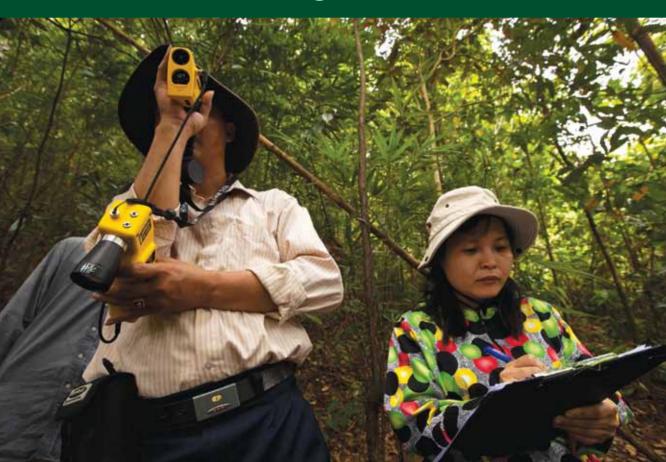
172

## Climate change guidelines for forest managers







## Climate change guidelines for forest managers

FAO FORESTRY PAPER

172

Please cite as: FAO. 2013. Climate change guidelines for forest managers. FAO Forestry Paper No. 172. Rome, Food and Agriculture Organization of the United Nations.

The designations employed and the presentation of material in this information product do not imply the expression of any opinion whatsoever on the part of the Food and Agriculture Organization of the United Nations (FAO) concerning the legal or development status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. The mention of specific companies or products of manufacturers, whether or not these have been patented, does not imply that these have been endorsed or recommended by FAO in preference to others of a similar nature that are not mentioned.

The views expressed in this information product are those of the author(s) and do not necessarily reflect the views or policies of FAO.

ISBN 978-92-5-107831-0 (print) E-ISBN 978-92-5-107832-7 (PDF)

© FAO, 2013

FAO encourages the use, reproduction and dissemination of material in this information product. Except where otherwise indicated, material may be copied, downloaded and printed for private study, research and teaching purposes, or for use in non-commercial products or services, provided that appropriate acknowledgement of FAO as the source and copyright holder is given and that FAO's endorsement of users' views, products or services is not implied in any way.

All requests for translation and adaptation rights, and for resale and other commercial use rights should be made via www.fao.org/contact-us/licencerequest or addressed to copyright@fao.org.

FAO information products are available on the FAO website (www.fao.org/publications) and can be purchased through publications-sales@fao.org.

## **Contents**

	Contributors	V
	Foreword	viii
	Acknowledgements	ix
	Acronyms and abbreviations	x
	Executive summary	xi
1.	Introduction	3
	Audience and purpose	4
	Scope	5
	Content and organization	5
2.	Climate change and forests	7
	Climate change processes and projections	
	Adaptation and mitigation in forestry	8
	What does climate change mean for forest managers?	9
3.	Sustainable forest management and related approaches for effective climate change responses	13
	Sustainable forest management	13
	Global, regional and national policies on climate change	15
4.	Management responses to climate change	19
	Vulnerability and risk assessment of climate change impacts	
	and mitigation options	
	A guiding framework for adaptation actions	
	A guiding framework for mitigation actions	55
5.	Monitoring and evaluation	63
6.	Conclusion	69
Αı	nnex 1. Glossary	73
Αı	nnex 2. Knowledge tools	87
Αı	nnex 3. Participation in the validation workshops	103

### **Figures**

1	Forest managers need to respond to a wide range of factors, all of which may be influenced by climate change	3
2	The process for integrating adaptation and mitigation measures into forest management plans and practices	20
Boxes		
1	Forest management and forest managers	4
2	Carbon sinks and sources	7
3	Adaptation and mitigation	9
4	Sustainable forest management	13
5	Matching genetic variation with the new climate in the Sahel	30
6	The Ferny Creek Bushfire Alert System	36
7	Catastrophic forest disturbances	39
8	Community-based tsunami early warning system in Peraliya, Sri Lanka	45
9	Taking back the mangroves with community management	54

## **Contributors**

#### Rosário Alves

Directora Executiva Forestis - Associação Florestal de Portugal Rua de Santa Catarina nº753 4000 – 454 Porto Portugal

#### Marcelo Arguelles

Executive Manager Brazilian Forest Service SCEN, Trecho 2, Bl. H 70818-900 Brasília Brazil

#### Susan Braatz

Senior Officer, Forests and Climate Change FAO Forestry Department Viale delle Terme di Caracalla 00153 Rome Italy

#### Gerhard Breulmann

Planning, Monitoring &
Evaluation Officer
International Tropical Timber
Organization
International Organizations Center,
5th Fl.
Minato-Mirai, Nishi-Ku
Yokohama 220-0012
Japan

