



Kagera TAMP Regional Technical Workshop Kabale, Uganda, 29-31 August 2011

Land Assessment and Planning Tools and Approaches

by
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GEF/FAO Transboundary Agro-ecosystem Management Project
for the Kagera River Basin

Land Assessment and Planning Tools and Approaches



- 1. Kagera TAMP goals, challenges, objectives**
- 2. LADA-WOCAT Tools for LD & SLM assessment**
 - Assessment and mapping of LD and SLM (QM)
 - Assessment & Documentation of SLM best practices - Technologies (QT) and Approaches (QA)
 - Local level assessment of (state of resources / ecosystems, drivers & causes, impacts, responses)
- 3. Tools for participatory land use planning**
 - Watershed planning and management
 - Community territory/village and landscape planning
 - Participatory negotiated territorial development

State: increasing degradation (soil productivity, water quality & flow, biodiversity loss, loss of ecosystem functions)

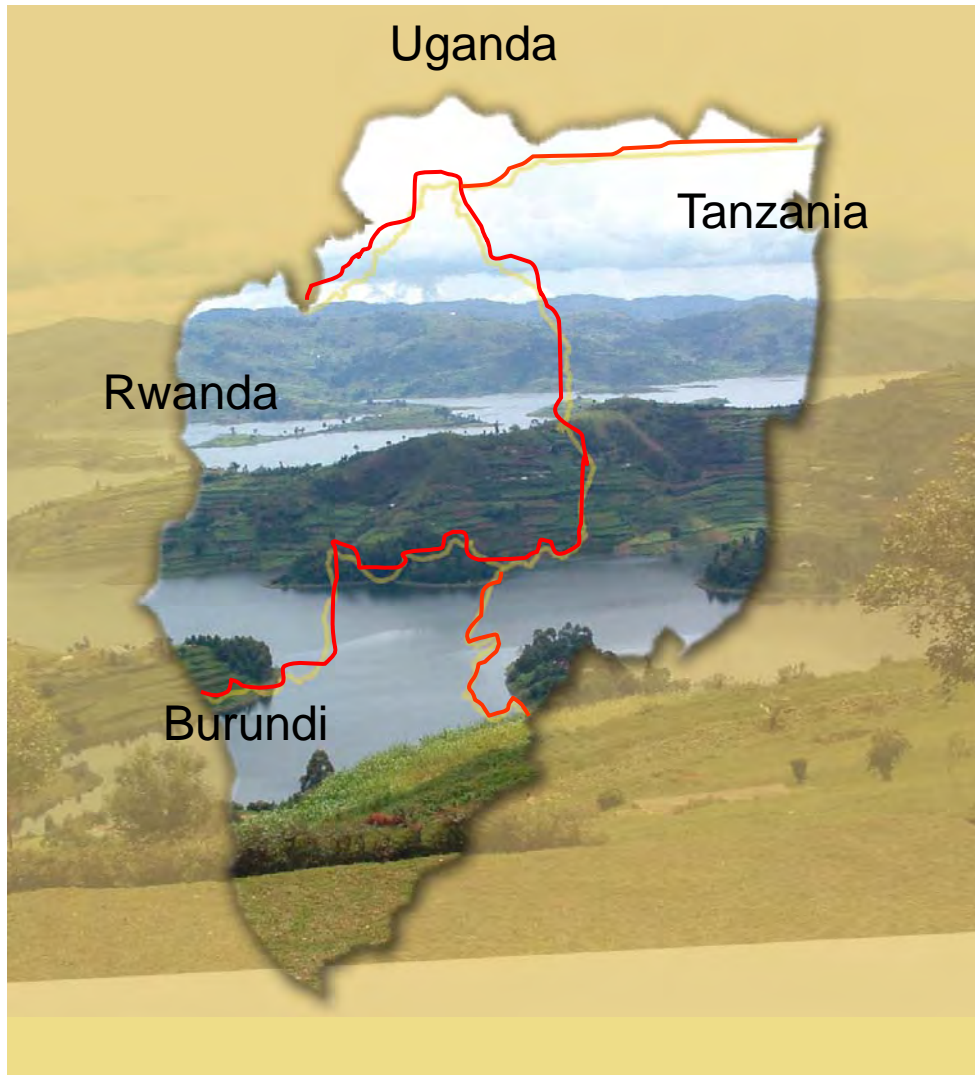
Pressures on natural resources and ecosystems → growing population, reduction in farm sizes, unsustainable land use and management practices

Drivers: population growth, agricultural/livestock intensification for markets and urban growth

Impacts: poverty, food insecurity, conflict over resources, youth out-migration (labour shortage)



Kagera TAMP Goal



To adopt an integrated ecosystems approach for the management of land resources :

- to restore degraded lands and improve productivity
 - to sequester carbon and adapt to climate change
 - to conserve agro-biodiversity and ensure its sustainable use
 - to improve food security and rural livelihoods
- and thereby,
- contribute to the protection of international waters

<http://www.fao.org/nr/kagera>

Transboundary Issues



Poor land & water resources management
→ **Soil erosion and sedimentation**
Loss of agricultural biodiversity



Affect on land and water quality
→ **crop, livestock and aquatic productivity decline**

- Cross border crop & livestock pests & diseases
- Pressures on land (migrations ; settlement ...)
- Illicit use of resources in Protected Areas and wildlife - livestock interaction
- Burning (bush fires and charcoal production)
- → **P deposition in Lake Victoria**



Population growth-
pressures on steep slopes and wetlands



Project Outcomes



1. Transboundary coordination, information sharing and monitoring and assessment in place
2. Enabling policy, planning, and legislative conditions
3. Enhanced capacity and knowledge (all levels) for promotion of and technical support for Sustainable land and agro-ecosystem management (SLaM)
4. Improved management practices implemented and benefiting land users
5. **Project management operational and effective.**

Part of TerrAfrica/SIP addresses GEF Strategic programmes

SO 1 - Supporting Sustainable Agriculture and Rangeland Management

SO 3 - Investing in New and Innovative Approaches for SLM



- SLM on 100,000 ha.
 - o 10% increase in crop, livestock and other products by trained farmers/herders → improved nutrition, income, food security)
 - o 30% increase in vegetation cover + 20% increase in carbon stores on 30,500 ha pasture and crop land → improved soil productivity and water management → reduced drought/degradation, erosion/flood
 - o Control of soil erosion demonstrated (target micro-catchments and farmer plots) and Reduced sediment loads (in 4 micro-catchments)
- Capacity developed for SLM scaling up by community members/decision makers (120,000), FFS members (3600), technical staff (300), policy makers (250)
- Regional cooperation → effective support for transboundary SLM action plans



How to Improve land use planning and land resources management?

- What land use systems & SLM measures?
 - For which type of land users? (small/large; farmers/herders)
 - What organisations & methods for land use planning & management at what scales?
 - How to secure land tenure and access to resources of vulnerable groups?
 - What policy, legislation and land administration?
- How to incentivate SLM adoption?



Outcome 4: Transboundary coordination, information sharing, monitoring & assessment for SLaM

Step 1. Build a geographical information system to support:

- the selection of suitable project sites for demonstration and validation of SLM technologies and approaches and scaling up in the basin
- the development of the project SLM strategy (Where do we work? What on? Why? and Who with?)
- the monitoring and assessment of project interventions and impacts (on land resources, ecosystems and livelihoods)

Use of tools from Partnership



Photo: H.P. Liniger

Until recently main policy focus on **Land Degradation**

Now: more attention to *assessing & promoting SLM*

WOCAT (1992+) Network and tools for assessing and sharing knowledge on SLM Technologies & Approaches

LADA-Mapping and Assessment of Land Degradation and SLM (2006+)

Use of LD/SLM maps and data and best practices to support decision making for upscaling of SLM

