

Carlos Palma & Carlos Mayor (ICCAT Secretariat)

"CWP-tRFMOs Technical workshop on global harmonization of Tuna fisheries statistics"

FAO/CWP (Italy, Rome 2018-03-19 to 2018-03-22)

ICCAT CICTA CICAA



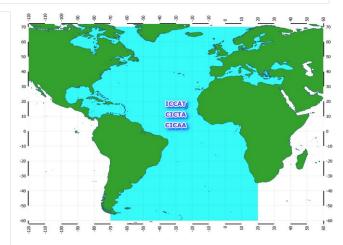
Introduction

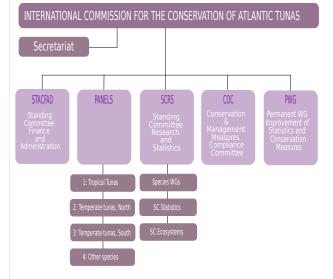
ICCAT (International Commission for the Conservation of Atlantic Tunas)

- Born in 1966: Convention signed in Rio de Janeiro (1966) by 17 Member States (<u>basic texts</u>)
 - In 2018: 52 Contacting Parties (CP)+ 5 Cooperating parties (NCC)
- Official languages: English, French, Spanish
- Convention area: Atlantic Ocean and adjacent Seas
- Mandate: The conservation of tunas and tuna-like species (& associated by-catch species: sharks, etc.) in the Convention area
- Main goal: maintain the populations of tuna and tuna like species at levels which will permit the maximum sustainable catch (MSY) for food and other purposes

SCRS (Scientific Committee):

- Ensure the "best" scientific information is compiled/used
- Stock assessments & advice on conservation and management measures
- Coordination of Research Programmes
- · Identify data deficiencies, promote historical recoveries
- Develop data collection procedures, dissemination policies, etc.









Legend

Evolution of ICCAT Regulations over time

ICCAT regulation history (map)

egYear	-		Active	Inactive	# 1	1 1	2 3		1 5	/	7	8	9	10	11	10	13	14	15	14	17	10	10	20	21	22	22	24	25	24	27	20	20	30 3	1 RC1
arear	1966		Active 1	0	RS1	_	2 3	, 4	5	0	/	0	4	10	11	12	13	14	15	10	1/	10	19	20	21	22	23	24	25	20	21	20	29	30 3	RCO
	1966		0	2		RSO																													RS1
	1974		ŏ	1	RCO	100																													RSO
	1975		1	1		OT1																													OTI
	1979		0	2		RSO																													ото
	1981		0	1	RCO																														
	1982	1	0	1	RCO																														
	1983		0	1	RCO																														
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	1985	1	0	1	RCO																														
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	1990	2	0	2	RSO	RCO																													
	1991	2	0	2	RCO	RSO																													
	1992	4	0	4	RCO	RS0	RS0	RCO																											
	1993	12	2	10					RC0																										
	1994	14	3	11	RSO	RS0	RS0	RS0	RS0	RS1	RS0	RSO	RS1	RS0	RCO F	RCO	RC0	RC1																	
	1995	15	1	14	RSO	RS1	RS0	RS0	RCO	RS0	RC0	RSO I	rso <mark>f</mark>	RC0	RCO F	RSO	RSO (ото о	ото																
	1996		2	13					RS0																										
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	1998	19	1	18					RC0									RCO F	850 I	850 R	SO F	ISO C	OT0												
	1999			9					RC0									_						_											
	2000		2	20					RSO																										
	2001		7	17					RCO								RC1													_				_	
	2002		2	28					RC0																	.CO R	CO R	50 R	SO F	RS1 R	SO R	CO RS	60 RS	0 OT1	
	2003		8	13					RS0													ICO R	RC1	RC1 R	l\$1										
	2004		2	15					RC0								RCO I	rco f	I 038	80 C	OT0														
	2005			7					RCO											_															
	2006		8	11					RC0						RCO F	RC0	RC1	RC1 F	800	RC1 R	51 F	51 R	51												
	2007			7					RS0			_																							
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	2014			5					RC1									WC1																	
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	2016		9	0					RC1					NC1	NCI I	W1	NG1 I	NCI I	WEI 1	CI K	51	CI K		UT R	JI K	эт к	0 10	11							

