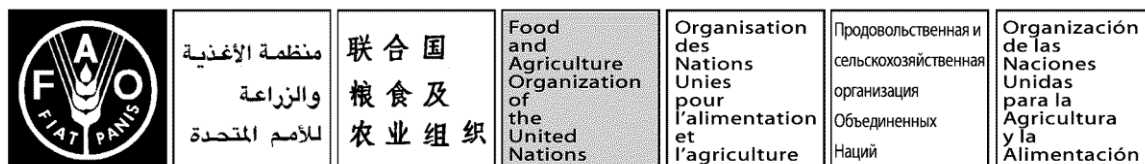


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WESTERN CENTRAL ATLANTIC FISHERY COMMISSION (WECAFC)

FIFTH SESSION OF THE SCIENTIFIC ADVISORY GROUP (SAG)

Puerto Morelos, Mexico, 27- 29 October 2011

First draft WECAFC work programme for the period 2012-2013

1. Introduction

1. The background paper on “Intersessional activities – review of the WECAFC Work Programme 2008-2011” (WECAFC/SAG/V/2011/4) showed progress made on certain activities listed under the work programme 2008-2009. However, it also showed inactivity in a number of areas and large dependence on the Secretariat in terms of supporting the initiation and implementation of the activities. As WECAFC is a commission consisting of 35 member countries and with a range of observer organizations and institutions as stakeholders, the current reliance on the Secretariat (provided by FAO) should be reduced in favour of increased member state commitment to the Commission’ work programme and its objectives.

2. The thorough overview and analysis of WECAFC as presented in FAO Fisheries and Aquaculture Circular 1050, “Western Central Atlantic Fishery Commission. Historical overview, impacts and main lessons learned”, authored by Messrs. Renard and the late Bissesar Chakallal, former Secretary of WECAFC, shows (among others) that member commitment is significant, but that the modalities used by WECAFC are not sufficient to obtain the necessary support in human resources, funding and efforts by members to carry out the full work programme as agreed by the last session of the Commission in 2008.

3. The WECAFC Secretariat has therefore sought legal advice to further modify, amend and modernize the Rules of Procedure of WECAFC. The draft amended Rules of Procedure will be discussed at the 14th Session of the Commission in February 2012. The main aims of the amendments to the Rules of procedure will be to address the concerns in Circular 1050, follow-up on some of its main recommendations, increase the efficiency of the secretariat and subsidiary bodies to the Commission (e.g. working groups, SAG and other commissions), and ensure greater stakeholder participation and partnership with other regional and international stakeholders.

2. Background

It is recognized that in the last decade most of the WECAFC activities were carried out with the financial support of the FAO Regular Programme Budget and extra-budgetary support from the FAO FishCode Programme. Additional support was mainly forthcoming from the United States (through the Department of State and the Caribbean Fishery Management Council), and France, through IFREMER.

WECAFC and partners

In view of this historical situation and at the same time acknowledging the ongoing trends in inter-agency/ inter-institutional and inter-project collaboration in the Caribbean region, it is foreseen that WECAFC will contribute to projects and activities of partners in the region (e.g. CRFM, CFMC, OSPESCA, OECS, ACS, CERMES, IFREMER, CLME, NOAA, UNEP, UNDP, ACPFishII, etc) and vice-versa.

By doing so, it is understood that each agency, institution and project, has its own work programme or strategic plan, and that collaboration with the other partners is sought for activities that appear in the programme or plan of two or more agencies, institutions and projects. In this way, certain activities will be generally led by one stakeholder (partner) and the other partners will team-up. Duplication of efforts and overlap of activities, causing wasting of limited resources, will be avoided by good communication between the secretariats and managers active in fisheries and related sectors in the region. They will meet frequently in different fora, meetings and workshops to exchange information and further collaboration.

WECAFC is aware that its strengths include, amongst others, its membership range and coverage, its mandate, the technical back-up and sustainable (although limited) funding by FAO, its political convening power, and its capability to provide a valuable linkage between science and management. It is therefore important that WECAFC capitalizes on its specific strengths and collaborates in the areas where the Commission is weaker with proper partners in the region; allowing those partners to take a technical lead on specific areas. The Commission can do so through joint projects, technical support and information exchange, including facilitating the flows of information from FAO at global level to the region and vice-versa.

FAO and regional governance issues

Being a Commission, established under the FAO Constitution, for which FAO provides the Secretariat, it is required from the Commission to report to FAO on a technical level to the FAO Fisheries and Aquaculture Department and the Committee on Fisheries (COFI), consisting of FAO members. In addition, the Commission is expected to report to the FAO Regional Conference. The 32nd FAO Regional Conference for Latin America and the Caribbean will be held in March 2012 in Buenas Aires, Argentina, and will determine FAO's priorities for the biennium 2012 -2013. The Fisheries and aquaculture sector priorities for FAO over that period will be informed by the outcomes of the 14th session of WECAFC (Panama, 6-9 February 2012), the Twelfth Session of the Commission of Inland Fisheries and Aquaculture of Latin America and the Caribbean (COPESCAALC) (held in Mexico on 21-24 November 2011), and the priorities received from the members of the Network of Aquaculture of the Americas.

Currently the priority areas (in all sectors) set by the FAO Regional Conference for this region include the following:

- 1) Food and nutrition security
- 2) Plant, animal health (including transboundary diseases) and food safety
- 3) Climate change (mitigation and adaptation) and sustainability of agriculture and natural resources (including biodiversity)
- 4) Support to family farming and rural development
- 5) Enhanced institutional capacities, policy formulation and investment
- 6) Addressing Gender and youth issues

Most fisheries and aquaculture related activities carried out by FAO, are included currently under priority areas 3,4 and 5. This will continue to be so in the next biennium (2012-13); which means that WECAFC is asked to report also on its activities in these FAO regional priority areas.