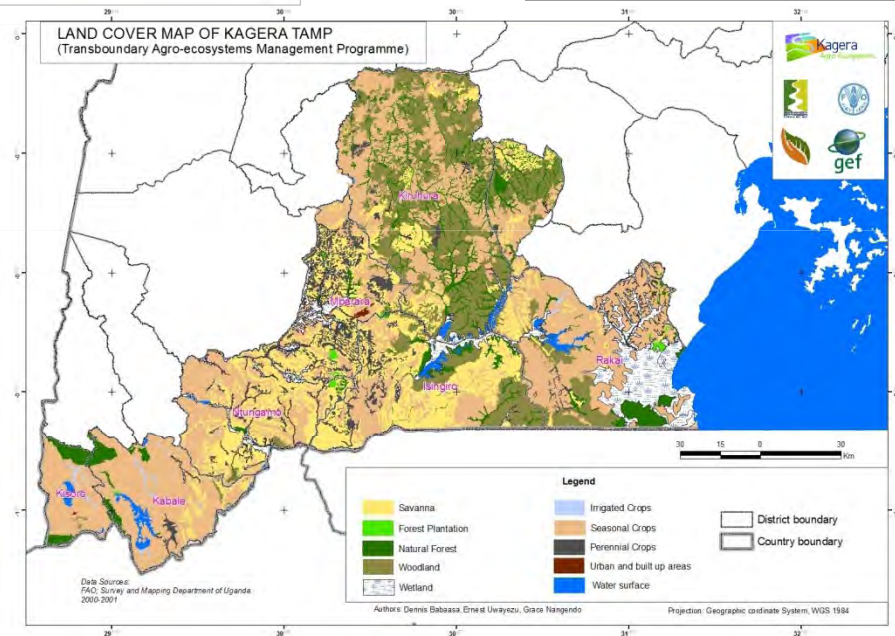
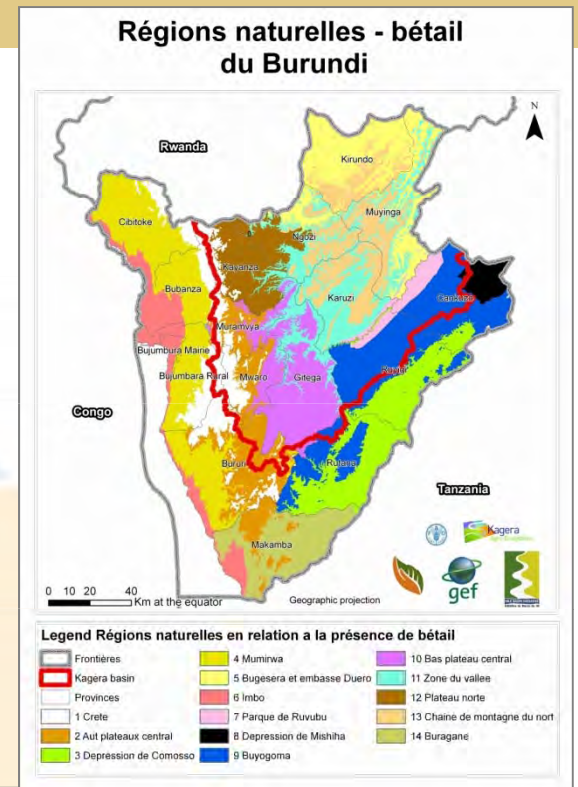
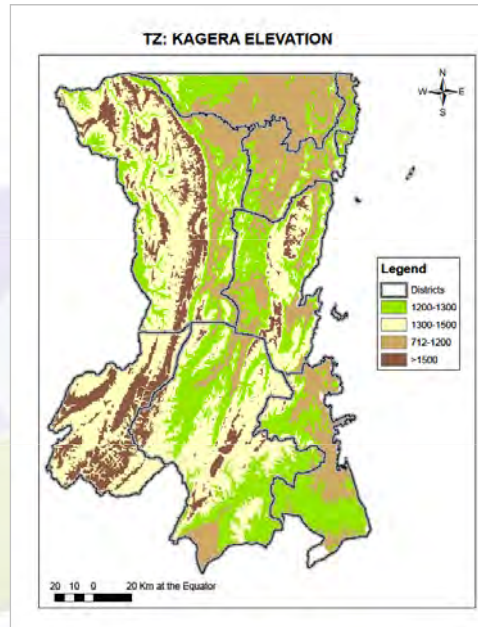
of LD and SLM using LADA-WOCAT Tools

- 1. Compilation and sharing of baseline data (FAO-NBI-NELSAP)**
- 2. Land use systems (LUS) mapping workshops** in Rwanda (Eng.) and Burundi (Fr.) - on the job 21 participants, some GIS experts/ various institutions
 - LUS maps of Rwanda + Burundi (country)
 - LUS maps of target districts in Tanzania + Uganda
- 3. Participatory Expert assessment & mapping of LD & SLM - 3 workshops** use of QM method - on the job 85 experts, multi-sector; district knowledge / experience) to assess
 - LUS trend
 - LD types, extent, degree, rate, causes
 - SLM objectives, measures, extent, effectiveness
 - LD & SLM impacts on Ecosystem services
 - Future options (expert recommendations)



Land use database

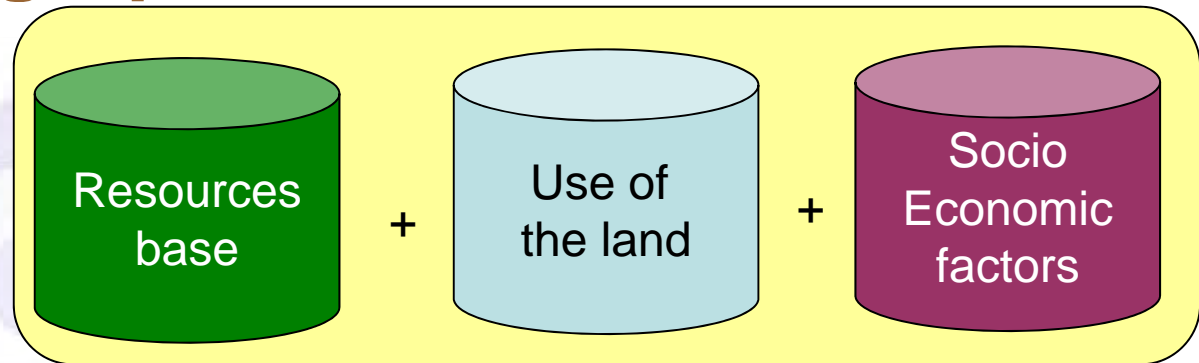
Data	Map/Data
Land use	Main result
Land cover	Baseline data for LUS preparation
Livestock intensity	
Natural units for livestock	
Protected areas	
Crops type	Use data
Livestock spp.	Resources base (environmental data)
Elevation	
Slope	
Rainfall	
Temperature	
Soil classes	
Soil fertility	Socio Economic data
Population density	
Poverty	