"Every year, data requirements are updated according to the new regulatory measures"



Overview: Regulations & "data" Requirements

RegTypeID	RegTypeCod	RegTypeAcr	Regulation	BindPriority	Bindi	ingYN
	0 CV	CNV	Convention (ICCAT)	:	1 YES	
	1 RC	REC	Recommendation	:	1 YES	
	2 RS	RES	Resolution	1	2 NO	
	3 OT	OTH	Guidelines, Criteria, etc.	:	3	

Regulation types (ICCAT)

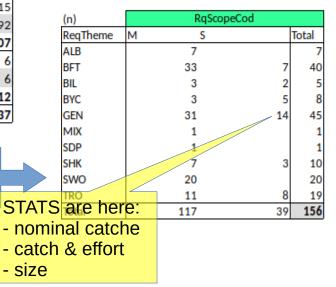
- A regulation can have various requirements and vice-versa (∞ ↔ ∞)
- Requirements are updated every year (as Regulations change)

Regulation matrix by type (active/inactive) and subject

RegTypeCod	Active	ALB	BET	BFT	BIL	BYC	GEN	MISC	SANC	SDP	SWO	TOR	TRO	YFT	tot
Res	no		7	12	2	4	18	12	. 1	. 5	8	3 7	,	1	. 77
	yes			2		2	. 14	14	Ļ		1	. 8	3		41
Res Total			7	14	2	6	32	26	i 1	5	9	15	i	1	118
Rec	no	28	14	54	10	4	20	1	. 20) 14	33	3 4	10) 3	215
	yes	2		5	3	18	35	4	ł	9	5	6 8	3 3	3	92
Rec Total		30	14	59	13	22	55	5	20	23	38	12	2 13	3 3	307
Oth	no						1	4	Ļ			1	L		6
	yes			1			3	2							6
Oth Total				1			4	6				1			12
tot		30	21	74	15	28	91	37	21	. 28	47	28	13	3 4	437
RegTypeCod	inactive	active	total												
Res	77	41	118										00 <	$\sim \sim 0$	າ∩່
Rec	215	92	307										00 <	/ (JU _
Oth	6	6	12												
tot	298	139	437												_
															_

Requirement scopes (ambit/types)

RqScopelD	RqScopeCod	RqScope
1	LS	Scientific
2	2 M	Management







Information types & collection mechanism

- Information types:
 - Structured data: stored in relational databases
 - Non-structured data (notifications, reports, articles, papers, etc.): mostly file storage (some XML schemas are under development)
- Collection tools:
 - Normalised forms ("eforms": XLSx/ODS): try-lingual, embedded instructions, embedded codes, basic validation, ready for "unattended integration" [macros/VBA forbidden]
 - Basic forms/templates (WORD/XLS): often for metadata compilation (surveys, etc.) (Number of forms: ST (11) + TG (3) + CP (~30))
 - Special agreed formats (fixed, CSV, etc.): only statistics (T2CE, T2SZ, T2CS)
 - "eBCD" (BFT Catch Documentation Scheme): real time tracking Production => Consumption)
 - VMS system (BFT monitoring only :: automatic system)
 - Others: ("free form"): various needs
- **Submission:** 95 % by Email (Correspondents on statistics, tagging, compliance, etc.)
- ✓ On reception: Registered (date, reference, supplier INFO, etc.) and inventoried
- Next steps: Validation (often 2 to 3 levels) => integration (new/revisions) & storage => use ("old" data replaced, are never lost: stored in "historical" DB layers/schemas)



