ПРИЛОЖЕНИЕ 8.5 ФОРМЫ И ИНСТРУКЦИИ

ПРИЛОЖЕНИЕ 8.5.1 ПРЕДСТАВЛЕНИЕ ОТВЕТОВ ОТНОСИТЕЛЬНО ИМПОРТА

ROTTERDAM CONVENTION

SECRETARIAT FOR THE ROTTERDAM CONVENTION
ON THE PRIOR INFORMED CONSENT PROCEDURE
FOR CERTAIN HAZARDOUS CHEMICALS AND PESTICIDES
IN INTERNATIONAL TRADE

UNEP

FORM FOR IMPORT RESPONSE

Country:

SECTION 1 IDENTITY OF CHEMICAL

1.1 Common name

1.2 CAS number

1.3 Category

☐ Pesticide

☐ Industrial

☐ Severely hazardous pesticide formulation

SECTION 2 INDICATION REGARDING PREVIOUS RESPONSE, IF ANY

2.1 ☐ This is a first time import response for this chemical in the country.

2.2 ☐ This is a modification of a previous response.

Date of issue of the previous response: .....

SECTION 3 RESPONSE REGARDING FUTURE IMPORT

☐ **Final decision (Fill in section 4 below)** **OR** ☐ **Interim response (Fill in section 5 below)**

SECTION 4 FINAL DECISION, PURSUANT TO NATIONAL LEGISLATIVE OR ADMINISTRATIVE MEASURES

4.1 ☐ **No consent to import**

Is the import of the chemical from all sources simultaneously prohibited? ☐ Yes ☐ No

Is domestic production of the chemical for domestic use simultaneously prohibited? ☐ Yes ☐ No

4.2 ☐ **Consent to import**

4.3 ☐ **Consent to import only subject to specified conditions**

The specified conditions are:

Are the conditions for import of the chemical the same for all sources of import? ☐ Yes ☐ No

Are the conditions for domestic production of the chemical for domestic use the same as for all imports? ☐ Yes ☐ No

4.4 **National legislative or administrative measure upon which the final decision is based**

Description of the national legislative or administrative measure:

SECTION 5 INTERIM RESPONSE

5.1 ☐ **No consent to import**

Is the import of the chemical from all sources simultaneously prohibited? ☐ Yes ☐ No

Is domestic production of the chemical for domestic use simultaneously prohibited? ☐ Yes ☐ No

5.2 ☐ **Consent to import**

5.3 ☐ **Consent to import only subject to specified conditions**

The specified conditions are:

Are the conditions for import of the chemical the same for all sources of import? ☐ Yes ☐ No

Are the conditions for domestic production of the chemical for domestic use the same as for all imports? ☐ Yes ☐ No

5.4 **Indication of active consideration in order to reach a final decision**

Is a final decision under active consideration? ☐ Yes ☐ No

5.5 **Information or assistance requested in order to reach a final decision**

The following additional information is requested from the Secretariat:

The following additional information is requested from the country that notified the final regulatory action:

The following assistance is requested from the Secretariat in evaluating the chemical:

SECTION 6 RELEVANT ADDITIONAL INFORMATION, WHICH MAY INCLUDE:

Is this chemical currently registered in the country? ☐ Yes ☐ No

Is this chemical manufactured in the country? ☐ Yes ☐ No

If yes to either one of these questions:

Is this intended for domestic use? ☐ Yes ☐ No

Is this intended for export? ☐ Yes ☐ No

Other remarks

SECTION 7 DESIGNATED NATIONAL AUTHORITY

Institution	
Address	
Name of person in charge	
Position of person in charge	
Telephone	
Telefax	
E-mail address	

Date, signature of DNA and official seal: _____

PLEASE RETURN THE COMPLETED FORM TO:

Secretariat for the Rotterdam Convention
Food and Agriculture Organization
of the United Nations (FAO)
Viale delle Terme di Caracalla
00100 Rome, Italy
Tel: (+39 06) 5705 3441
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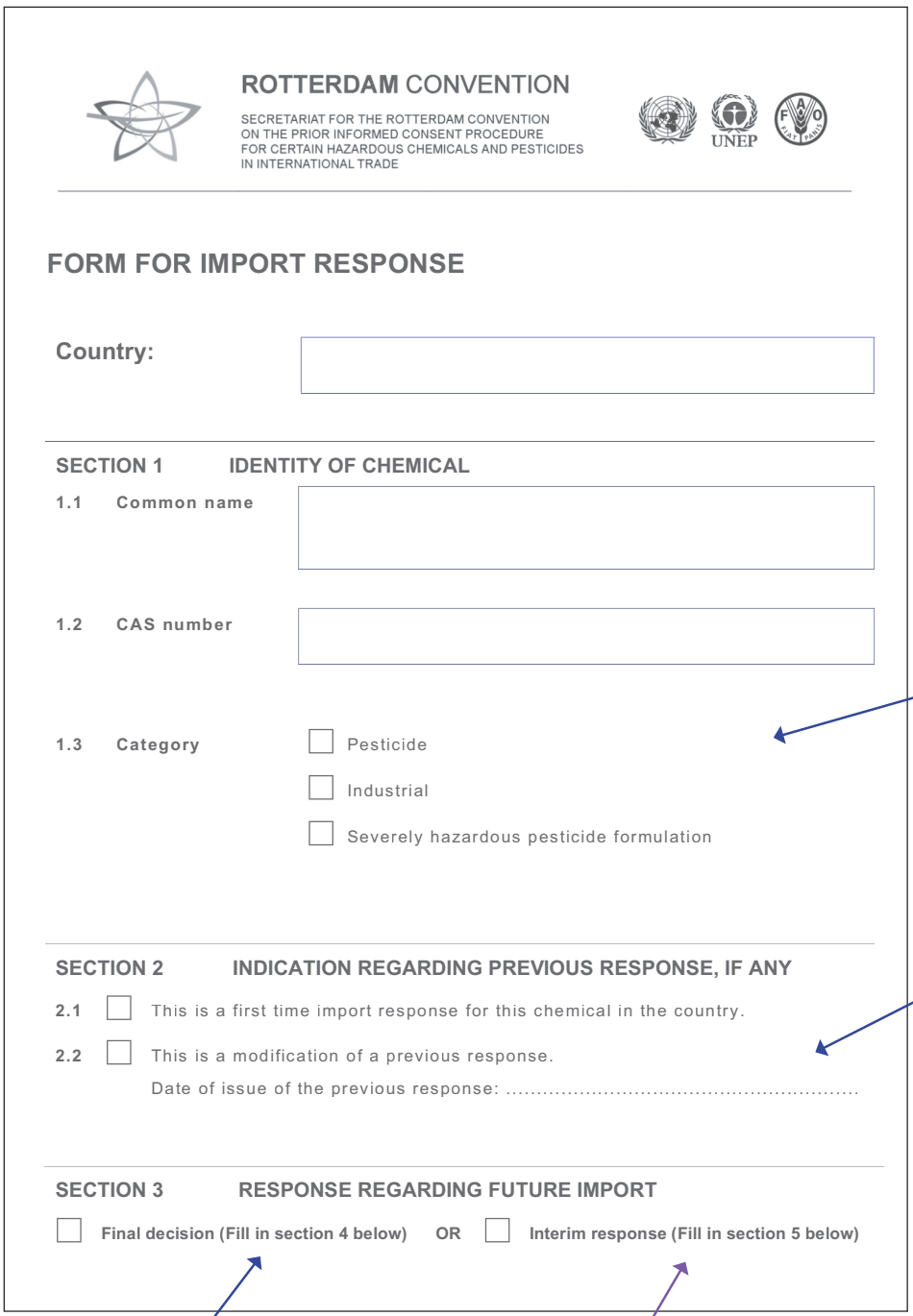
INSTRUCTIONS FOR SUBMISSION OF AN IMPORT RESPONSE



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FORM FOR IMPORT RESPONSE

Country:

SECTION 1 IDENTITY OF CHEMICAL

1.1 Common name

1.2 CAS number

1.3 Category

- ☐ Pesticide
- ☐ Industrial
- ☐ Severely hazardous pesticide formulation

SECTION 2 INDICATION REGARDING PREVIOUS RESPONSE, IF ANY

2.1 ☐ This is a first time import response for this chemical in the country.

2.2 ☐ This is a modification of a previous response.

Date of issue of the previous response: .....

SECTION 3 RESPONSE REGARDING FUTURE IMPORT

☐ **Final decision (Fill in section 4 below)** **OR** ☐ **Interim response (Fill in section 5 below)**

INSTRUCTION: The category or categories checked here must be consistent with the categories listed for the chemical in Annex III of the Convention. The response given later in Section 4 or 5 must relate to the category or categories checked in this section.