Step1: Land use systems and geographical baseline



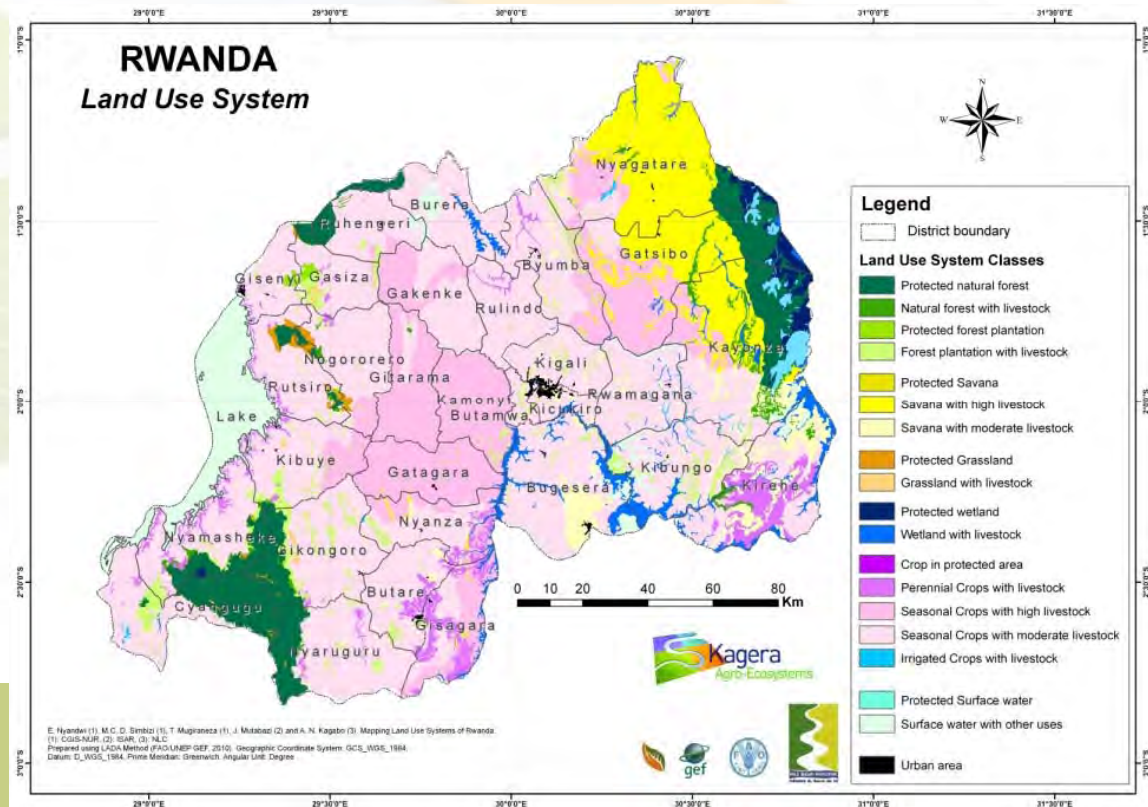
Land use mapping and database



-Scale

1: 200.000 to
1:1.000.000 depending
on country data and
geographic projection

Resulting maps enable
non GIS experts to use
the database → 4
countries some 320
maps)

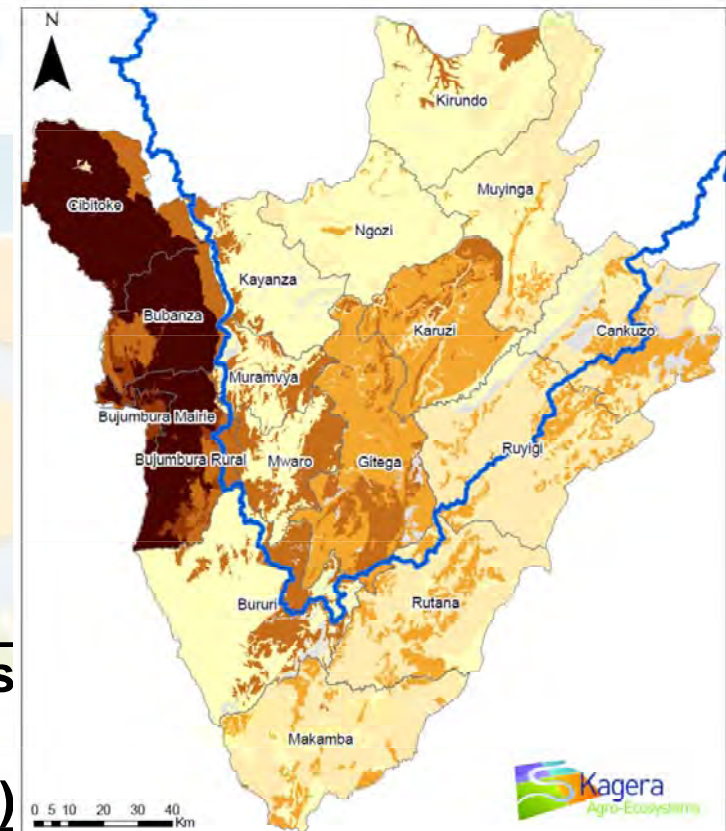


Degree of land degradation Burundi



Degré de dégradation des terres

... weighted for the
extent of the 3 LD groups
(physical, biological, chemical)



Degradation type	Extent	Degree	Degree legend	Results (ext * degree)
Type 1	10	3	Strong	0.3
Type 2	20	2	Moderate	0.4
Type 3	30	1	Light	0.3
Results (Sum)	60	-	-	1

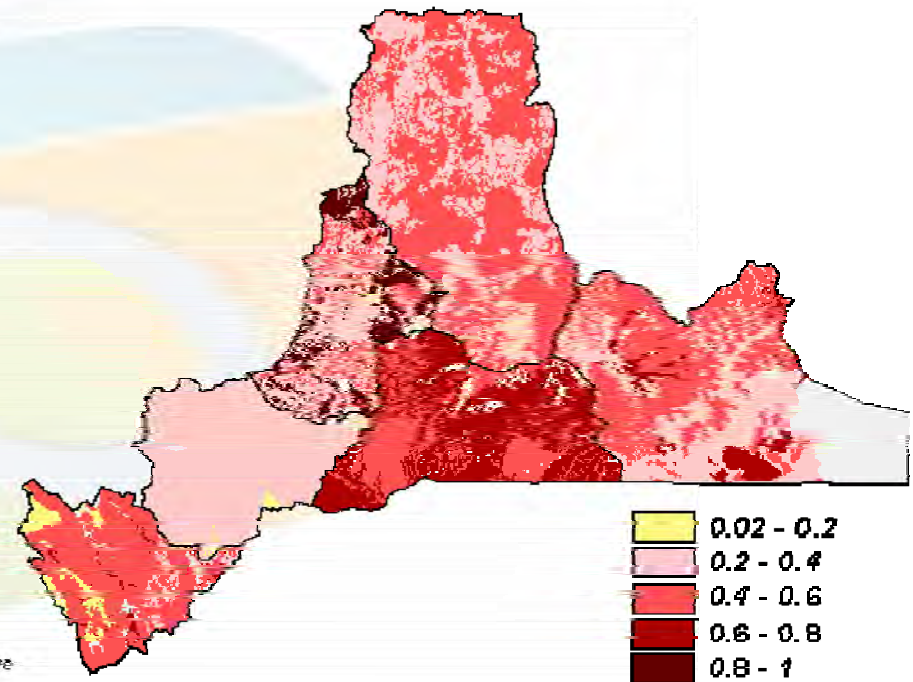
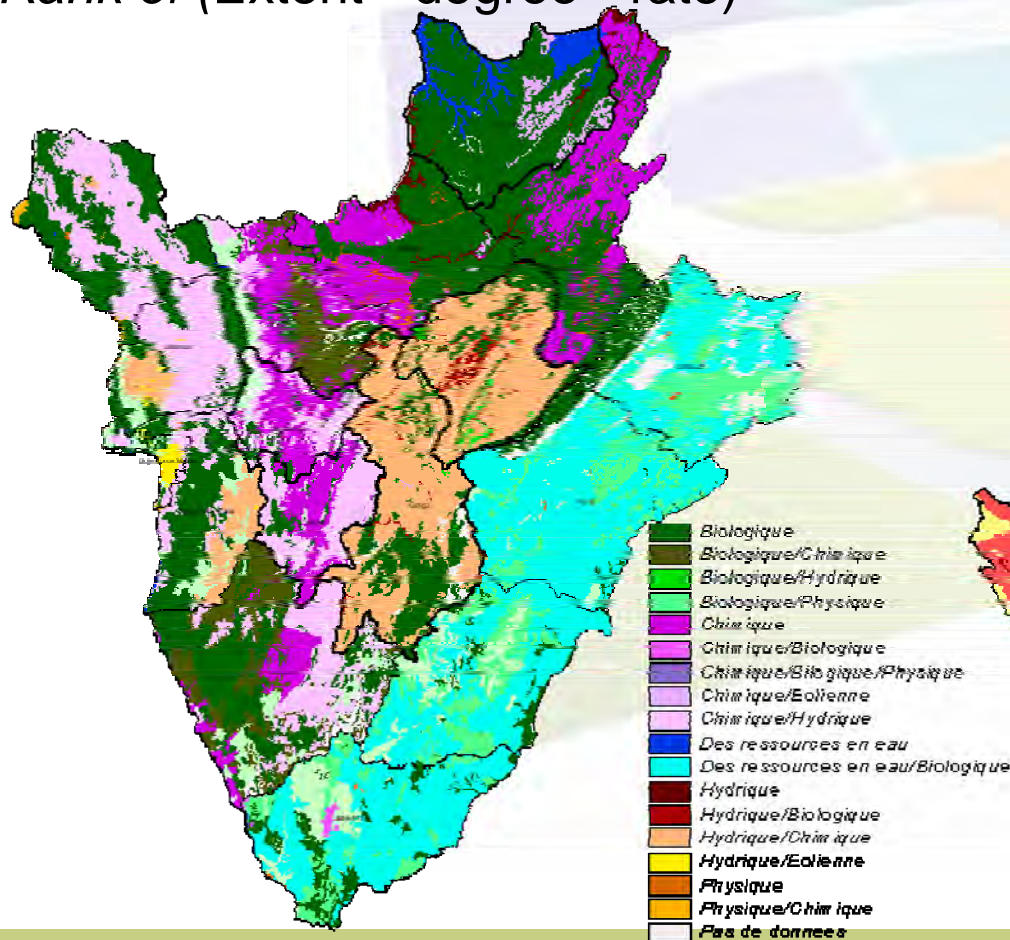