Infrastructure: data handling

✓ Deployed environments:	☐ FENIX\SQL08 (SQL Server 10.50.2550 - ▲
 Intranet: AD domain resources (windows/linux)[production] 	🕀 🚞 Database Snapshots
Cloud: 1/E linux convors (rackenace) [develop tect protetype]	Biblio
 <u>Cloud</u>: 4/5 linux servers (rackspace) [develop, test, prototype] 	
	E Contacts
	ControlDocs
RDBMS:	🕀 🧻 Farming
A Main mutane (interest), margel 000000 (in migration to 001()	🕀 🧃 GIS
 Main system (intranet): mssql 2008R2 (in migration to 2016) 	🕀 🧻 IUU
(ICCAT-DB :: 32 databases in production, ~800 tables, ~40 GB)	ReportServer\$SQL08
$(ICCAI^{-}DD 52 ualabases in production, ~000 lables, ~40 GD)$	ReportServer\$SQL08TempDB
• Others (cloud): mariadb 10.2, pgsql 9.6	
 Stand-alone: sqlite 3.22 (replacing msaccess 2007/10) 	
	adCorreoICCAT
	🗉 🧻 byCatchSpc
(Development (apps, tools, utils):	🕀 间 coBCDs
Development (apps, tools, utils):	🗉 🧾 coEntities 🔤
 Past: amalgamation of VBA, .NET (C#, VB), Delphi/Kylix (t-pascal), etc. 	🕀 🔰 coIUUOld
	coObservers
 Now: majority of the front/back/middle-end developed in JAVA (front: VAADIN) 	
	dbSTAT
Roadmap on technologies (also learnt from FORS):	dbSTAThst
Roadinap on lecinologies (also learne nonn roks).	🗉 🧻 dbSTATpre
• DB dev.: mariadb, sqlite (stand-alone)	🕀 间 ksALB
	🗉 🧾 multifan
• "back/middle-end" dev.: JAVA 8+	test stCATDIS
(alternational data)	🕀 🔰 stGbyp
• "client-side" dev: Angular 5+	
DESTfull web convices (Penrecentational State Transfer ADIs)	
 RESTfull web services (Representational State Transfer APIs) 	
• (?) docker, micro services, block-chain, [never know !!!]	💮 🧃 wDBcomp
	🗄 🧾 wDBffb
	🕀 间 wDBgbyp
	🕀 🧻 wDBpub
	🕀 🔰 wDBtraps





Statistics: nominal catches, catch & effort, size samples

Statistical datasets (mandatory):

Task I (yearly based, full coverage)

- **T1FC** (fleet characteristics) [form ST01-T1FC]
- T1NC (I nominal catches) [form ST02-T1NC]
- Task II (monthly based, partial coverage)
 - T2CE (catch & effort)
- [form ST03-T2CE] [form ST04-T1SZ]

- T2SZ (size samples)
 Others (ST05 to ST1)
- Others (ST05 to ST11)

STATS workload (yearly):

- ~ 2200 files/forms
- ~ 1.5 million records processed (Table 1)
- ~7 % are revisions (back to normal)
- ~7% overall growth (ICCAT-DB)

✓ Derived estimations (Secretariat):

- CATDIS (catch distribution): 9 major tuna species
 - :: Flag/fleet/gear group/year/trimester/5x5/catch type :: weight (t/kg)
- EFFDIS (effort distribution): LL only (soon: PS)
 :: Flag/fleet/gear group/year/month/5x5 (1x1 PS)
 :: LL (hooks) / PS (fishing hours)
- CAS (catch-at-size): ALB, BFT, BET, YFT, SKJ, SWO
 :: Flag/fleet/gear/[retains T2SZ detail on time-area]

Table 1. Number of re	cords processed in
statistics (T1 & T2) da	tabases

'ear	DB	Pending (preDB)		New (curDB)	Revisions(hisDB)	Total	%revisions
2010	5 t1fc			8029		8029	0
	t1nc		11	5674	1065	6750	16 9
	t2ce		0	91134	35859	126993	28 9
	t2sz		0	1102682	56820	1159502	59
	TOTAL		11	1207519	93744	1301274	7 9
201	5 t1fc			21816		21816	0 9
	t1nc		2	3993	620	4615	13 9
	t2ce		0	272993	39947	312940	13 9
	t2sz		0	1236884	688489	1925373	36 9
	TOTAL		2	1535686	729056	2264744	32 9
201	5 t1fc		0	15	0	15	0 9
	t1nc			6688	839	7527	11 9
	t2ce	187	89	83884	28684	131357	22 9
	t2sz		0	1034109	569654	1603763	36 9
	TOTAL	187	89	1124696	599177	1742662	34 9
2014	4t1fc		0	263	34	297	11 9
	t1nc	3	99	4848	132	5379	2 9
	t2ce	49	01	49894	5447	60242	99
	t2sz		0	750933	12749	763682	2 9
	TOTAL	53	00	805938	18362	829600	2
2013	3 t1fc		3	182	8	193	4
	t1nc	6	25	3905	664	5194	13
	t2ce		0	50489	9122	59611	15
	t2sz	59	65	877933	64996	948894	7
	TOTAL	65	93	932509	74790	1013892	7