At the same time the Regional Conference will provide an opportunity to bring the work of WECAFC under the attention of agriculture and fisheries ministers of the region and pass the

conclusions and recommendations from the 14th session to them for obtaining support to the implementation of the same. The Regional Conference provides, as such, the possibility to raise the profile and importance of fisheries within the region and increase funding options.

Moreover, the Country Programming Framework (CPF) process¹, in which FAO has engaged with the national Governments in the region, is an important priority setting mechanism for FAO (and indirectly also WECAFC). The CPF aims to strengthen the effectiveness, impact, and visibility of FAO assistance to Member countries through a strategic and coherent country programme, developed in close consultation with the Government and other national stakeholders, fully aligned with the national programming cycle and UN programming process, and pursuing the achievement of national development objectives and MDGs within the FAO Strategic Framework. The CPF represents FAO corporate response to Member countries assistance needs, including disaster risk reduction and preparedness, recovery and rehabilitation and provides FAO partners (Government, national stakeholders, external development partners) with a comprehensive vision of the nature and scope of FAO's medium-term (from 4 to 5 years) assistance strategy in a country and an estimate of the resource requirements for its implementation.

Apart from the FAO governance aspects, there have been some major governance initiatives in fisheries at regional level in the WECAFC mandate area. For instance, the Caribbean Community Common Fisheries Policy (CCCFP), which draft agreement was approved by the Fourth Caribbean Regional Fisheries Mechanism (CRFM) Ministerial Council (held in St. Johns, Antigua in May 2011) and the St. Lucia "Declaration on illegal, unreported and unregulated fishing", approved by the CRFM Ministerial Council meeting in July 2010. Through these initiatives the CARICOM countries have made an important effort towards transboundary regional collaboration in fisheries and aquaculture. WECAFC should recognize and internalize these initiatives to improve governance of the sector in the CARICOM counties, and similar initiatives in the Central American region.

3. Work programme preparation

In an ideal situation, the work programme of WECAFC would be prepared based on the priorities set by the members, with inputs from each of the member countries, developed in a participatory manner and taking in consideration all the past, ongoing and planned for activities of partners and other stakeholders in the region. The work programme would address sectoral priorities as expressed in the CCCFP, CPFs, FAO Regional Conference and other important policy and strategy documents. Moreover, the planning and implementation process would be aligned, and budget would be made available for the priorities identified. Unfortunately, the Commission has not met in recent years and funding is not available to carry out a complete prioritization process at this stage.

The WECAFC Secretary would prefer to develop with the members in a participatory way in 2013 a medium term plan for a period of five years (2014-2018), to be discussed and agreed at the 15th session of the Commission. The medium term work plan should contain clear and comprehensive activities and outputs, key performance indicators, timeframe, partners involved, budget required and budget secured.

The currently proposed draft work programme 2012-2013 is largely informed by the work programme 2008-2009 as approved by the 13th session of WECAFC in October 2008. It takes in consideration the objective, principles and functions of the Commission as well as the activities undertaken currently by FAO/WECAFC and partners (e.g. CRFM, CERMES, CLME, CFMC, OSPESCA, ACPFishII, etc) in the region and the inter-sessional activities (see WECAFC/SAG/V/2011/4). Moreover, it contains information on the estimated funding and staff

¹ More information on the CPFs can be found at:

<http://ftp.fao.org/TC/TCA/NMTPF/NMTPF%20guidelines/FactSheetAboutCPF.pdf>

time available at FAO (within the Subregional Office for the Caribbean and at FAO Fishery and Aquaculture Department at Headquarters) for the WECAFC work.

As reference, the adopted work programme of the Commission of the next biennium (2008–2009) is enclosed in Annex A. The activities and projects of the proposed work programme for 2012-2013 can be found in detail in Annex B.

This work programme is the first work programme “new style” of the Western Central Atlantic Fishery Commission. The work programme adheres to the amended “Rules of Procedure of the Commission” as prepared for the 14th session of WECAFC.

Guiding Principles

The work programme shall have the following guiding principles:

- 1) The members of the Commission shall have ownership of and responsibility for the work programme.
- 2) The WECAFC work programme shall first and foremost reflect the common interests and priorities of all members to the Commission. Implementation arrangements will however strive to address unique problems of individual members as well as problems shared by a subset of the Commission’s membership, such as the CARICOM and OECS countries.
- 3) The work programme shall be implemented [as much as possible] through technical cooperation in line with the principle of Technical Cooperation among Developing Countries (TCDC) and Technical Cooperation among Countries in Transition (TCCT) advocated by FAO.

In line with the objectives of the Commission, which are “to promote the effective conservation, management and development of the living marine resources of the area of competence of the Commission, in accordance with the FAO Code of Conduct for Responsible Fisheries, and address common problems of fisheries management and development faced by members of the Commission”, AND addressing the recent developments in the region AND taking in consideration the 2008-2009 Work programme of WECAFC, this work programme has been developed in conformity with the GENERAL PRINCIPLES of the Commission, which include the following.

- a) The Commission shall have due regard for and promote the application of the provisions of the FAO Code of Conduct on Responsible Fisheries and its related instruments, including the precautionary approach and the ecosystem approach to fisheries management.
- b) The Commission shall ensure adequate attention to small-scale, artisanal and subsistence fisheries.
- c) The Commission shall coordinate and cooperate closely with other relevant international organizations on matters of common interest.

Recognizing that the Commission and its work programme would benefit from the development of a vision, mission statement, goal and purpose, and institutional outcomes foreseen, the participatory preparation of these will take place in 2013 at a WECAFC priority setting and work planning workshop.