INSTRUCTION: Both a final decision as well as an interim decision can be revised with a resubmitted completed Import Response Form. The previous decision will always be replaced by the new response.

INSTRUCTION: Please check ONLY ONE of the two options to indicate whether the response given in the form is a final decision OR an interim response.

SECTION 4 FINAL DECISION, PURSUANT TO NATIONAL LEGISLATIVE OR ADMINISTRATIVE MEASURES

INSTRUCTION: Section 4 must be filled in ONLY WHEN a final decision has been taken.

4.1 ☐ **No consent to import**

Is the import of the chemical from all sources simultaneously prohibited? ☐ Yes ☐ No

Is domestic production of the chemical for domestic use simultaneously prohibited? ☐ Yes ☐ No

4.2 ☐ **Consent to import**

4.3 ☐ **Consent to import only subject to specified conditions**

The specified conditions are:

Are the conditions for import of the chemical the same for all sources of import? ☐ Yes ☐ No

Are the conditions for domestic production of the chemical for domestic use the same as for all imports? ☐ Yes ☐ No

4.4 **National legislative or administrative measure upon which the final decision is based**

Description of the national legislative or administrative measure:

SECTION 5 INTERIM RESPONSE

INSTRUCTION: Section 5 must be filled in ONLY WHEN no final decision has been taken. An interim response is valid during the period until a final decision is reached.

5.1 ☐ **No consent to import**

Is the import of the chemical from all sources simultaneously prohibited? ☐ Yes ☐ No

Is domestic production of the chemical for domestic use simultaneously prohibited? ☐ Yes ☐ No

5.2 ☐ **Consent to import**

5.3 ☐ **Consent to import only subject to specified conditions**

The specified conditions are:

Are the conditions for import of the chemical the same for all sources of import? ☐ Yes ☐ No

Are the conditions for domestic production of the chemical for domestic use the same as for all imports? ☐ Yes ☐ No

5.4 **Indication of active consideration in order to reach a final decision**

Is a final decision under active consideration? ☐ Yes ☐ No

5.5 **Information or assistance requested in order to reach a final decision**

The following additional information is requested from the Secretariat:

The following additional information is requested from the country that notified the final regulatory action:

The following assistance is requested from the Secretariat in evaluating the chemical:

INSTRUCTION: If additional information is required, this must be clearly stated. If assistance is requested from the Secretariat in evaluating the chemical, the specific areas of difficulty and the nature of the assistance requested should be indicated.

SECTION 6 RELEVANT ADDITIONAL INFORMATION, WHICH MAY INCLUDE:

Is this chemical currently registered in the country? ☐ Yes ☐ No

Is this chemical manufactured in the country? ☐ Yes ☐ No

If yes to either one of these questions:

Is this intended for domestic use? ☐ Yes ☐ No

Is this intended for export? ☐ Yes ☐ No

Other remarks

SECTION 7 DESIGNATED NATIONAL AUTHORITY

Institution	
Address	
Name of person in charge	
Position of person in charge	
Telephone	
Telefax	
E-mail address	

Date, signature of DNA and official seal: _____

PLEASE RETURN THE COMPLETED FORM TO:

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ПРИЛОЖЕНИЕ 8.5.2 ПРЕДСТАВЛЕНИЕ УВЕДОМЛЕНИЯ ОБ ОКОНЧАТЕЛЬНОМ РЕГЛАМЕНТАЦИОННОМ ПОСТАНОВЛЕНИИ

ROTTERDAM CONVENTION

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UNEP

FORM FOR NOTIFICATION
OF FINAL REGULATORY ACTION TO BAN OR SEVERELY RESTRICT A CHEMICAL

Country:

SECTION 1	**IDENTITY OF CHEMICAL SUBJECT TO THE FINAL REGULATORY ACTION**	
1.1	**Common name**	
1.2	**Chemical name according to an internationally recognized nomenclature (e.g. IUPAC), where such nomenclature exists**	
1.3	**Trade names and names of preparations**	
1.4	**Code numbers**	
1.4.1	CAS number	
1.4.2	Harmonized System customs code	
1.4.3	Other numbers (specify the numbering system)	

1.5 Indication regarding previous notification on this chemical, if any

1.5.1 ☐ This is a first time notification of final regulatory action on this chemical.