Updates:

CAS: stock assessments (SA) only

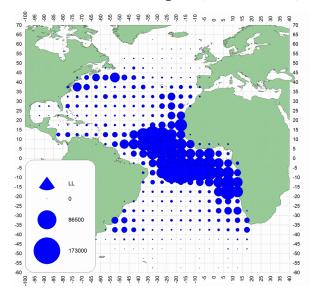
CADIS: once/year & SAs

EFFDIS: once/year (or SCRS request)



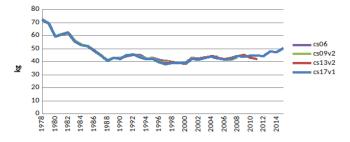
Derived estimations: output examples

CATDIS: overall catch distribution by trimester & 5x5 grid (biomass - t)

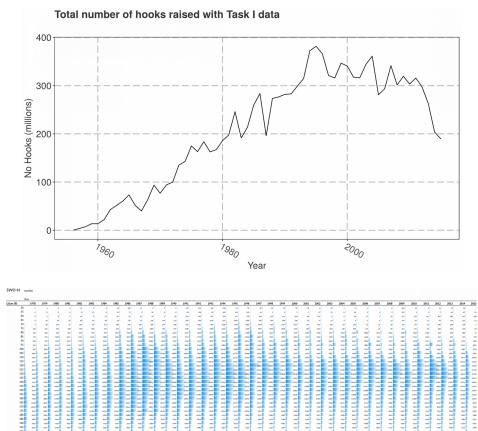


CAS/CAA: catch-at-size matrix (SWO-N 78-15) (structure depends on T2SZ)

SWO-N: mean weights (kg) - CAS



EFFDIS: overall effort estimations (month/ 5x5 grid) (LL: hooks, PS: fishing hours)



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T1FC (fleet characteristics): definition, coverage, structure

Definition ("new model" since 2014): Fishing vessels (direct/indirect) activity: fishing days, fishery participation:

By vessel (LOA >= 20 m) // By vessel classes [LOA/GT combination](LOA<20m)

- Composed of:
 - Official submissions + SCRS analyses of ICCAT vessel record (2003+)
- Coverage
 - Time series: 1970-2013 only vessel numbers by category (size-gear combination) // 2014+ (new model)
 - All fishing vessels (commercial, artisanal, recreational/sport)

	Vessel attributes	Vessel ID	ICCAT Serial Number	ICCAT code	ICCAT major fi	sheries
			Nat. Registry N° (NRN)	string	FisheryCod	Fisherv
			Internat. RCS	string	ALBN	ALB Northern stock
			Vessel name (latin)	string		
		Fleet ID	Flag of Vessel (cod)	ICCAT code	ALBS	ALB Souther stock
			Base port/zone	string	ALBM	ALB Medditerranean stock
					SWON	SWO Northern stock
					SWOS	SWO Souther stock
		Other attributes	Gear group (cod.)	ICCAT code	TROP	Targeting Tropicals (YFT/BET/SKJ)
			LOA (m)	integer	BFTW	BFT Western stock
			Tonnage (t)	float	SMTuna	Targeting small tuna sp.
ī			Tonnage type	ICCAT code		
Detail	Activity in ICCAT Fisheries	Total effort (OPTIONAL)	Fishing days (ATL)	integer	SHARKS	Targeting sharks (major sp.)
_	(participation)		Fishing days (MED)	integer	MULTIFISH	Multiple fisheries (>=6 ICCATfisheries)
		Fisheries (activity, 1 or +)	Fishery 1 (cod)	ICCAT code	NONE-BC	None of the ICCAT fisheries (by catch)
			Fishery 2 (cod)	ICCAT code	SWOM	SWO Medditerranean stock
			Fishery 3 (cod)	ICCAT code	BFTE	BFT Eastern stock (ATE + MED)
			Fishery 4 (cod)	ICCAT code		
			Fishery 5 (cod)	ICCAT code]	
		BFTE fishery only (details)	Authorised FROM	date]	
			Authorised TO	date]	
			Total fishing days	integer	1	
			Catches (kg) in Auth. Per.	float	1	
			Bycatch (kg) outside Auth. Per.	float]	