#### Jeremy Broadhead

FAO Regional Office for Asia and the Pacific Maliwan Mansion Phra Atit Road Bangkok 10200 Thailand

#### **Barney Chan**

Director, eSFM Tropics ITTO Trade Advisory Group 295, Lrg 14, Jln Kedandi Kuching, Sarawak 93350 Malaysia

#### Resham Bahadur Dangi

Deputy Director General Ministry of Forestry & Soil Conservation Department of Forests Babarmahal, Kathmandu Nepal

#### Richard Eba-Atyi

Regional Coordinator, Central Africa Center for International Forestry Research c/o IITA Humid Forest Ecoregional Center B.P. 2008, Yaoundé Cameroon

#### Marta Gaworska

Former Acting Secretary General, CEPF Liaison Office Brussels European Forestry House Rue du Luxembourg 66 B-1000 Bruxelles Belgium

#### Fred Kafeero

Forestry Officer, Participatory Forestry FAO Forestry Department Viale delle Terme di Caracalla 00153 Rome Italy

#### Rodel Lasco

Senior Scientist and Philippines
Country Coordinator
World Agroforestry Centre
2nd Fl., Khush Hall Bldg.
International Rice Research Institute
IRRI Campus
Los Baños, 4031 Laguna
Philippines

#### Bas Louman

Head, Climate Change and Watershed Management Programme, CATIE Cartago, Turrialba, 30501 Costa Rica

#### Inazio Martinez de Arano

Presidente Ejecutivo, Unión de Selvicultores del Sur de Europa Larrauri, 1B – 6° E-48160 – Derio Spain

#### Mary Menton

Research Fellow, Forests and Environment Programme, CIFOR CIP, Av La Molina 1895 12 Lima Peru

#### Peter Neil

Former Regional Forest Programme IUCN Asia IUCN Asia Regional Office 63, Soi Phromphong, Sukhumvit 39 10110 Wattana, Bangkok Thailand

#### German Obando-Vargas

Professor, Universidad EARTH Apartado 4442-1000 San José Costa Rica

#### Hwan Ok Ma

Projects Manager
Reforestation and Forest Management
Division
International Tropical Timber
Organization
International Organizations Center,
5th Floor
Minato-Mirai, Nishi-Ku
Yokohama 220-0012
Japan

#### **Hivy Ortiz**

Forestry Officer
FAO Regional Office for Latin America
and the Caribbean
Santiago
Chile

#### Francis E. Putz

Professor, Department of Biology University of Florida 209 Carr Hall, PO Box 118526 Gainesville, FL 32641 USA

#### **David Rhodes**

Chief Executive
New Zealand Forest Owners
Association
Level 9, 93 The Terrace
PO Box 10986
Wellington 6143
New Zealand

#### Simmone Rose

Forestry Officer, Climate Change and Bioenergy FAO Forestry Department Viale delle Terme di Caracalla 00153 Rome Italy

#### Maria Ruiz-Villar

Forestry Officer FAO Forestry Department Viale delle Terme di Caracalla 00153 Rome Italy

#### Cesar Sabogal

Forestry Officer, Forest Management FAO Forestry Department Viale delle Terme di Caracalla 00153 Rome Italy

#### Angel Salazar Vega

PROBOSQUES Av. José Abelardo Quiñones km 2.5 Iquitos Loreto Perú

#### Ian Thompson

Canadian Forest Service 1219 Queen St. east Sault Ste. Marie Ontario P6A 2E5 Canada

#### Bernhard Wolfslehner

EFICEEC Head of Office European Forest Institute and University of Natural Resources and Life Science, Vienna Feistmantelstr. 4 A-1180 Vienna Austria

### **Foreword**

Forests play a significant role in climate change mitigation by acting as "sinks", absorbing carbon from the atmosphere and storing it in biomass and soils, but, when cleared or degraded, they are also significant sources of greenhouse gas emissions. Forests, therefore, are important components in strategies for adapting to climate change. Without direct management interventions, climate change is likely to jeopardize forest ecosystem health, resilience, productivity, biodiversity and carbon storage, and forest degradation and loss will continue to contribute to climate change.

The strong relationship between forests and climate implies that a dramatic change in one will influence the other. This feedback could be negative in some situations and positive in others. Sustainable forest management can help reduce the negative effects of climate change on forests and forest-dependent people, and it can help ensure that forests play their role in mitigating climate change. Forest management decisions made now will affect forests many decades into the future. Thus, it is important for managers to plan now for climate change.