For the current work programme 2012 -2013 there are various considerations that apply:

- i. It shall be implemented by the Commission, which will operate it as a programme for exchanging, producing and disseminating special information and advice; linking policy-makers, managers, scientists and private sector fish producers, working in marine fisheries and/or aquaculture with the aim to achieve the objectives of the Commission;
- ii. The core resources (manpower, core funding and institutional participation) to operate the 2012 -2013 work programme will be provided by the members of the Commission;
- iii. The work programme shall consist of activities and projects that are implemented with the assistance of FAO and other donors and partners.
- iv. The 2012 -2013 work programme will form, together with the WECAFC Priority setting and work planning workshop (2013) the basis for the development of a “rolling programme” of five – years which will start in 2014.
- v. The development and implementation of specific projects and activities under the work programme shall be undertaken by the members with the assistance of the Secretariat of the Commission.
- vi. The implementation of the programme and the activities under it shall be coordinated by the Secretariat and monitored and evaluated by the Scientific Advisory Group (SAG)/ Technical Advisory Committee (TAC) of the Commission.

This work programme was prepared by the FAO Secretariat to the Commission. Future work programmes and amendments to this work programme will be prepared by the SAG/TAC with assistance from the FAO Secretariat.

The work programme has two major parts: (i) technical part and (ii) programme coordination and management part. The technical part consists of three components of the work programme, which follow the General Principles of the Commission, namely: 1) promote the application of the FAO Code of Conduct on Responsible Fisheries and its related instruments; 2) support the development and management of responsible small-scale, artisanal and subsistence fisheries; and 3) coordinate and cooperate with other relevant international organizations on matters of common interest.

4. Draft WECAFC Work Programme for the period 2012-2013

- (i) This technical part describes the goal, purpose, activities and interlinked projects, of the three technical components of the work programme.

- 1) Promote the application of the FAO Code of Conduct on Responsible Fisheries and its related instruments.

Goal: Improved regional governance of the marine fishery and aquaculture sector for more effective conservation, management and development of the living marine resources in the area of competence of WECAFC.

Purpose: Strengthened policy, legal and institutional frameworks to promote and support fisheries and aquaculture in line with the CCRF and related international instruments in the WECAFC region.

- 2) Support the development and management of responsible small-scale, artisanal and subsistence fisheries and aquaculture.

Goal: Improved policies in support of sustainable livelihoods and increased capacity of fisherfolk and aquaculturists to apply modern production technologies, better management practices and produce high quality and safe fish and fishery products for human consumption.

Purpose: Responsible development and management of small-scale fisheries and aquaculture.

- 3) Coordinate and cooperate with other relevant international organizations on matters of common interest

Goal: Increased inter-agency/ inter-institutional and inter-project collaboration in the Caribbean region on fisheries, aquaculture and related issues, building on the comparative advantages of each, in support of responsible development and management of the sector.

Purpose: Strengthened research, technology development, management and capacity building frameworks and networks for fisheries and aquaculture in the region.

(ii) programme coordination and management part.

This part of the work programme describes the responsibilities of the entities involved in the drafting, implementation and monitoring of the work programme, as well as coordination, management and funding arrangements. The specific goals, purpose, activities and interlinked projects related to programme management are combined into one work programme component.

This part is prepared in line with the discussions in the past sessions and Working Group sessions on strengthening of the functioning of WECAFC. It responds to concerns raised in the analysis of WECAFC as presented in FAO Fisheries and Aquaculture Circular 1050, “Western Central Atlantic Fishery Commission. Historical overview, impacts and main lessons learned”. Moreover, it follows the draft amended Rules of Procedure, produced with legal advice from FAO Headquarters, which will be discussed at the 14th Session of the Commission in February 2012.

The strategic re-orientation is also necessary in view of Commission suggestions that WECAFC could assist in coordinating regional harmonization of (fisheries) regulations.² This is an area which still needs considerable attention. Moreover, additional challenges for the management of shared fish stocks in the region are the large geographical area, the number of unresolved maritime boundaries among WECAFC Members and the lack of information, particularly in relation to high seas fishing in the eastern region of the WECAFC Area. Research on high seas fisheries is urgently required, which is partly addressed under component 1. On the other hand, fisheries and fishery resources across the region that most urgently need improved management still include the inshore reef fish resources, sharks, Caribbean spiny lobster and queen conch, while urgent pre-emptive management to optimize sustainable benefits is required for coastal large pelagics and seabob as well as high seas fisheries resources.

In terms of large pelagics, the Caribbean Large Marine Ecosystem (CLME) Project³ in its May 2011 draft Regional Transboundary Diagnostic Analysis (TDA) approached the issue of

² Otherwise, the session focused mainly on the state of fishery resources and the status and trends of fisheries and aquaculture in the WECAFC region; climate change implications for fisheries and aquaculture; FAO fishery statistics programme and WECAFC; and Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) issues with respect to fish trade and CITES/FAO Memorandum of Understanding.

³ Sustainable Management of the Shared Marine Resources of the Caribbean Large Marine Ecosystem (CLME) and Adjacent Regions. It is a 4 year Global Environment Fund (GEF) intervention. The Project partners include 23 GEF countries, 2 associate countries and 11 organizations. The CLME Project began on 1 May 2009 and will run until 30 April 2013.

transboundary stocks from a different perspective. It reviewed relevant institutions and agreements in place and emphasized that they require coordinated and harmonized governance structures that operate at the appropriate geographic scales. The TDA concluded, amongst others that “Many large pelagic species that are distributed mainly within the wider Caribbean region (WCR) and which support small-scale fisheries receive no attention at all. A regional mechanism for their management is needed.” WECAFC may play a role in the management of these resources if its members decide so.

The fourth component of the work programme is an internal management component.

4) Manage the work programme and carry-out a strategic reorientation of the functions and mandate of the Commission

Goal: Improved functioning of WECAFC in terms of addressing its mandate and promises to its members, through professional daily management of the work programme and strategic reorientation to fill gaps in regional fisheries management and strengthen the role of the Commission in the area under its mandate.

Purpose: Increased management capacity of the Commission to address pertinent needs of its members and specific gaps in regional fisheries management in a competent and effective manner.

4.1 Responsibilities

In terms of responsibilities for the implementation of the 2012 -2013 Work Programme the following entities are essential.