Main degradation types and severity



Principal type, Burundi
*Rank of (Extent * degree * rate)*

Severity, Uganda
*(Extent * degree * rate)*



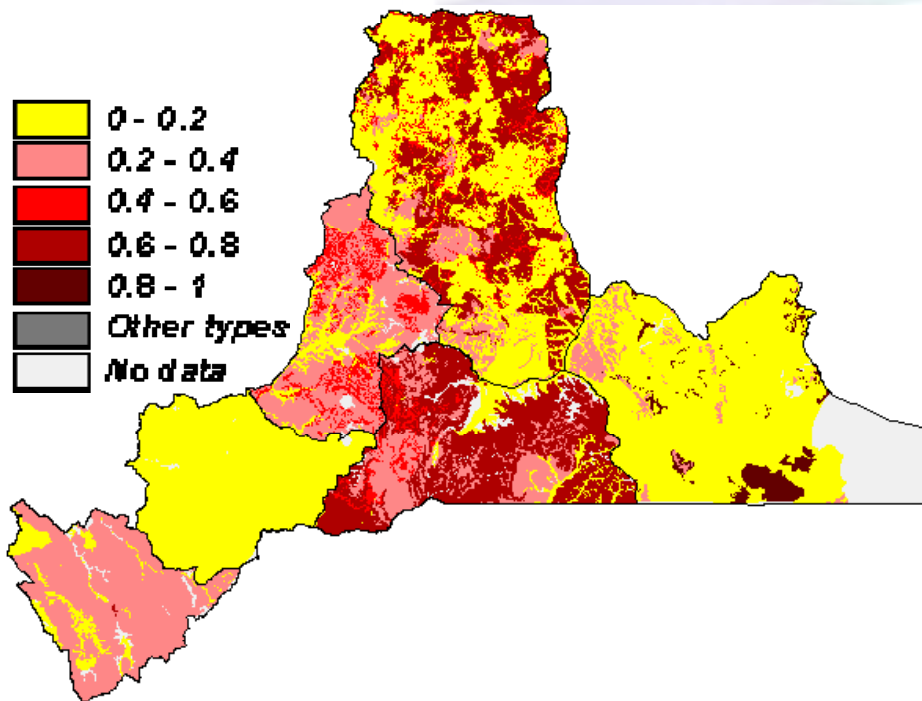
Principal types of LD were :

- biological
- erosion by water
- chemical (soil)

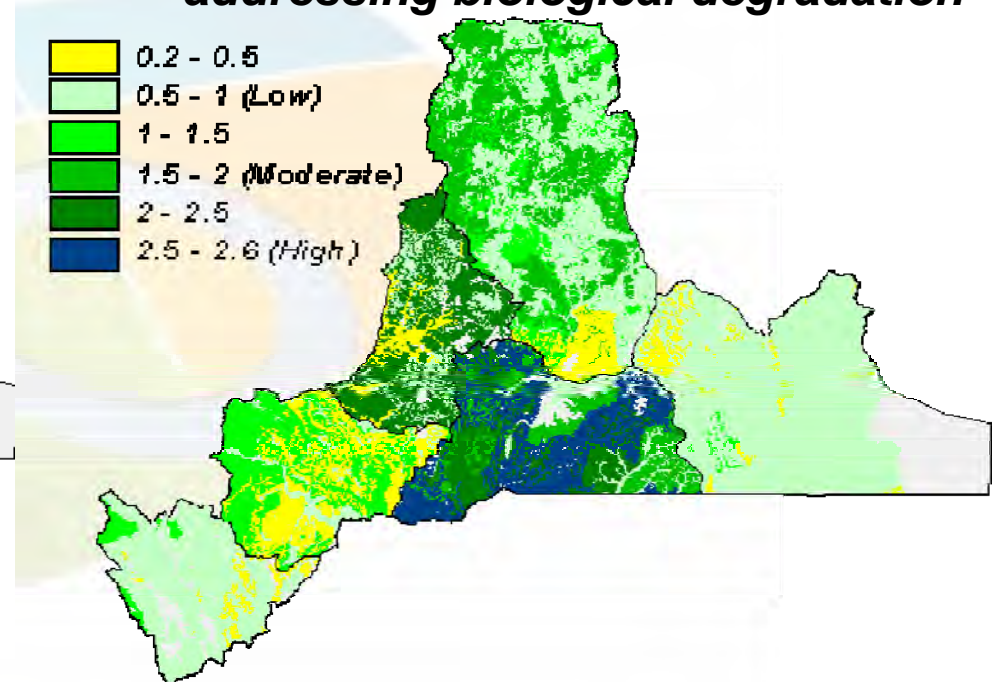
plus others with less extent

Comparison of degradation vs conservation, Uganda

**Severity of
Biological degradation**



Effectiveness of existing SLM technologies and measures addressing biological degradation



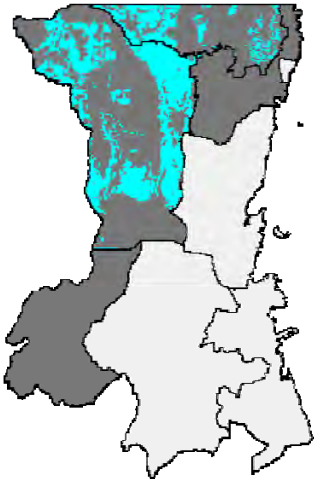
- Low effectiveness of SLM practices that address biological degradation over vast areas
- SLM practices are not closely related to severity of biological degradation

These maps can be used to select areas for targeted interventions

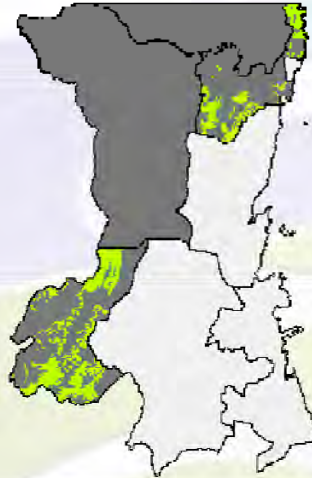
Direct Causes of Soil Erosion by water, Tanzania



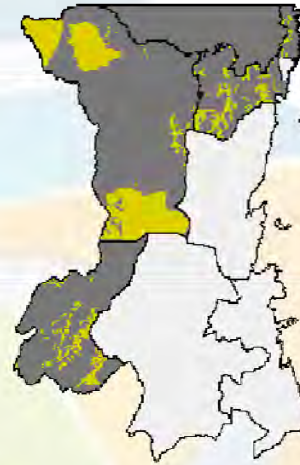
Natural causes



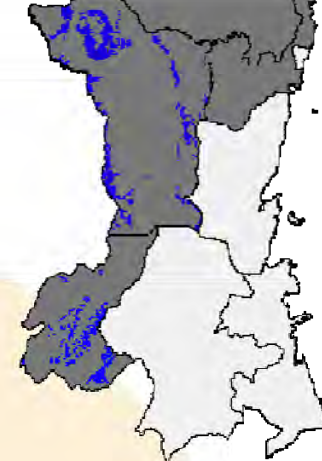
Crop and rangeland management



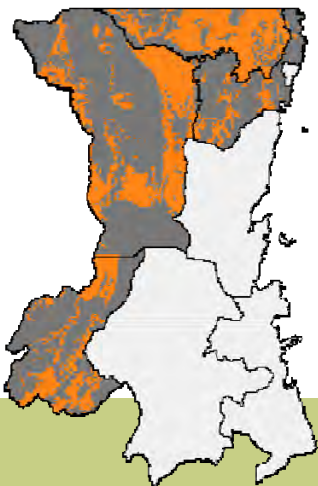
Over-exploitation of vegetation for domestic use