1.5.2 ☐ This notification replaces all previously submitted notifications on this chemical.
Date of issue of the previous notification: _____

SECTION 2 FINAL REGULATORY ACTION

2.1 The chemical is: ☐ **banned** **OR** ☐ **severely restricted**

2.2 Information specific to the final regulatory action

2.2.1 Summary of the final regulatory action

2.2.2 Reference to the regulatory document, e.g. where decision is recorded or published

2.2.3 Date of entry into force of the final regulatory action

2.3 Category or categories where the final regulatory action has been taken

2.3.1 All use or uses of the chemical in your country prior to the final regulatory action

2.3.2 Final regulatory action has been taken for the category ☐ Industrial

Use or uses prohibited by the final regulatory action

Use or uses that remain allowed (only in case of a severe restriction)

2.3.3 Final regulatory action has been taken for the category ☐ Pesticide

Formulation(s) and use or uses prohibited by the final regulatory action

Formulation(s) and use or uses that remain allowed
(only in case of a severe restriction)

2.4 Was the final regulatory action based on a risk or hazard evaluation?

☐ **Yes**

☐ **No** (If no, you may also complete section 2.5.3.3)

2.4.1 If yes, reference to the relevant documentation, which describes the hazard or risk evaluation

2.4.2 Summary description of the risk or hazard evaluation upon which the ban or severe restriction was based.

2.4.2.1 Is the reason for the final regulatory action relevant to human health? ☐ Yes ☐ No

If yes, give summary of the hazard or risk evaluation related to human health, including the health of consumers and workers

Expected effect of the final regulatory action

2.4.2.2 Is the reason for the final regulatory action relevant to the environment? ☐ Yes ☐ No

If yes, give summary of the hazard or risk evaluation related to the environment

Expected effect of the final regulatory action

2.5 Other relevant information regarding the final regulatory action

2.5.1 Estimated quantity of the chemical produced, imported, exported and used

	Quantity per year (MT)	Year
produced		
imported		
exported		
used		

2.5.2 Indication, to the extent possible, of the likely relevance of the final regulatory action to other states and regions

2.5.3 Other relevant information that may cover:

2.5.3.1 Assessment of socio-economic effects of the final regulatory action

2.5.3.2 Information on alternatives and their relative risks, e.g. IPM, chemical and non-chemical alternatives

2.5.3.3 Basis for the final regulatory action if other than hazard or risk evaluation

2.5.3.4 Additional information related to the chemical or the final regulatory action, if any

SECTION 3 PROPERTIES

3.1 Information on hazard classification where the chemical is subject to classification requirements

International classification systems e.g. WHO, IARC, etc.	**Hazard class**

Other classification systems e.g. EU, USEPA	**Hazard class**

3.2 Further information on the properties of the chemical

3.2.1 Description of physico-chemical properties of the chemical

Reference

3.2.2 Description of toxicological properties of the chemical

Reference

3.2.3 Description of ecotoxicological properties of the chemical

Reference

SECTION 4 DESIGNATED NATIONAL AUTHORITY

Institution	
Address	
Name of person in charge	
Position of person in charge	
Telephone	
Telefax	
E-mail address	

Date, signature of DNA and official seal: _____

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CH – 1219 Châtelaine, Geneva, Switzerland
Tel: (+41 22) 917 8177
Fax: (+41 22) 917 8082
E-mail: pic@pic.int

Definitions for the purposes of the Rotterdam Convention according to Article 2:

(a) 'Chemical' means a substance whether by itself or in a mixture or preparation and whether manufactured or obtained from nature, but does not include any living organism. It consists of the following categories: pesticide (including severely hazardous pesticide formulations) and industrial;

(b) 'Banned chemical' means a chemical all uses of which within one or more categories have been prohibited by final regulatory action, in order to protect human health or the environment. It includes a chemical that has been refused approval for first-time use or has been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process and where there is clear evidence that such action has been taken in order to protect human health or the environment;

(c) 'Severely restricted chemical' means a chemical virtually all use of which within one or more categories has been prohibited by final regulatory action in order to protect human health or the environment, but for which certain specific uses remain allowed. It includes a chemical that has, for virtually all use, been refused for approval or been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process, and where there is clear evidence that such action has been taken in order to protect human health or the environment;

(d) 'Final regulatory action' means an action taken by a Party, that does not require subsequent regulatory action by that Party, the purpose of which is to ban or severely restrict a chemical.

