body – Modalities of a Strategy to Promote Voluntary Contributions
- ANNEX 5: Information and Reporting Requirements under the Funding Strategy

Note: the Governing Body may wish to establish a schedule for the preparation and adoption of these annexes.