Commission. The Commission as the supreme policy and decision making organ shall approve the work programme at the Fourteenth Session in February 2012. It shall also decide on the preparatory process for the future work programmes, including the date and place of the WECAFC priority setting and work planning workshop to be held in 2013. The Commission shall oversee the implementation and evaluation of activities and projects under the work programme. It shall promote the uptake of positive and relevant lessons and results from the work programme in policies and development programmes at the regional, national and sectoral levels.

Secretariat. The Secretariat will assist the SAG/TAC in formulating, monitoring, evaluating and following-up the work programme. Its main responsibility is to coordinate and manage the implementation of the programme. The Secretariat will be lead by the **Secretary** of the Commission. The Terms of Reference of the Secretary can be found in Annex A to the amended Rules of Procedure of the Commission.

SAG/Technical Advisory Committee (TAC). The Scientific Advisory Group (SAG)/Technical Advisory Committee (TAC) would formulate the work programme with the assistance of the Secretariat and provide the technical advice and inputs to assist the Commission in monitoring and evaluating the work programme. The SAG/TAC may propose the establishment (and abolition) of subsidiary bodies on specific technical issues; these subsidiary bodies deal with specific technical subjects, projects or activities. The exact Terms of Reference (TORs) of the SAG/TAC can be found in the Annex C to the amended Rules of Procedure of the Commission.

National Focal Points. The national focal points have important tasks in the implementation of the activities under the work programme. They advise the Commission about appropriate scientific and other research programmes, including possible annual revisions, within the limits of the available project budget. Moreover, they review and discuss the annual work plans offering proposals for and planning of scientific, training and other courses and seminars in the context of the Commission work programme and activities. The Terms of Reference of the National Focal Points can be found in Annex B to the Rules of Procedure of the Commission.

4.2 Coordination and management aspects

4.2.1. Planning, coordination and management

The planning, coordination and direction of the work programme are core functions that are the responsibility of the Secretariat, which will work closely together with the SAG/TAC and with the National Focal Points.

4.2.2. National level implementation arrangements

National level implementation of the activities and projects will be a major responsibility of the National Focal Points and their respective organizations designated by the member governments. They will provide national level coordination of the work programme (i.e. the activities and projects under the work programme) and, as needed, the manpower and material resources for such coordination function. It is anticipated that a national coordinator should be designated for each project.

4.2.3. (Sub)Regional and national centres

A proven structure for a regional cooperative arrangement is one that comprises a few (two or three) (sub)regional centres that have responsibilities for certain species and production systems; national centres that will adapt for national application the results of the work from the (sub)regional centres. These (sub)regional centres conduct research, training and information dissemination at the regional level as well as in the countries where they are located, while the national centres perform the adaptation work and extension activities to promote the technology at the national level. The national centres will be selected by the National Focal Points, while (sub-)regional centers will be determined by the Commission or its TAC/SAG. All centres as well as the national focal points and their respective organizations are engaged in information exchange.

4.2.4. Personnel for work programme development, management and implementation

The technical expertise to develop, organize and implement the programme, as well as to provide specialist advice and inputs to the component activities, will come from a number of sources, and a combination of schemes.

Secretariat staff

The basic technical expertise for project development and a coordination mechanism will be provided by the Secretariat personnel.

The secretariat of the Commission will consist for the period 2012-13 of one Secretary (part-time - FAO Fishery and Aquaculture Officer serving the Caribbean region – as in-kind contribution by FAO) and a full-time bi-lingual secretarial staff/administrative staff. The position of the secretarial staff will be partly supported by the FAO SLC Regular Programme and partly by national and regional level projects.

National staff supported by the members of the Commission could be seconded to the Secretariat at any time for specific technical tasks and or general secretariat support tasks. A member or collaborating national centre or agency can assign, for a short period, staff to work at the Secretariat on certain aspects of a project being jointly implemented by the agency and the regional body. The honorarium and expenses made by these national staff will be covered by the member of the commission seconding these staff.

Through special linkages with Universities and other institutions, MSc students, post-graduate students and/or volunteers, having the required expertise, may also be accommodated within the Secretariat. No honorarium will be paid to students and volunteers, but expenses made in relation to the work for a project or for the secretariat will be paid by the respective project or the FAO SLC Regular budget.

TAC/SAG

Members will, through TAC/SAG, support the formulation, implementation and monitoring of the projects and activities under the work programme. The exact Terms of Reference (TORs) of the TAC/SAG can be found in the Annex C to the amended Rules of Procedure of the Commission.

The expenses made in relation to the attendance of TAC/SAG meetings will be covered by the Members of the Commission themselves. The costs involved in participation of TAC/SAG members in projects, technical meetings and activities will be covered by either the project budget or the respective member of the commission.

Staff of (sub)regional and national centres

The staff of centers will provide specialist technical inputs in formulating and implementing at the regional and national levels projects and activities under the work programme. Experts of the centres can take part in disciplinary or multidisciplinary or systems oriented research, training and information development activities.

Project staff

These are specialists hired on a project basis either in the field or assigned to the Secretariat. They possess specialist skills and experience needed to formulate and/or execute specific projects. The specialists can be hired (depending on their skills, qualifications and project budget) under the FAO partnership programmes such as TCDC (Technical Cooperation between Developing Countries) and TCCT (Technical cooperation between Countries in Transition) or as national consultants. Remuneration of the project staff is incorporated in the project budget and is paid from the proceeds received from implementation of a project.

Junior technical staff

Junior technical staff can be assigned to the WECAF Commission and will be fully supported by collaborating agencies/governments to work either at the Secretariat or in specific projects that may be located elsewhere. Their services are for a short period, and meant to supplement available secretariat or project expertise. Their participation in the work programme is meant to also improve their own technical expertise for the benefit of their sponsor institutions or themselves.