INSTRUCTIONS FOR SUBMISSION OF A NOTIFICATION OF FINAL REGULATORY ACTION

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FORM FOR NOTIFICATION
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Country:

SECTION 1 IDENTITY OF CHEMICAL SUBJECT TO THE FINAL REGULATORY ACTION

1.1	**Common name**	
1.2	**Chemical name according to an internationally recognized nomenclature (e.g. IUPAC), where such nomenclature exists**	
1.3	**Trade names and names of preparations**	
1.4	**Code numbers**	
1.4.1	CAS number	
1.4.2	Harmonized System customs code	
1.4.3	Other numbers (specify the numbering system)	

INSTRUCTION: If reporting a final regulatory action that applies to a group of chemicals, please provide CAS-number for each chemical covered by the final regulatory action.

1.5 Indication regarding previous notification on this chemical, if any

1.5.1 ☐ This is a first time notification of final regulatory action on this chemical.

1.5.2 ☐ This notification replaces all previously submitted notifications on this chemical.
Date of issue of the previous notification: _____

INSTRUCTION: When revising a final regulatory action please provide a new notification that replaces all previous notifications.

SECTION 2 FINAL REGULATORY ACTION

2.1 The chemical is: ☐ **banned** **OR** ☐ **severely restricted**

INSTRUCTION: Please check only one of the two options. The definitions of ban and severe restriction under the Rotterdam Convention can be found at the end of this form.

2.2 Information specific to the final regulatory action

2.2.1 Summary of the final regulatory action

2.2.2 Reference to the regulatory document, e.g. where decision is recorded or published

2.2.3 Date of entry into force of the final regulatory action

INSTRUCTION: This is the effective date when the regulatory action comes into force for the chemical.

2.3 Category or categories where the final regulatory action has been taken

2.3.1 All use or uses of the chemical in your country prior to the final regulatory action

2.3.2 Final regulatory action has been taken for the category ☐ Industrial

Use or uses prohibited by the final regulatory action

Use or uses that remain allowed (only in case of a severe restriction)

2.3.3 Final regulatory action has been taken for the category ☐ Pesticide

Formulation(s) and use or uses prohibited by the final regulatory action

Formulation(s) and use or uses that remain allowed
(only in case of a severe restriction)

INSTRUCTION: please indicate whether the final regulatory action bans or severely restricts all formulations of the chemical or bans or severely restricts only certain types of formulations or certain concentrations of active ingredient.

2.4 **Was the final regulatory action based on a risk or hazard evaluation?** ☐ **Yes** ☐ **No** (If no, you may also complete section 2.5.3.3)

2.4.1 If yes, reference to the relevant documentation, which describes the hazard or risk evaluation

2.4.2 Summary description of the risk or hazard evaluation upon which the ban or severe restriction was based.

2.4.2.1 Is the reason for the final regulatory action relevant to human health? ☐ Yes ☐ No

If yes, give summary of the hazard or risk evaluation related to human health, including the health of consumers and workers

Expected effect of the final regulatory action

2.4.2.2 Is the reason for the final regulatory action relevant to the environment? ☐ Yes ☐ No

If yes, give summary of the hazard or risk evaluation related to the environment

Expected effect of the final regulatory action

INSTRUCTION: If the final regulatory action was based on a risk evaluation involving prevailing conditions in your country, this should be indicated, including a summary of relevant information. Detailed report can be submitted separately if available.

INSTRUCTION: Information provided here may include a consideration of whether the final regulatory action led, or would be expected to lead:
- to a significant decrease in the quantity of the chemical used or the number of its uses; and
- to result in a significant reduction of risk for the human health or environment in your country.

2.5 Other relevant information regarding the final regulatory action

2.5.1 Estimated quantity of the chemical produced, imported, exported and used

	Quantity per year (MT)	Year
produced		
imported		
exported		
used		

2.5.2 Indication, to the extent possible, of the likely relevance of the final regulatory action to other states and regions

2.5.3 Other relevant information that may cover:

2.5.3.1 Assessment of socio-economic effects of the final regulatory action

2.5.3.2 Information on alternatives and their relative risks, e.g. IPM, chemical and non-chemical alternatives

2.5.3.3 Basis for the final regulatory action if other than hazard or risk evaluation

INSTRUCTION:
Please provide, to the extent possible, an indication on whether the considerations that led to the final regulatory action are applicable also in other states or regions.