T1NC (nominal catches): definition, coverage, structure

Definition:

Yearly based best scientific (SCRS) estimations of overall biomass removals (all fishing activity) of a given biological stock (species/population) :: Always in live/round weight (kg/t)

Composed of:

- Official submissions (CPC scientific estimations !)
- SCRS "preliminary" estimations (corrections/gap completion, NEI, etc.)

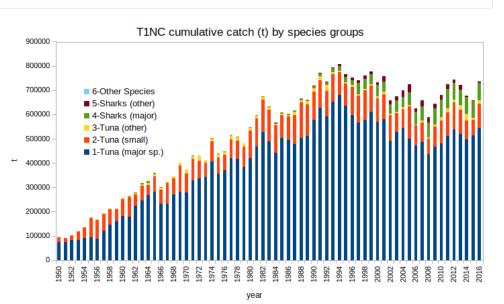
Coverage:

- Time series: general 1950 to 2016+ (BFT: goes back to 1500; ALB: goes back to 1920)
- · All fishing activities (commercial, artisanal, recreational/sport, research)
- Discrimination work: eliminate species groups (BIL, SMT, etc.) / reduce UNCL gears / simplify "fleets" (Rebuild history of catches on major sharks: BSH, SMA, POR)

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Structure:

- Flag (~ ISO-3166 A3 + custom)
- Fleet (custom) (mostly SA purposes)
- Year
- · Species (ASFIS)
- Stock (custom)
- Sampling area (custom) (depends on species: geo defs.)
- Gear (custom & <> ISSCFG)
- Fishing zone (EEZ/HSEA/COMB)
- Catch type (landings, discards (dead/alive))
- QTY (kg)







T2CE (catch & effort): definition, coverage, structure

- **Definition:** Monthly catch (all species catch composition) & effort (1+ measures) statistics disaggregated by fleet, gear, month, and, geographical squares (LL: 5x5, surface gears: 1x1)
 - Observed (partial coverage): logbooks, landings, auction sales, observers, etc.)
 - Estimated (100% coverage): full extrapolation (usually 1 species) to T1NC

Composed of:

- Official submissions (grouped, NO vessel discrimination)
- SCRS estimations (corrections, improvements, etc.) :: unusual (except tropical fisheries)

Coverage

- Time series: 1950 to 2016+
- All fleet components (fleet/gear combination ~ "metier")
- Ongoing work: harmonisation of all datasets (1950-2016+): month / (1x1(surf)/5x5(LL))
 - Eliminate year/quarter & large grids 20x20/10x20/10x10 (SCRS/Secretariat data recovery plan)

(ASFIS)

- (~ ISO-3166 A3 + custom) Flag
- Fleet (mostly SA purposes) (custom)
- Year/month
- (custom) Gear
- Geog. grid (square type, Quad, Lat, Lon) • (?) moving to centroids : 1x1, 5x5
- Effort1 / Effort type
- (custom) Effort2 / Effort type (custom)
- Species catch composition (kg, number)
 - Species
 - Catch type (L, DD, DL)
 - fishing mode (FAD/FSC/MFAD)

	Catch and effort attributes	Fleet ID	Flag of Vessel (cod) Base port/zone	ICCAT code
			base por o zone	
				text
		Time series / gear	Year	integer
			Month	integer
			Gear (cod)	ICCAT code
		Geographic area	Square t. (cod)	
				ICCAT code
_			Quad (cod)	ICCAT code
Detail			Lat	float
Ď			Lon	float
		Effort data	Effort 1	float
			Effort 1 type (cod)	
			-11	ICCAT code
			Effort 2	float
		- 0. III	Effort 2 type (cod)	ICCAT code
	Species catch composition	Tuna & tuna-like species, sharks	Species (cod)	ICCAT code
	composition	(targetted and by-	School t. (cod)	
		catch)	1/0	ICCAT code
			L/D	
				ICCAT code





T2SZ (size samples): definition, coverage, structure

- Definition: Actual (measured fish) size/weight frequencies with number of fish sampled and distribution in classes (size/weight), disaggregated by fleet, year, month catch, gear, sampling area (catch) and/or geographic square.
 - Observed (partial coverage): port sampling, observers, etc.