Subsidiary bodies

Apart from the TAC/SAG, other subsidiary bodies can be established on request of the Commission. These subsidiary bodies can have different forms and titles. Subsidiary bodies can be in the form the ad-hoc Working Groups sub-Commissions or Committees. These subsidiary bodies will comprise experts organized for a specific task, activity or project under the Work Programme. They are groups of technical experts on the various disciplines required to execute the task or project. The subsidiary bodies will (in general) be staffed by experts from focal organizations, centres and collaborating agencies. A Subsidiary body can be formed to tackle a certain special activity that requires multidisciplinary expertise such as development of policy guidelines, development of best management practices, development of training materials and courses, etc. Subsidiary bodies will respond to specific requests from the TAC/SAG and function in support of the work programme implementation.

4.3 Funding and related arrangements

Article VI Regional Fishery Bodies (RFBs) under the FAO Constitution, receive as in-kind contribution from FAO a part-time secretary. Moreover, FAO's regular programme budget finances the organization of regular sessions of the Commission. Nevertheless, FAO regular Programme funding is not supposed to be used to finance travel and accommodation of delegates of members to the sessions of the Commission. The regular programme budget available at FAO in support of the WECAF Commission has been rather stable for the past six years, at around USD 120 000 per biennium.

For the 2012 – 2013 biennium the following budget, which we will call the administrative budget, is indicated in below table:

Administrative Budget	2012 in US\$	2013 in US\$	Comments
<i>Professional staff</i>			
None	0	0	Part-time secretary provided by FAO;
<i>Administrative support</i>			
Bi/tri-lingual secretary/administrative support to Secretariat (12 months annually)	12000	12000	50% of salary covered by SLC Regular Programme – remaining to come from projects
<i>Activities</i>			<i>(General operational)</i>
Equipment purchase for secretariat	3000	0	computer, printer, furniture, etc
Duty travel of secretariat	5000	5000	Technical, administrative and legal assistance
Travel and accommodation of delegates to Commission meetings	15000	0	Exceptional support to facilitate attendance by members in need in view of revival of the Commission (since 2008)
Preparation and publication of 14 th session meeting report	15000	0	Translation, lay-out, publication and dissemination
Organization costs of 14 th session of the Commission meetings and TAC/SAG meetings	10000	10000	These funds will support the hosts of these meetings in the organization
Interpretation services in support of 14 th session of the Commission	15000	0	
Training of Secretariat	0	0	
<i>Activities</i>			<i>(Technical)</i>
Support to work programme implementation	8000	10000	in support of work on priorities of the Commission
Consultancies for work programme implementation	0	0	in support of work on priorities of the Commission
Total Administrative budget	83000	37000	

The indicative administrative budget is presented in detail for 2 years (2012 and 2013). It covers planning, administration, coordination and management costs of the Commission. It should be noted however that a large part of the preparatory work (e.g. drafting of background papers and other research and translation of background documentation for the 14th session of the Commission) has been carried out under the 2011 SLC Regular Programme Budget. If these 30 000 USD would have been added to the preparation costs of the 14th session, it would have become clear that the organization of one regular session meeting carries a budget of 80 000 USD.

Moreover, the FAO Regular Programme provides for (in-kind) contribution to the Secretariat in terms of 50% of the SLC Fishery and Aquaculture Officer (P4 level) staff time, which should be valued at 50 000 USD per year and the office, furniture and administrative support infrastructure, which can be valued at 10 -20 000 per year. FAO Headquarters technical assistance to the

Commission can be valued at an average of 40 000 USD per year, although some fluctuation could be noticed in the support provided in recent years. In total, the FAO contribution to the WECAF Commission can be valued between 160 and 170 000 USD per year.

It is clear that the above FAO Contributions are insufficient to carry forward a proper WECAF work programme in the region. Financial resources in support of the Commission and its work, shall therefore not only come from FAO. The members of the Commission are required to assist in kind and through funding of the activities and projects under its work programme. Donations and other forms of assistance received from non-member countries, organizations, individuals and other sources are also welcomed.

The Secretariat will assist the Commission and the individual members and observers in the development of partnerships with donors to adopt more cohesive approaches and procedures to support the work programme of the commission; a work programme that addresses the most urgent needs of the members.

Eighteen thousand USD, equivalent of 15 percent of the administrative budget of the Commission in the period 2012-13 will be allocated for pertinent technical activities in support of the work programme of the Commission. These funds will be used as seed money for development of projects and to address urgent needs, such as support to workshops of Working Groups.

The administrative and operational activities of the Commission will require supported from the FAO Regular Programme and donors. Additionally, FAO Technical Cooperation Programme (TCP) support may be requested by the WECAF members; it should be noted that the members can request limited regional level TCP assistance in support of the work of the Commission.

FAO contributions	2012 in US\$	2013 in US\$	Total for 2 years in US\$	Observation
<i>Administrative budget</i>	83000	37000	120000	Regular Programme funding
<i>In-kind contribution by FAO</i>				
Executive secretary (P4) – part time (50%)	50000	50000	100000	In-kind
Secretariat/administrative support from SLC	15000	15000	30000	In-kind
FAO Headquarters technical support and advisory services	40000	40000	80000	In-kind
<i>Other Contributions</i>				
Through the FAO Technical Cooperation Programme (TCP)	200000	200000	400000	Largely depending on requests received and funding available within FAO –estimated funding
Total FAO support to administrative and operational activities of the Commission	388000	342000	730000	

4.3.1. Implementation of the technical work programme

The technical work programme will largely rely on extra-budgetary funding of projects and activities. In the budget table below the budget requirements as well as the extra-budgetary

resources already made available (by donors) are shown on a project base. It is clear that a large part of the projects and activities under these projects remain unfunded for moment.

Recognizing that extra-budgetary assistance from member countries and other donors will be required, it should be noted that [wherever appropriate and applicable] activities will be implemented through technical cooperation in line with the objectives of cost-effectiveness and regional self-reliance in programme implementation.

The technical components of the work programme will be funded by FAO and extra-budgetary resources (budget expressed in US\$).