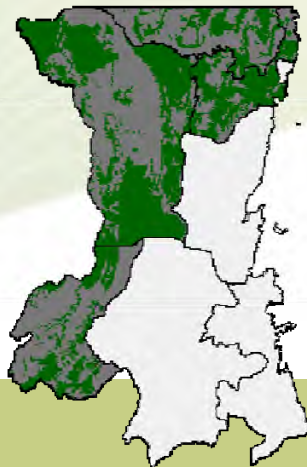
Over-abstraction/excess withdrawal of water



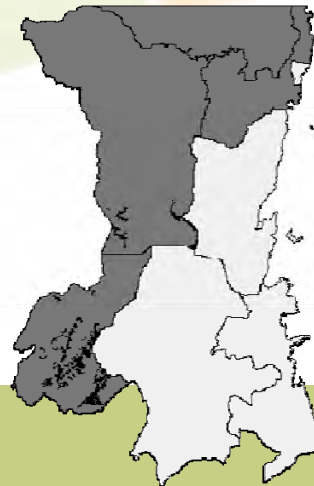
Overgrazing



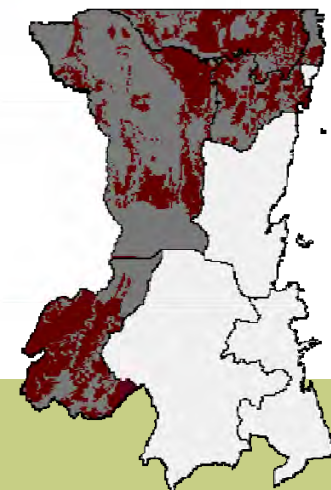
Deforestation and removal of natural vegetation



Urbanisation



Soil management

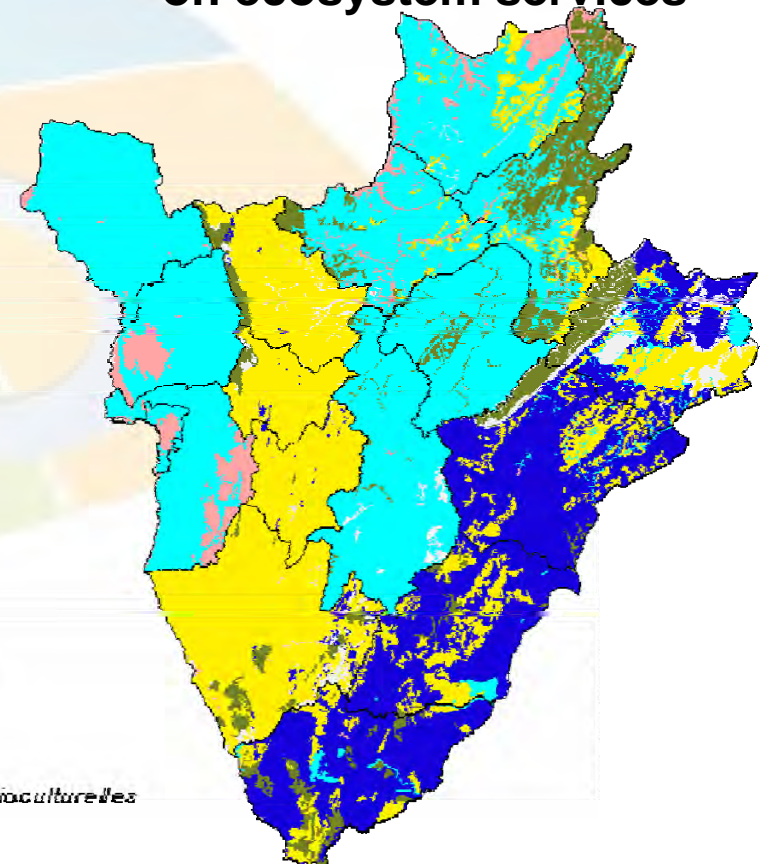
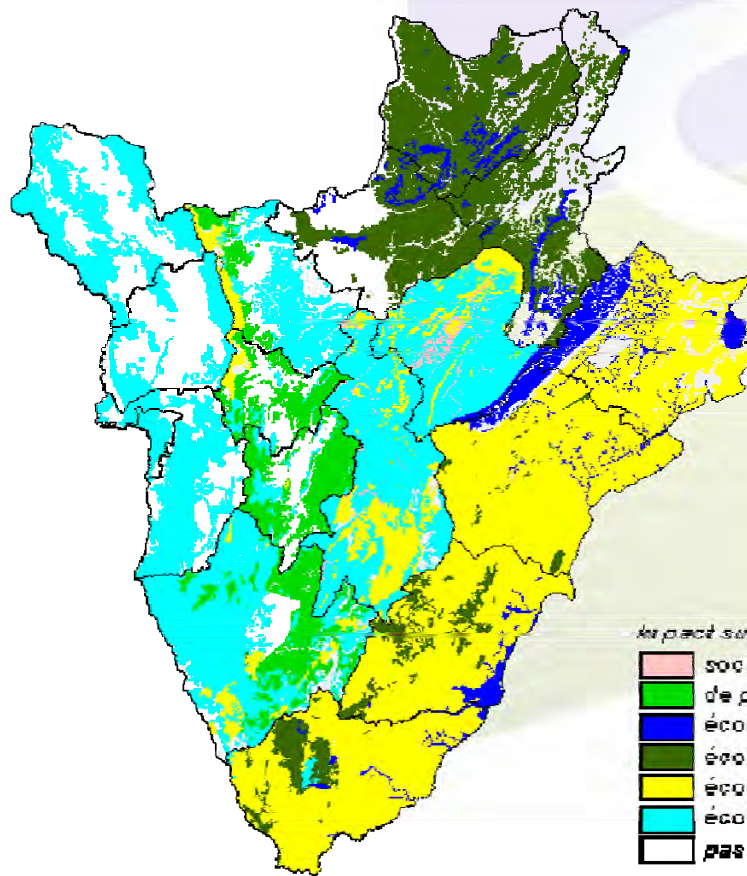


Impacts of SLM on biological degradation



Impacts of **biological degradation** on ecosystem services in Burundi

Impacts of **conservation measures against biological degradation** on ecosystem services



Impact sur les fonctions :

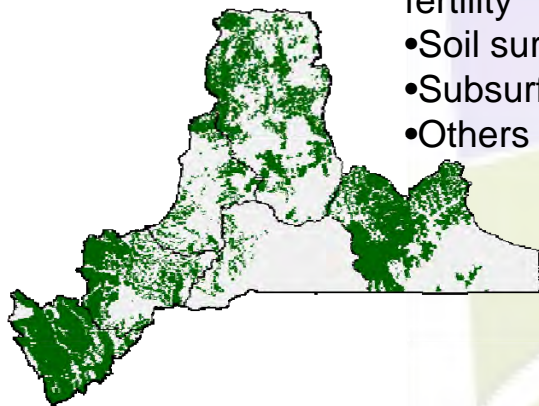
- socioculturelles
- de production, socioculturelles
- écologiques
- écologiques, socioculturelles
- écologiques, de production,
- écologiques, de production, socioculturelles
- pas de dégradation biologique

Example: In the East *impacts on production* are not addressed by SLM

SLM Practices that address soil erosion by water, Uganda

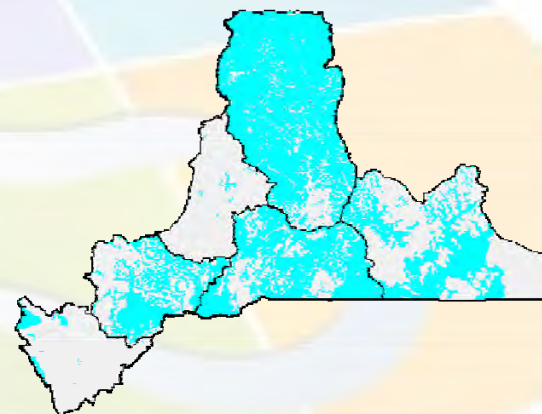
Agronomic

- Vegetation / soil cover
- Organic matter / soil fertility
- Soil surface treatment
- Subsurface treatment
- Others