2.5.3.4 Additional information related to the chemical or the final regulatory action, if any

SECTION 3 PROPERTIES

3.1 Information on hazard classification where the chemical is subject to classification requirements

INSTRUCTION: The hazard classification given here should be for the active ingredient.

International classification systems e.g. WHO, IARC, etc.	**Hazard class**

Other classification systems e.g. EU, USEPA	**Hazard class**

3.2 Further information on the properties of the chemical

3.2.1 Description of physico-chemical properties of the chemical

Reference

3.2.2 Description of toxicological properties of the chemical

Reference

3.2.3 Description of ecotoxicological properties of the chemical

Reference

SECTION 4 DESIGNATED NATIONAL AUTHORITY

Institution	
Address	
Name of person in charge	
Position of person in charge	
Telephone	
Telefax	
E-mail address	

Date, signature of DNA and official seal: _____

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Definitions for the purposes of the Rotterdam Convention according to Article 2:

(a) 'Chemical' means a substance whether by itself or in a mixture or preparation and whether manufactured or obtained from nature, but does not include any living organism. It consists of the following categories: pesticide (including severely hazardous pesticide formulations) and industrial;

(b) 'Banned chemical' means a chemical all uses of which within one or more categories have been prohibited by final regulatory action, in order to protect human health or the environment. It includes a chemical that has been refused approval for first-time use or has been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process and where there is clear evidence that such action has been taken in order to protect human health or the environment;

(c) 'Severely restricted chemical' means a chemical virtually all use of which within one or more categories has been prohibited by final regulatory action in order to protect human health or the environment, but for which certain specific uses remain allowed. It includes a chemical that has, for virtually all use, been refused for approval or been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process, and where there is clear evidence that such action has been taken in order to protect human health or the environment;

(d) 'Final regulatory action' means an action taken by a Party, that does not require subsequent regulatory action by that Party, the purpose of which is to ban or severely restrict a chemical.

ПРИЛОЖЕНИЕ 8.5.3 ФОРМЫ СООБЩЕНИЙ О ПРОИСШЕСТВИЯХ, СВЯЗАННЫХ С ОСОБО ОПАСНЫМИ ПЕСТИЦИДНЫМИ СОСТАВАМИ - НАНЕСЕНИЕ УЩЕРБА ЗДОРОВЬЮ ЧЕЛОВЕКА

Secretariat for the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade

UNEP

Introduction to the Severely Hazardous Pesticide Formulation Report Form - Human Health Incidents

The severely hazardous pesticide formulation report form consists of three sections:

Introduction, the text is intended to provide relevant background information on the Rotterdam Convention and how the information collected by the form and submitted by the Designated National Authority will be used.

Part A is to be completed by the Designated National Authority once he/she receives Part B from the field. It reflects the information requirements of part 1 of Annex IV of the Convention. There is some redundancy between Parts A and B of the form particularly with respect to information on product identity. It was thought that this redundancy might help countries to consolidate responses by using Part A of the form to report on more than one incident for the same formulation.

Part B is designed to provide "*a clear description of the incidents related to the problem, including the adverse effects and the way in which the formulation was used*" (part 1 paragraph g of Annex IV of the Convention). The form has been constructed around these points. It consists of a series of closed questions or checklist that captures the basic information needed with options for including additional information where it is available.

SEVERELY HAZARDOUS PESTICIDE FORMULATION REPORT FORM – HUMAN HEALTH INCIDENTS

Purpose of this form
The Severely Hazardous Pesticide Formulation (SHPF) Report form was developed to facilitate the identification of candidate formulations for inclusion in the Rotterdam Convention. The Convention provides a mechanism for countries to decide whether or not they wish to receive future shipments of such pesticide formulations and for ensuring compliance with these decisions by exporting countries.

What is the Rotterdam Convention?
The Rotterdam Convention on the Prior Informed Consent (PIC) Procedure for Certain Hazardous Chemicals and Pesticides in International Trade promotes a shared responsibility between importing and exporting Parties in the international trade of certain hazardous chemicals. It gives importing countries the power to decide which chemicals they want to receive and to exclude those they cannot manage safely. The Convention includes provisions for developing countries and countries with economy in transition, that are experiencing problems with severely hazardous pesticide formulations under conditions of use, to identify the formulations as candidates for inclusion in the Convention. Further information on the operation of the Rotterdam Convention may be found at www.pic.int