Technical components and projects	Budget required 2012 - 2013	Budget secured	Donor contribution (of secured funding)	Gap funding in
Component 1:				
Promote the application of the FAO Code of Conduct on Responsible Fisheries and its related instruments.	635 000	275 000	140 000	360 000
Component 2:				
Support the development and management of responsible small-scale, artisanal and subsistence fisheries and aquaculture.	930 000	110 000	30 000	820 000
Component 3:				
Coordinate and cooperate with other relevant international organizations on matters of common interest	2 520 000	2 040 000	2 000 000	480 000
Component 4:				
Manage the work programme and carry-out a strategic reorientation of the functions and mandate of the Commission	260 000	180 000	0	80 000
Total budget	4 345 000	2 605 000	2 170 000	1 740 000

4.3.2 *Linkages with other regional organizations.*

Some of the experiences, lessons, technology, information as well as expertise of other regional and international organizations and programmes (such as CFMC, OSPESCA, CRFM, OECS, CLME, ACPFishII, NOAA, NAFO, ICCAT, ICES, and others) will likely prove valuable for the Commission and the implementation of its work programme, like was the case in the past. Linkages and collaboration with these organizations and programmes will be sought where possible. An information exchange mechanism, in line with the relevant activity, will be established and strengthened by the Secretariat to facilitate these linkages and collaboration.

4.3.3 *Participation in international events and forums.*

In order to support the interests of the members of the Commission in global forums, such as the FAO Committee on Fisheries and its subcommittees on Aquaculture and Trade, the Secretariat will advise the National Focal Points of such events and facilitate participation of members in these events, where possible. Development of joint statements by the members for use in these global forums will be assisted by the Secretariat (when required).

5. Suggested Action by the SAG

The SAG is invited to discuss and review the proposed draft work programme for the period 2012-2013.

Particularly, the SAG is requested to:

- 1) Advise on the activities and projects foreseen in the draft work programme, the potential funding sources and partners to involve.
- 2) Add/remove specific scientific/research activities to be taken up by the Commission in the next biennium (2012-2013).
- 3) Discuss and offer technical guidance on one or more issues, in order that individual SAG members and observers to the 5th SAG meeting take a leading role in further development and implementation of activities and projects foreseen.

Annex A

Work Programme of WECAFC, 2008–09
(Subject to obtaining the required extra-budgetary funding support).

A. Brazil-Guianas Shrimp and Groundfish (Brazil, French Guiana, Guyana, Suriname, Trinidad and Tobago, Venezuela)		
Activity	Time	Potential Funding
A1. Workshop on fisheries management in the Brazil-Guianas shelf based on the best available scientific information - implementation constraints and their resolution	2008	EBF, PC, RP
A2. Fishery assessment and management Workshop for developing/refining management plans for different species and fisheries	2009	EBF, PC, RP, IFREMER
A3. Managers' Workshop on fisheries management to promote activities and cooperation in fisheries of the Brazil-Guianas shelf.	2009	EBF, PC, RP
B. Caribbean Spiny Lobster (Bahamas, Belize, Bermuda, Brazil, Colombia, Cuba, Dominican Republic, Honduras, Jamaica, Mexico, Nicaragua, Saint Lucia, USA, Venezuela)		
B1. Sixth regional assessment and management Workshop on developing/refining management plans	2009	EBF, PC, RP
B2. Managers'/Ministers' Meeting on the management of Caribbean Spiny lobster	2009	EBF, PC, RP
C. Flyingfish in the Eastern Caribbean (Barbados, Dominica, Grenada, Guadeloupe, Martinique, St. Lucia, St. Vincent & the Grenadines, Trinidad & Tobago)		
C1. Assessment and Management Workshop	2008	EBF, PC, RP
C2. Preparation of regional and national management Plans	2008	EBF, PC, RP
C3. Meeting of Managers and Ministers to discuss, adopt management plans and implementation modalities	2008	EBF, PC, RP
D. Working Group on Development of Sustainable Moored Fish Aggregating Device (FAD) Fishing in the Lesser Antilles (Antigua & Barbuda, Cuba, Curaçao, Dominica, Grenada, Guadeloupe, Martinique, Saint Kitts & Nevis, Saint Lucia, Saint Vincent & the Grenadines, Trinidad & Tobago)		
D1. Fourth Meeting of the Working Group on Development of Sustainable Moored Fish Aggregating Device Fishing in the Lesser Antilles	2009	EBF, PC, RP, IFREMER
E. Working Group on Queen Conch, <i>Strombus gigas</i>		
E1. Preparation and publication of a revised version of the "Manual for the monitoring and management of queen conch" based on the results of the 2006 regional workshop	2008	EBF, PC, UNEP/CEP, CFMC, RP
E2. Assistance to selected range States in further	2008	EBF, PC, UNEP/CEP,

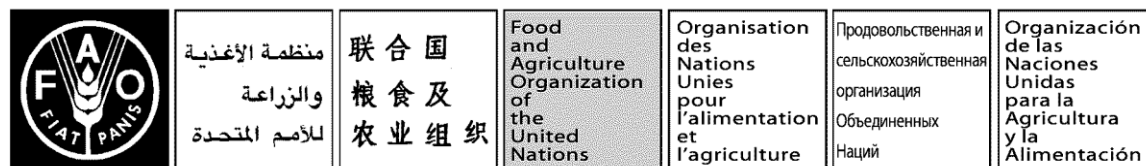
developing their national management plans for Queen Conch fisheries, initiated at the 2006 regional workshop		CFMC, RP
E3. Study to assess illegal, unregulated and unreported (IUU) fishing for Queen Conch at the regional or subregional level.	2009	EBF, PC, UNEP/CEP, CFMC, RP

F. Other Activities		
F1. Preparation of draft fisheries management plans for shark fisheries in selected member countries	2009	EB, RP, PC
F2. Facilitate formulation of sound national fisheries policies through national dialogue in selected member countries	2009	EBF, RP, PC
F3. Diagnostic study to describe and quantify the problem of derelict fishing gear in the Wider Caribbean	2009	EBF, RP, PC, NOAA, CRFM