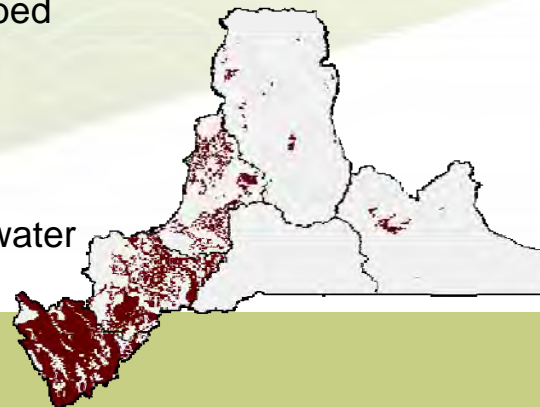
Management

- Change of land use type
- Change of management / intensity level
- Layout according to natural and human environment
- Major change in timing of activities
- Control / change of species composition Waste Management
- Others



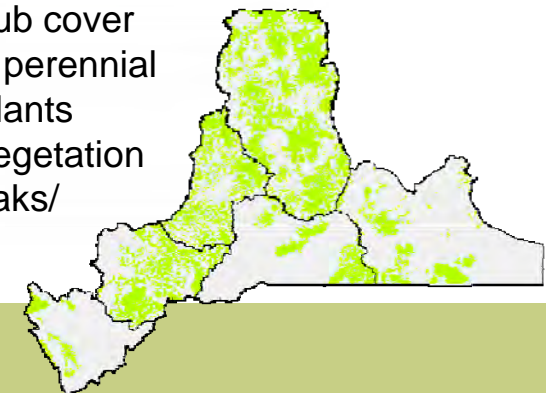
- Bench terraces (bed slope <6%)
- Forward sloping terraces (bed slope >6%)
- Bunds/banks
- Graded ditches/waterways
- Level ditches / pits
- Dams/pans: store excess water
- Reshaping surface
- Walls/barriers/palisades
- Others

Structural



- Tree and shrub cover
- Grasses and perennial herbaceous plants
- Clearing of vegetation (e.g.g fire breaks/ reduced fuel)
- Others

Vegetative

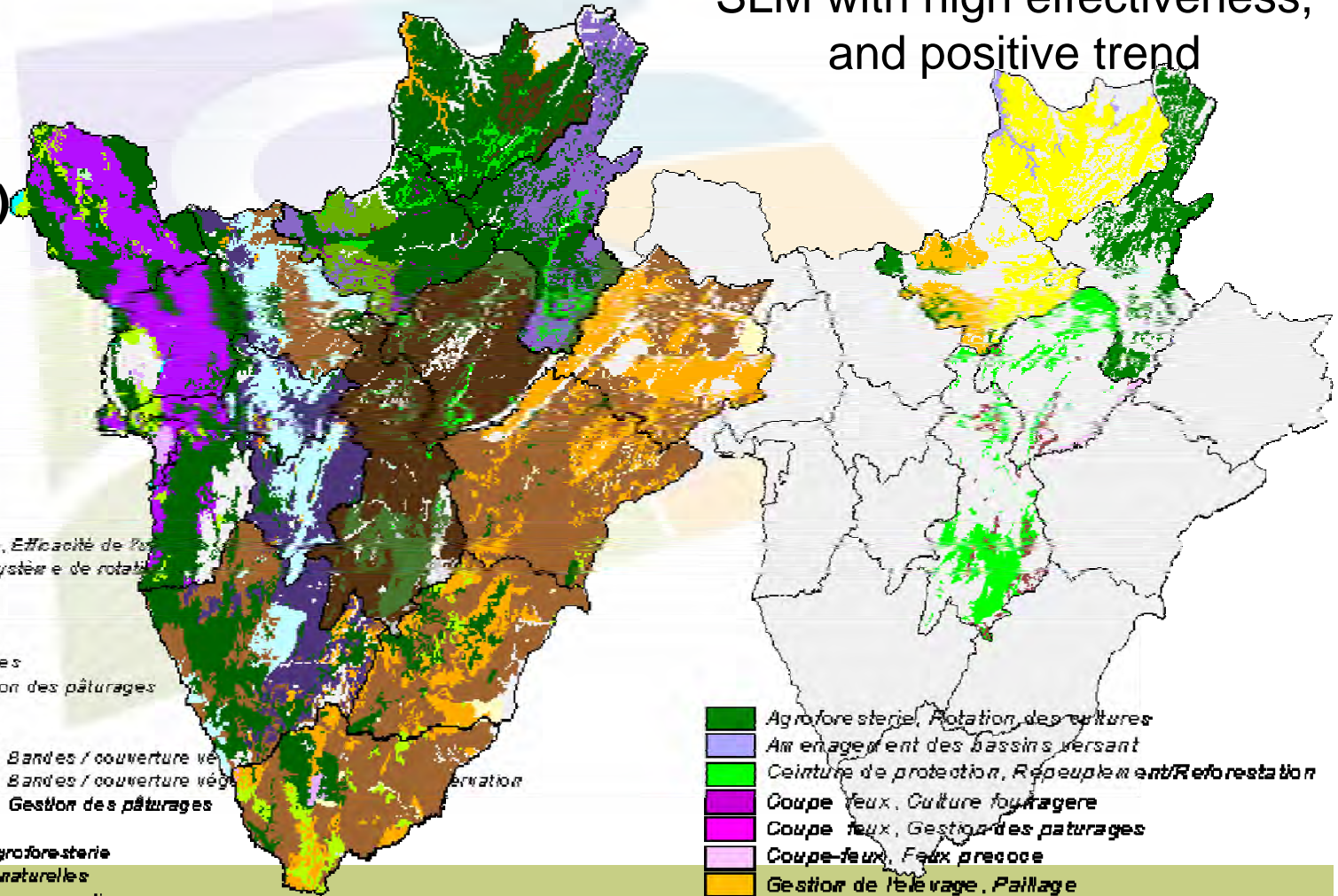


SLM to address biological degradation in Burundi



Individual maps for selected SLM (and SLM groups) can be produced

SLM with high effectiveness, and positive trend



- Améliorations de la qualité de l'eau, Efficacité de l'irrigation
- Efficacité de l'utilisation de l'eau, Système de rotation
- Agriculture de conservation
- Agroforesterie
- Bandes / couverture végétale
- Bandes / couverture végétale, Autres
- Bandes / couverture végétale Gestion des pâturages
- Gestion des pâturages
- Boisement et protection de la forêt
- Boisement et protection de la forêt, Bandes / couverture végétale
- Boisement et protection de la forêt, Bandes / couverture végétale, Conservation
- Boisement et protection de la forêt, Gestion des pâturages
- Gestion des éléments nutritifs
- Gestion des éléments nutritifs, Agroforesterie
- Protection contre les catastrophes naturelles
- Système de rotation, Agriculture de conservation
- Système de rotation, Agriculture de conservation, Agroforesterie
- Système de rotation, Agroforesterie
- Système de rotation, Agroforesterie