Composed of:

- Official submissions
- ICCAT sampling programs (various)

Coverage

- Time series: 1950 to 2016+
- All fisheries (fleet+gear+species/stock)
- Ongoing work: harmonisation of all datasets (1950-2016+): month / (SaArea, 5x5, 1x1) / smaller classes
 Eliminate year/quarter & large grids
 - 20x20/10x20/10x10
 - (SCRS/Secretariat data recovery plan)

Structure:

	Sample attributes	Fleet ID	Flag of Vessel (cod)	ICCAT code
			Base port/zone	string
		Catch strata associated to	Year (calendar)	integer
		samples	Month	integer
			Gear (cod)	ICCAT code
			Catch type (cod)	ICCAT code
			School t. (cod)	ICCAT code
		Geographic area	Sampling area (cod)	ICCAT code
			Square t. (cod)	ICCAT code
			Quad (cod)	ICCAT code
Detail			Lat	integer
De			Lon	integer
			Data source (cod)	ICCAT code
			Total catch (kg)	float
		Sample strata	Sample unit ID (SU)	integer
			Month (sample)	ICCAT code
		Total fish sampled		integer
			Number	float
	Size frequer	icy details	Size class	integer
			U	integer
			М	integer
			F	integer
			1	integer



Development roadmap:



✓ With the:

- Acquired experience with FORS (feasibility study)
- Acquired experience with ICCAT Online STAT validation system (under testing during 2018)
- \cdot Other tRFMO experiences on "online reporting" (e.g.: e-MARIS) & TCN recommendations
- Rec.16-19 "RECOMMENDATION BY ICCAT FOR THE DEVELOPMENT OF AN ONLINE REPORTING SYSTEM"
- Guidance of ICCAT "Online Reporting Technology Working Group" (meeting next week)

Development foreseeing the "ICCAT Integrated Online Management System (IOMS, I2OMS !!)"

- Dynamics of: regulations, requirements, reporting threads, validation, integration/storage, dependency, querying, assembling/rendering, history trends/scores, ...
- Problem: "no budget yet !! " & ICCAT IT staff too short/busy

With current situation, the next steps foreseeing "online reporting":

- Adaptation of eForms (ST, TG, CP) :: ongoing (ready 10 out of ~40)
- Adaptation of ICCAT-DB :: lot of work here
- · Elimination of requirements redundancy, deadlines complexity, etc.
- Adapt/transform current "unattended integration tool" code base (JAVA) into a RESTfull API
- Extend it to read the eForms
- Design of the "core" database of I2OMS

As time & current ICCAT workload (+20 meetings/2018) permits





Discussion: "ICCAT coding system and CWP code harmonization"

- ✓ Changes in the ICCAT coding system always passes trough SCRS (SC-STAT) approval.
- ✓ ICCAT is in the process (started in 2010) of simplifying "codes" (redundancy, meaningfulness, etc.)
 - ✓ Gears: eliminated SURF, SPORT, and, gears with discards components (LL_D, PS_D, GILL_D, ...)
 - Species groups (family, genera) discrimination: SMT, MAK, BIL, KGX, etc.
 - Flag/fleet simplification: possibly shorter (ISO-3166-A2) & more meaningful
 - T1/T2 series normalization: grid system (dead: 20x20, 10x20), Frequency types, effort units, etc.
- Current CWP proposed DSDs do not completely match ICCAT fishery statistics dataset structures, neither the ICCAT coding system (e.g.: gears with higher granularity / specific details)
- However, a mapping between the two systems is possible ("not simple")
 - (CAVEAT: data dissemination will need both codes to guarantee "reversibility on grouping")
- ✓ The ICCAT "simplification work" could benefit/simplify code mapping "CWP ↔ ICCAT"
- ✓ ICCAT has some flexibility to accommodate possible codes changes:
 - $\, \checkmark \,$ If they do not affect SCRS main objectives (SA & advice to the Commission) &
 - Always after SC-STAT study/deliberation