G. Coordination and Liaison		
G1. Fourteenth Session of the Commission	October, 2009	RP, MC
G2. Fifth Meeting of the Scientific Advisory Group	April, 2009	RP
G3. Liaison with projects and activities e.g. UNEP/GEF/FAO; FIINPESCA - GCP/RLA/150/SWE; CLME - Caribbean Large Marine Ecosystem; FishCode/STF - Status and Trends in Capture Fisheries; etc.	2008/2009	EBF, RP
G4. Liaison with regional and international agencies (e.g. UWI/CERMES, CRFM, OSPESCA, IMO, UNEP/CEP, CMFC) Representation at meetings	N/A	EBF, RP

Note: The implementation of this Work Programme is heavily dependent on securing extra-budgetary funding. FAO will seriously consider providing additional, technical support to WECAFC, through its Regular Programme, if members, partners and/or donor agencies commit to financially supporting specific activities.

Legend: CFMC – Caribbean Fishery Management Council
 CERMES – Centre for Resource Management and Environmental Studies of UWI
 EBF – Extra-budgetary funding/funds
 IFREMER – Institut Français pour la Recherche de la Mer
 MC – Member Countries
 N/A – Not applicable
 NOAA – National Oceanic and Atmospheric Administration (United States)
 OSPESCA – Organización del Sector Pesquero y Acuícola del Istmo Centroamericano
 PC – Participating Countries
 RP – FAO Regular Programme Budget
 UNEP/CEP – United Nations Environment Programme – Caribbean Environment Programme
 UWI – University of West Indies



ANNEX B Proposed WECAFC Work Programme for the period 2012 -2013

Component 1: Promote the application of the FAO Code of Conduct on Responsible Fisheries and its related instruments.

Goal: Improved regional governance of the marine fishery and aquaculture sector for more effective conservation, management and development of the living marine resources in the area of competence of WECAFC.

Purpose: Strengthened policy, legal and institutional frameworks to promote and support fisheries and aquaculture in line with the CCRF and related international instruments in the WECAFC region.

Activities	Outputs	Key performance indicator	Timeframe	Commission endorsement foreseen	Total budget required	Budget sources and status
1.1 Dissemination of the latest information on the CCRF and related instruments and guidelines	Recommendations for improvement and harmonization of legal frameworks for fisheries and aquaculture in the region	Report of the Regional CCRF workshop, Barbados, December, 2011 Emails and letters to members, making them aware of guidelines and instruments	2012 -2013	no	15 000	FAO SLC (secured)
1.2 Promotion of the Ecosystem Approach to Fisheries (EAF) and Aquaculture (EAA)	EAF and EAA incorporated in national policies and strategies of WECAFC members	National policy and strategy documents	2012 -2013		???	CLME?
1.3 Provision of fisheries legal and policy advice in line with the CCRF to WECAFC members	Recommendations for changes in the policies, strategies, laws and regulations	Mission reports of consultants National strategies, laws and regulations	2012 -2013	Not applicable; submission for information in	120 000	TCP/JAM/3301 TCP/GUY/3301 TCP/Belize

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(in 2008-2009 workprogramme F2)				2013		(secured)
1.4 Development of NPOAs - Sharks (in 2008-2009 workprogramme F1)	Five additional WECAFC members have developed and are implementing NPOAs – Sharks	Number of NPOA’s sharks developed by WECAFC members Implementation records	2012-2013	Not applicable; submission for information in 2013	200 000	GCP/INT/253/JPN (for some of the NPOA’s) USA?
1.5 Facilitate the regional application of the 2008 International Guidelines for the Management of Deep-sea Fisheries in the High Seas	Recommendations from the “Regional Workshop: Towards ensuring the long-term sustainability of deep-sea fish stocks in the high seas of the Western Central Atlantic Ocean”	Report from the “Regional Workshop: Towards ensuring the long-term sustainability of deep-sea fish stocks in the high seas of the Western Central Atlantic Ocean WECAFC resolution on deep sea fisheries in the high seas fisheries	2012	Yes, in 2013	200 000	USA UNDOALOS/FAO FishStocks TrustFund In-kind support from FIPI - FAO Headquarters
1.6 Support the national level application of the 2010 FAO International Guidelines on Bycatch Management and Reduction of Discards by WECAFC members (in 2008-2009 workprogramme F3)	Diagnostic study to describe and quantify the problem of derelict fishing gear in the Wider Caribbean Development of compatible standards, tools and information aimed at facilitating the implementation of the Guidelines by WECAFC members	Study report published as FAO Fisheries and Aquaculture Technical Paper Mission reports of consultants Recommendations to the Commission	2012-13	Yes, in 2013	100 000	TCP facility projects?

Component 2: Support the development and management of responsible small-scale, artisanal and subsistence fisheries and aquaculture.

Goal: Improved policies in support of sustainable livelihoods and increased capacity of fisherfolk and aquaculturists to apply modern production technologies, better management practices and produce high quality and safe fish and fishery products for human consumption.

Purpose: Responsible development and management of small-scale fisheries and aquaculture.

Activities	Outputs	Key performance indicator	Timeframe	Commission endorsement foreseen	Total budget required	Budget sources and status
2.1 Strengthen the capacity of the Caribbean Network of Fishers Organizations (CNFO), national and primary fisherfolk organizations	Competent fisherfolk representatives participating professionally in regional and national level fisheries management decision making processes	Attendance lists of capacity building activities Number of primary fisherfolk organizations with statutes and rules/regulations	2012 -2013	no	300 000	FAO TCP support foreseen
2.2 Support the establishment of a regional shellfish hatchery for the Wider Caribbean	Investment/business plan study, forming the	Investment/business plan Grant/loan support from a development bank	2012 -2013	No, for information only	100 000	Caribbean Development Bank
2.3 Support to the development process of the international Guidelines on securing sustainable small scale fisheries	Region-specific guidance on policies and practices in support of sustainable small-scale fisheries and informing the contents of the SSF guidelines	Workshop report with recommendations for the contents of the international SSF guidelines	2012-2013	No, for information only	30 000	FAO extra budgetary funding
2.4 Establishment of aquaculture demonstration activities	Sustainable aquaculture practices by small-scale farmers in various WECAFC member countries	Adaptation of aquaculture practices following advice given	2012-2013	No, for information only	300 000	FAO TCP/Telefood support
2.5 Review of fish supply chain performance and increased application of fish quality and safety measures	Various fish and fishery products supply chain reviews Recommendations and advice for improving fish quality and safety	Increased number of facilities authorized to export to the EU, USA and Japan	2012-2013	No, for information only	200 000	TCP/BAR/3301 (80 000) Others?