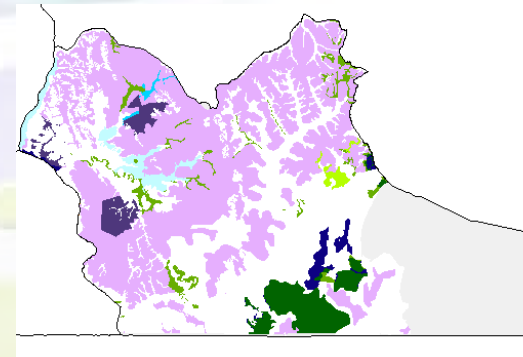
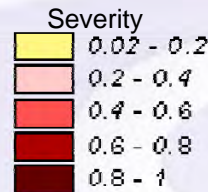
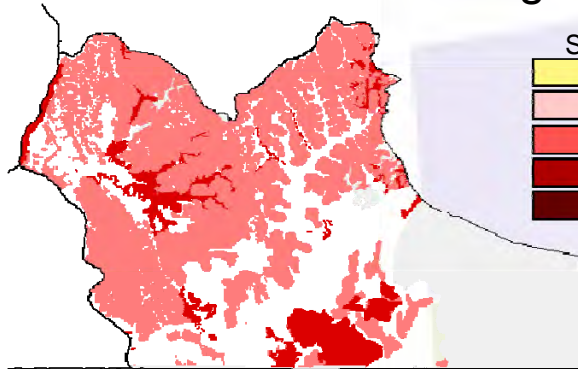
- Agroforesterie, Rotation, des cultures
- Aménagement des bassins versant
- Ceinture de protection, Repeuplement/Reforestation
- Coupe-feux, Culture fourragère
- Coupe-feux, Gestion des pâturages
- Coupe-feux, Feux précoces
- Gestion de l'élevage, Paillage
- Protection
- Stabilisation permanente
- Autres zones

Degradation in Rakai district, Uganda

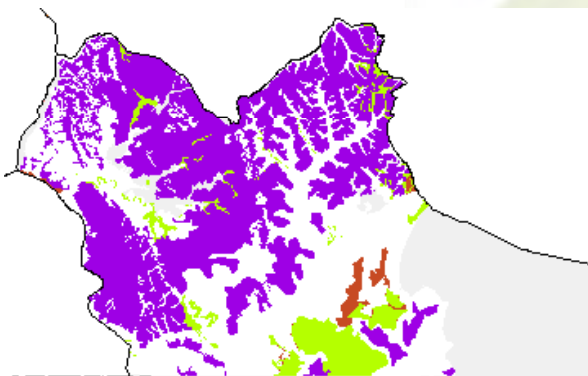


Degradation > 0.4

Land use



Principal Types of degradation



- Interestingly, in the most degraded areas there are “protected forests” due to physical degradation
- Areas under seasonal crops also show severe biological degradation and soil erosion

Finalisation and use of maps

Reconnaissance visit of basin (NPMs) and

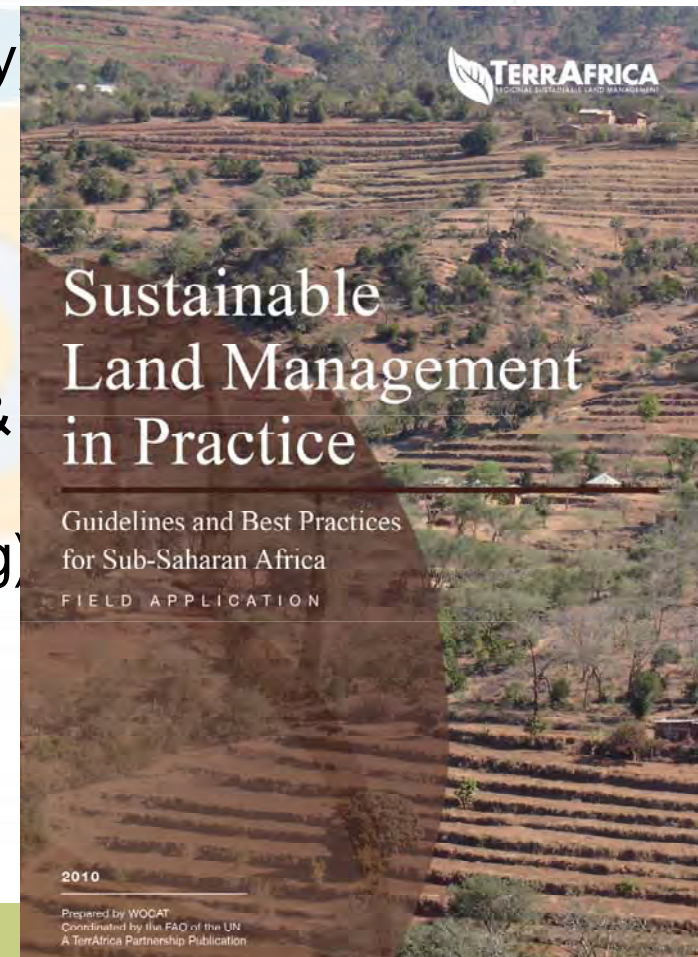
GIS review (ongoing) for quality control & harmonization of LUS, LD and SLM databases and maps (→ **planned to make available data and maps to partners**)

Participatory review of maps and data by NPMs with districts /local experts/knowledge using project selection criteria → **to select project intervention areas + required SLM interventions**

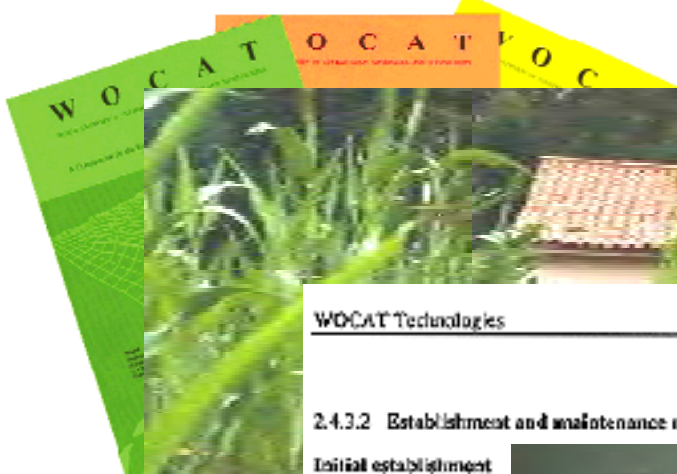
- to address identified LD (soil, water, vegetation)
- to upscale best practices (crop, pasture, range, forest lands)
- to protect /sustain productivity of high potential lands
- to conserve biodiversity and mitigate and adapt to climate change
- and contribute to food security and livelihoods

Assessment + Selection of SLM interventions

1. Training of 12 experts (2 + NPMs/country in use of SLM Technology (QT) & Approaches (QA) assessment → **documentation of best practices**
3. District assessment of SLM technologies & approaches with land users+ extension/technical staff ((QT+QA ongoing) → **documented case studies for scaling up**



Documenting SLM knowledge



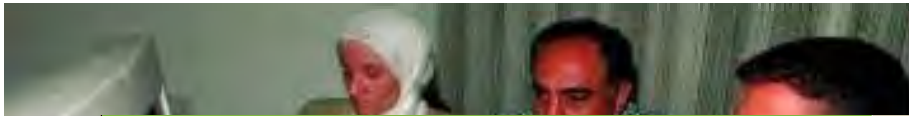
WOCAT Technologies QT 16 Specification

2.4.3.2 Establishment and maintenance methods for vegetative measures

Initial establishment

activity (in sequence)

- 1 ... Digging ...
- 2 ... Cutting ...
- 3 ... planting ...
- 4 ... Transporting ...
- 5 ... Transplanting ...



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WOCAT Technologies Questionnaire (Specification - Purpose)

Questionnaire Id: CHN1
 Institution Name: Fujian Ningde Prefecture Soil & Water Conservation Office
 SWC Technology Name: Horsetail Beefwood Windbreak along seaside

2.2.2. Characterisation and purpose of the technology

2.2.2.1 Indicate land use types

	as % of total area utilized by land users (who applied the SWC Technology)	only where SWC Techn. is applied
Intensive grazing	10	<input type="checkbox"/>
Forest/woodlands	5	<input type="checkbox"/>
Perennial crops	5	<input type="checkbox"/>
Annual crops	50	<input checked="" type="checkbox"/>
Total: 100%		