FAO is publishing these guidelines to support forest managers in responding to climate change challenges and opportunities at the forest management unit level. Articulating specific goals and objectives for climate change can assist forest managers to incorporate climate change considerations into forest management plans and practices. These guidelines will also be of interest to a wider range of stakeholders concerned about forests and climate change.

The guidelines are complementary to the FAO publication *Climate change for forest policy-makers*, which sets out an approach for integrating climate change into national forest programmes to support sustainable forest management. Countries are invited to use the two documents and to adapt them, as necessary, to fit national and subnational circumstances.

Eduardo Rojas-Briales

Assistant Director-General FAO Forestry Department

## **Acknowledgements**

This publication has been produced thanks to the generous contributions of time and expertise by a large number of experts. Initiated in 2010, the process to prepare the guidelines included national consultations in Kenya, Nepal and Peru – FAO thanks all participants in, and the people who supported, these workshops.

International expert consultations on the guidelines were convened in Kathmandu, Nepal (June 2011) and Lima, Peru (November 2011). FAO thanks all participants in those consultations for their valuable inputs. FAO also thanks Francis E. Putz for facilitating the consultations and for preparing the first and subsequent drafts of the guidelines on the basis of inputs received during the expert consultations.

The guidelines benefited from peer reviews by Marc Dumas-Johansen, Fred Kafeero, David Rhodes, Maria Ruiz-Villar and Ian Thompson.

The guidelines were evaluated in two workshops in Kenya and Peru facilitated by Donald Ogweno in Kenya and Pedro Carlos Alberto Llerena Pinto in Peru. FAO thanks both facilitators and all participants in the validation exercises (see Annex 3) for their contributions to the finalization of the document.

The FAO team responsible for the preparation of the guidelines comprised Simmone Rose, Susan Braatz and Cesar Sabogal. The document was edited by Alastair Sarre and typeset by Kate Ferrucci.

The development and production of these guidelines was made possible thanks to the generous financial contribution of the Government of Finland under the FAO-Finland Forestry Programme – Sustainable Forest Management in a Changing Climate.

## **Acronyms and abbreviations**

CBD Convention on Biological Diversity

CIFOR Center for International Forestry Research

CO<sub>2</sub> carbon dioxide

FAO Food and Agriculture Organization of the United Nations

FMU forest management unit

GHG greenhouse gas

ITTO International Tropical Timber Organization

NGO non-governmental organization

SFM sustainable forest management

**REDD+** reducing emissions from deforestation and forest degradation and

the role of conservation, sustainable management of forests and

enhancement of forest carbon stocks

UNFCCC United Nations Framework Convention on Climate Change

## **Executive summary**

The effects of climate change and climate variability on forest ecosystems are evident around the world and further impacts are unavoidable, at least in the short to medium term. Addressing the challenges posed by climate change will require adjustments to forest policies and changes to forest management plans and practices.

In 2010, FAO prepared guidelines to support policy-makers in integrating climate change concerns into new or existing forest policies and national forest programmes. This document serves as a companion to those 2010 guidelines. It has been prepared to assist forest managers to better assess and respond to climate change challenges and opportunities at the forest management unit level. Proposed actions are intended to be relevant to all kinds of forest manager – such as individual forest owners, private forest enterprises, public-sector agencies, indigenous groups and community forest organizations. The actions are applicable in all forest types in all regions and for all management objectives. They are generic, so their adaptation to local circumstances is required.

Adaptation and mitigation are the two main responses to climate change. Mitigation addresses the causes of climate change and adaptation its impacts. In the forest sector, adaptation encompasses changes in management practices designed to decrease the vulnerability of forests to climate change and interventions intended to reduce the vulnerability of people to climate change. Mitigation strategies in the forest sector can be grouped into four main categories: reducing emissions from deforestation; reducing emissions from forest degradation; enhancing forest carbon sinks; and product substitution.

Sustainable forest management (SFM) is consistent with climate change adaptation and mitigation and provides a comprehensive framework that can be adapted to changing circumstances. Efforts to advance towards SFM have provided a wealth of knowledge, experience, best-practice guidance, tools, mechanisms and partnerships that can be applied to help meet climate change challenges and which informs this document. Using SFM as an overall framework helps ensure that adaptation and mitigation measures are synergistic and balanced with other forest management objectives and take into consideration the economic, social and environmental values of forests.

This document provides guidance on what forest managers should consider in assessing vulnerability, risk, mitigation options, and actions for adaptation, mitigation and monitoring in response to climate change. Recommended actions for climate change adaptation address impacts on: forest productivity; biodiversity; water availability and quality; fire; pests and diseases; extreme weather events; sea-level rise; and economic, social and institutional considerations. A range of mitigation actions is provided, along with guidance on the additional monitoring and evaluation that may be required in forests in the face of climate change.

# Climate change guidelines for forest managers