What is the severely hazardous pesticide formulation report form?
This form consists of two parts Part A and Part B. Part A (Transmittal Form) is to be used by the Designated National Authority (DNA) to transmit an incident report form to the Secretariat. Part B (Pesticide Incident Report Form) has been developed to collect the information required by the Convention, that is a clear description of the incidents related to the use of a severely hazardous pesticide formulation, including the adverse effects and the way in which the formulation was used. Part B of the form consists of a series of closed questions or checklist that captures the basic information needed with options for including additional information where it is available. It is fully compatible with programs collecting quantitative information on pesticide poisonings in support of epidemiological studies or national programmes concerning the reporting of adverse effects associated with pesticide use. The format has been developed so that it might be widely used by States, aid agencies, intergovernmental organizations and non-governmental organizations etc., in reporting on pesticide incidents. If there are other formats available that meet the information requirements of Parts 1 and 3, Annex IV of the Convention, they may also be used in preparing a submission and forwarded through the DNA to the Secretariat together with Part A of the SHPF form. There is some redundancy between Parts A and B of this form. It was thought that this might help countries to consolidate responses by using Part A of the form to report on more than one incident for the same formulation.

What happens to the completed form?
Once Part B- Incident report form has been completed to the extent possible based on the information available, it should be forwarded to the DNA. The DNA is to coordinate the completion of Part A- Transmittal form and forward the entire document to the Secretariat. The Secretariat is required to collect additional information including physico-chemical and toxicological properties of the pesticide formulation, information on incidents related to the formulation in other States, the existence of handling or applicator restrictions in other states and risk and/or hazard evaluations where available. This information along with the completed form is reviewed by the Chemical Review Committee (CRC). The CRC will decide whether or not to recommend the inclusion of the pesticide formulation in the Rotterdam Convention.

Your cooperation in completing this form and your contribution for the identification of severely hazardous pesticide formulations posing problems under conditions of use is greatly appreciated. If you have any questions or comments relating to the completion of this form please contact the Secretariat at the address below.

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PART A – TRANSMITTAL FORM – DESIGNATED NATIONAL AUTHORITY

Information required from a Designated National Authority

1 Name of the formulation : .....

2 Type of formulation: (*for example EC, WP, DP, GR, TB*).....

3 Trade name and name of producer, if available:.....

4 Name of the active ingredient or ingredients in the formulation: .....

5 Relative amount of each active ingredient in the formulation: .....(*% concentration*) .....

6 Attach copy of the label(s), if available (or describe the key aspects of the label: language, etc.).

7 Common and recognized patterns of use of the formulation within the country –

- the formulation is registered / permitted for use in the country?
- what uses are permitted?
- are there any handling or applicator restrictions specified as a condition of registration;
- information on the extent of use of the formulation, such as the number of registrations or production or sales quantity (indicate the source of information);
- other information on how the formulation is commonly/typically used in the country

(this information should be submitted on a separate sheet attached to the completed form)

8 A clear description of incidents(s) related to the problem, including adverse effects and the way in which the formulation was used (for example *Part B pesticide incident report form identifies key elements and appropriate level of detail*). Other report formats which may exist at the national level may also be used, provided they contain comparable information.

9 Any regulatory, administrative or other measure taken, or intended to be taken, by the proposing Party in response to such incidents.

Date, signature of DNA and official seal.....

PLEASE RETURN THE COMPLETED FORM TO:

Secretariat for the Rotterdam Convention
Food and Agriculture Organization
of the United Nations (FAO)
Viale delle Terme di Caracalla
00100 Rome, Italy
Tel: (+39 06) 5705 3441
Fax: (+39 06) 5705 6347
E-mail: pic@pic.int

or

Secretariat for the Rotterdam Convention
United Nations Environment
Programme (UNEP)
11-13, Chemin des Anémones
CH – 1219 Châtelaine, Geneva, Switzerland
Tel: (+41 22) 917 8177
Fax: (+41 22) 917 8082
E-mail: pic@pic.int

PART B - PESTICIDE INCIDENT REPORT FORM

This form should be completed for each individual exposed in a given incident - Where an incident involves more than one formulation please complete Section I and question 13 for each.