Component 3: Coordinate and cooperate with other relevant international organizations on matters of common interest

Goal: Increased inter-agency/ inter-institutional and inter-project collaboration in the Caribbean region on fisheries, aquaculture and related issues, building on the comparative advantages of each, in support of responsible development and management of the sector.

Purpose: Strengthened research, technology development, management and capacity building frameworks and networks for fisheries and aquaculture in the region.

Activities	Outputs	Key performance indicator	Timeframe	Commission endorsement foreseen	Total budget required	Budget sources and status
3.1 Strengthening disaster risk management capacity in the fisheries sector in the Caribbean	A strategy, action plan and programme proposal on disaster risk management and climate change adaptation in fisheries and aquaculture in the CARICOM region	Draft strategy and action plan Programme proposal	2012	Yes, in 2013	200 000	FAO FishCode Programme In partnership with CRFM and CDEMA
3.2 Provision of technical and legal advice for the implementation of the Caribbean Community Common Fisheries Policy	Clear and comprehensive draft protocols prepared	Draft protocols	2012	No, for information only	80 000	CRFM FAO TCP facility resources
3.3 Sustainable Brazil-Guianas Shrimp and Groundfish fisheries (in 2008-2009 workprogramme A)	Assessment studies CLME Strategic Action Plan with priority actions for the sustainability of shrimp and groundfish fisheries		2012	No, for information only	??	CLME FAO
3.4 Improved transboundary management of Caribbean Spiny Lobster (in 2008-2009	Revival of the WECAFC Working Group on Caribbean Spiny Lobster, <i>Panulirus argus</i>	New Terms of Reference Reports of meetings	2012-2013	No, for information only	300 000	FAO Regional TCP (Nicaragua and Honduras and ??) funding foreseen

workprogramme B)						
3.4 Improved transboundary management of the Flyingfish in the Eastern Caribbean (in 2008-2009 workprogramme C)	Revival of the WECAFC Ad Hoc Flyingfish Working Group of the Eastern Caribbean CRFM/CLME studies on flying fish	Finalized and endorsed the Subregional Fisheries Management Plan for Flyingfish in the Eastern Caribbean	2012-2013	Yes, for endorsement in 2013	20 000	FAO SLC regular budget CRFM/CLME funding of studies
3.5 Increased knowledge of and experience with offshore FADs (in 2008-2009 workprogramme D)	MAGDELESA project IFREMER/WECAFC Working Group on Development of Sustainable Moored Fish Aggregating Device (FAD) Fishing in the Lesser Antilles	Demonstrations in various MAGDELESA project countries Findings and recommendations of the MAGDELESA Project Working group meeting reports	2012-2013	Yes, recommendations and advice will be passed to the Commission for endorsement in 2013	1 800 000	IFREMER/EU funded research 15 000 FAO SLC
3.6 Strengthened research and management capacity for Queen Conch in the Caribbean (in 2008-2009 workprogramme E)	CFMC Queen Conch Conference in 2012 Revival of a joint CFMC/OSPESCA/CRFM/WECAFC Working Group on Queen Conch, Strombus gigas”	Report of the Conference New TORs for the joint working group	2012	No, for information only	100 000	CFMC?
3.7 Participate and provide advice and information to activities of partner agencies	Increased sharing of information and communication between the WECAFC Secretariat and other fisheries and aquaculture stakeholders active in the region and neighbouring regions	Minutes of meetings Reports of activities of partner organizations and projects	2012-2013	no	20 000	FAO SLC Regular budget

Component 4: Manage the work programme and carry-out a strategic reorientation of the functions and mandate of the Commission

Goal: Improved functioning of WECAFC in terms of addressing its mandate and promises to its members, through professional daily management of the work programme and strategic reorientation to fill gaps in regional fisheries management and strengthen the role of the Commission in the area under its mandate.

Purpose: Increased management capacity of the Commission to address pertinent needs of its members and specific gaps in regional fisheries management in a competent and effective manner.

Activities	Outputs	Key performance indicator	Timeframe	Commission endorsement foreseen	Total budget required	Budget sources and status
4.1 Organize the fourteenth session of the Commission in 2012	Recommendations and advice to WECAFC members on fisheries management in the region	Approved work programme Report of the session	February 2012	yes	80 000	FAO SLC Regular budget
4.2 Organize the SAG/TAC meeting in 2013	Technical and scientific advice for consideration by the 15 th session of the Commission	Report of the meeting	2013	Yes, in 2014	40 000	FAO SLC Regular budget
4.3 Prepare for the organization of the fifteenth session of the Commission in 2014	Timely organized session which will discuss interesting subjects that meet the needs of the members of the Commission	Background papers prepared Host country confirmed	2013	Yes, in 2014	60 000	FAO SLC Regular budget
4.4 WECAFC priority setting and work planning workshop in 2013	Draft medium term plan for the period 2014 -2018	Draft background document for the 15 th session	First semester of 2013	Yes, in 2014	50 000	Donor support required
4.5 Strategic re-orientation of WECAFC	Background paper for the 15 th session on the way forward	Resolution on the way forward at the 15 th session Agreement on reform of WECAFC	2012-2013	Yes, in 2014	30 000	Donor support required