I. PRODUCT IDENTITY: What formulation was used when the incident took place.

1. Name of the formulation: .....

2. Type of formulation (check one of the following)

☐ Emulsifiable Conc. (EC) ☐ Wettable Powder (WP) ☐ Dustable powder (DP)

☐ Water Soluble Powder (SP) ☐ Ultra Low Volume (ULV) ☐ Tablet (TB)

☐ Granular (GR) ☐ other, please specify:

3. Trade name and name of producer, if available: .....

4. Name of the active ingredient(s) in the formulation: .....

5. Relative amount of each active ingredient in the formulation: .....
(*% concentration, g/l, etc.*)

6. Attach copy of the label(s), if available.

II. DESCRIPTION OF THE INCIDENT: How the formulation was used.

7. Date of incident: (MM/DD/Year) .....

8. Location of incident: village/city: .....

province/state/region: .....

country: .....

9. Person exposed (identity should be checked and recorded before submission of the form)

Sex: ☐ male ☐ female ☐ age:

If age unknown: ☐ child (<14yrs) ☐ adolescent (14-19 yrs) ☐ adult (>19yrs)

10. Main activity at time of exposure (check one or more of the following):

☐ application in field ☐ mixing/loading ☐ veterinary therapy

☐ household application ☐ vector control application ☐ human therapy

☐ re-entry to treated field ☐ other, please specify: .....

11. Was protective clothing used during application? ☐ **No** ☐ **Yes**

If no, please explain why: .....

If yes, briefly describe (check one or more of the following):

☐ gloves ☐ overalls ☐ eye glasses ☐ respirator ☐ face mask

☐ boots/shoes ☐ long-sleeve shirt ☐ long pants ☐ other, please specify: .....

12. Information on how product was being used:

(a) Location of exposure/incident (*field, garden, greenhouse, house, etc.*) .....

(b) List the animals/crop(s)/stored products treated if relevant: .....

(c) Application method: *(How product was used e.g. hand, bucket & brush, soil injection, spray (backpack, tractor mounted, etc), drip irrigation, aerial (helicopter, plane etc.))*:

d) Dose applied/concentration (*or amount of pesticide applied*) .....

(e) Duration of the exposure period: ☐ hours ☐ ½ day ☐ day

☐ other (specify): .....

13. If more than one pesticide formulation was used at the same time, please respond to points i) to iv) below for each formulation. (*see also Part I Product Identity*)

i) Was the pesticide in its original container?	☐	No	☐	Yes
ii) Was the label available?	☐	No	☐	Yes
If Yes, was exposed individual able to read and understand label?	☐	No	☐	Yes
iii) Does the label include the reported use?	☐	No	☐	Yes

If no, describe how the use reported above differs from that recommended on the label:

(*use a separate page if necessary*)

iv) Is the reported incident typical of how the formulation is generally used?	☐	No	☐	Yes

14. Climatic conditions under which the incident occurred (*e.g. temperature, relative humidity,...*):

.....

15. Were other individuals affected in the same incident? ☐ No ☐ Yes

16. **Include any other details that may be useful in describing the incident and the way in which the formulation was used, in particular how the use reported here reflects common or recognized use patterns for this formulation** *(additional pages may be attached)*.

III. DESCRIPTION OF ADVERSE EFFECTS:

17. **Individual's reaction** ***(check one or more of the following)*****:**

☐ dizziness ☐ headache ☐ blurred vision ☐ excessive sweating

☐ hand tremor ☐ convulsion ☐ staggering ☐ narrow pupils/miosis

☐ excessive salivation ☐ nausea/vomiting ☐ other, please specify:

☐ death

18. **Route of exposure** (*check main route or more than one if applicable*)

☐ mouth ☐ skin ☐ eyes ☐ inhalation

☐ other, please specify: .....

19. **How soon after last use of the formulation were the adverse effects observed:**

IV. MANAGEMENT:

20. **Treatment given:** ☐ No ☐ Yes ☐ Unknown

Hospitalization: ☐ No ☐ Yes ☐ Unknown

21. **Include any other details/information regarding treatment including medical intervention/first aid/ hospitalization/local practices etc.,** (*additional pages may be attached*):

V. REPORTING/COMMUNICATION:

22. Date of data collection/consultation:.....

23. Name and address of investigator/data collector:.....

24. Category of investigator/data collector:

☐ medical ☐ paramedical ☐ non-medical

If non-medical, then specify type of person (applicator, formulator, vendor, extension worker, manager, etc.):

.....

25. Contact if further information if needed: Tel:.....

Fax: ..... E.mail: .....

26. Has this incident been reported elsewhere? ☐ No ☐ Yes

If yes, where:.....

Send the completed incident report form to the Designated National Authority.
(Name and address of the DNA)