2.2.2.2. Which measures does the technology use?

agronomic measures	3
vegetative measures	1
structural measures	2
*	

2.2.2.3. In which of the following categories does the technology fit?

Reduction of land degradation	1
Prevention of land degradation	3
*	

2.2.2.4 Which categories of soil degradation are mainly addressed by the technology?

Soil erosion by water	2
Wind erosion	1
Soil fertility problem	2
*	

2.2.2.5 What are the main means by which the technology achieves its observed impact?

Control of raindrop splash	2
Control of concentrated runoff (retain/trap)	3

Computer data entry form

Standardized documentation of experiences



No-till technology

Introduction

Classification

Benefits

Assessment

Key messages

References

Classification

Introduction

Classification

Benefits

Assessment

Key messages

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Classification

Benefits

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Key messages

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SLM Technologies

Applied research and knowledge transfer

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Classification

Benefits

Assessment

Key messages

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Assessment

Key messages

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Classification

Benefits

Assessment

Key messages

References

Introduction

Introduction

Classification

Benefits

Assessment

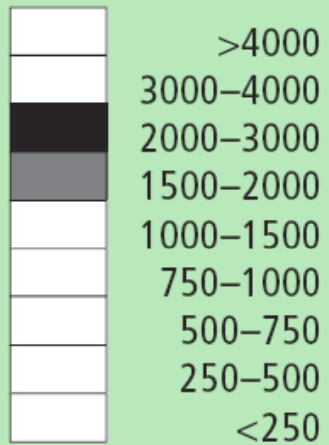
Key messages

References

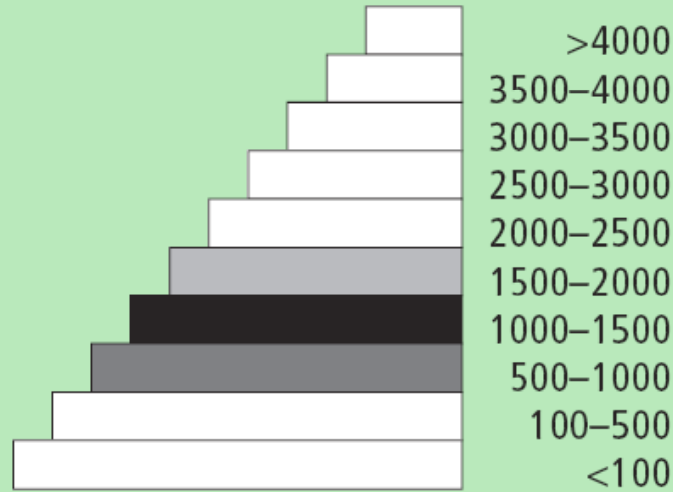
SLM Approaches

Natural environment

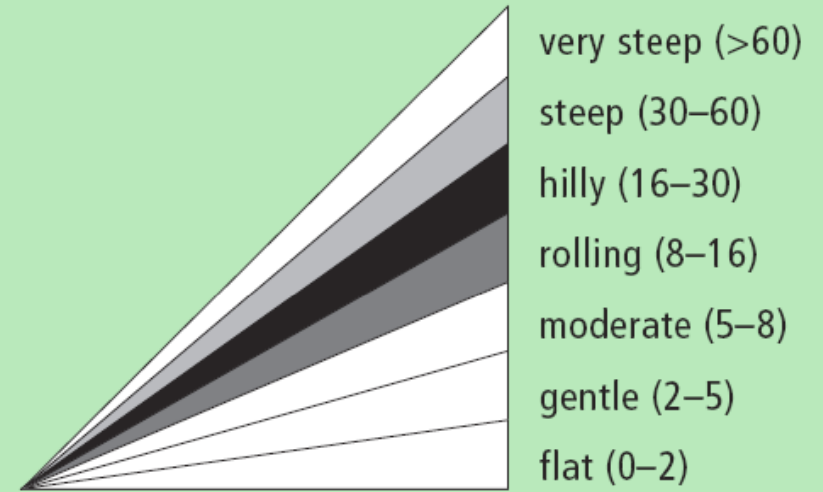
Average annual rainfall (mm)



Altitude (m a.s.l.)

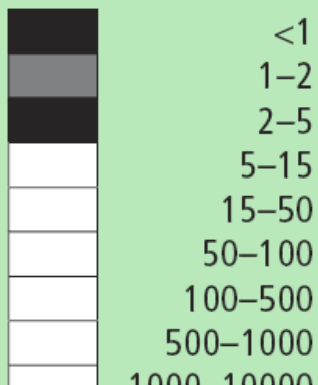


Slope (%)



Human environment

Cropland per household (ha)



Land use rights: mainly individual, partly leased

Land ownership: mainly individual titled, partly individual not titled

Market orientation: mixed (subsistence and commercial)

Level of technical knowledge required: field staff/extension workers

Importance of off-farm income: 10–50% of all income: carpentry, t
farms with intensive agricultural activities (eg vegetable production)

Establishment inputs and costs per ha

Inputs	Costs (US\$)	% met by land user
Labour (5 person days)	15	100%
Equipment		
- Animal traction (32 hours)	40	100%
- Tools (2): Plough and harrow	25	100%
- Stakes (pegs)	4	100%
TOTAL	84	100%

Benefits compared with costs	short-term:	long-term:
establishment	positive	very positive
maintenance/recurrent	positive	very positive

Production and socio-economic benefits

- + + + fodder production/quality increase (or biomass as mulch)
- + + + very low inputs required
- + + farm income increase
- + crop yield increase

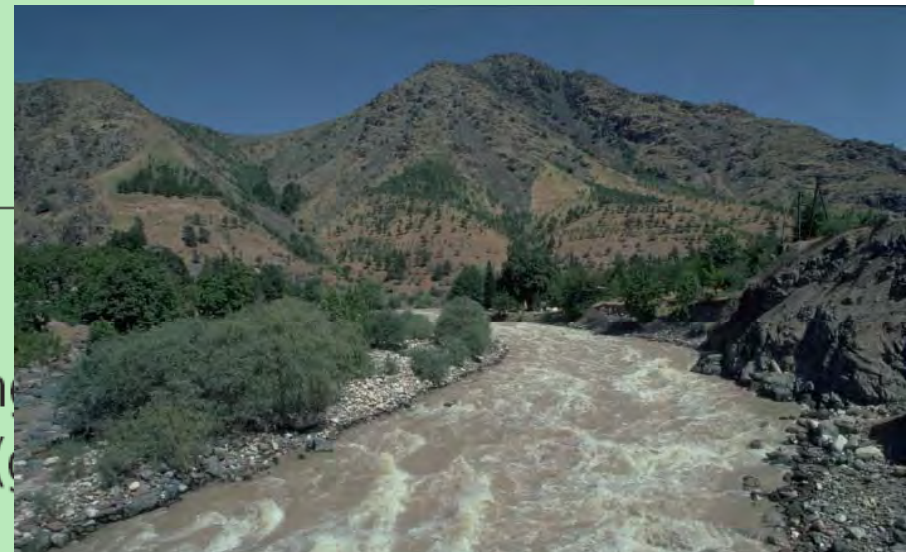
Socio-cultural benefits

- + + + improved knowledge SWC/erosion
- + + community institution strengthening
- + + national institution strengthening (educational institutions)

Ecological benefits

- + + + soil cover improvement
- + + + soil loss reduction
- + + + soil structure improvement
- + increase in soil moisture
- + increase in soil fertility
- + biodiversity enhancement

Off-site benefits





Rehabilitation of native vegetation cover

To cut wormwood from degraded pastureland in order to rehabilitate native vegetation cover

[Researchers] have determined that about 70 percent of Mongolia's pastureland is degraded to some degree. The most degraded pastureland is located in the steppe region]. The main cause of the degradation is overgrazing, particularly in the summer-time when herders settle in one place for a longer time period.

Wormwood (*Artemisia dracunculus*), *Peganum harmala* and *Artemisia pectinata* – all

Left: After clearing

Right: Before intervention

Location: Bayangol soum, Selenge aimag, Mongolia.

Technology area: 1-10sq km

GIS: ArcGIS, MapInfo, Google Earth

From assessment to documentation and piloting/demonstration of SLM

1. Planned multi-disciplinary expert workshop (in 2011?) for
 - quality control/ review → document SLM in Practice (Ts + As) in Kagera basin (supplement TerrAfrica book)
 - Training in catchment /watershed mapping (QW) & management and assessing SLM practices for climate change resilience (adaptation and mitigation- C sequestration)
2. Participatory selection and testing/adaptation of SLM “best” practices with target communities
3. Demonstrate SLM best practices and diversified farming systems (FFS, catchment, watershed/landscape, community territory) → adapt and diversify SLM measures for improved cost-benefit and impacts (2011-2012)
3. Monitoring impacts - local livelihoods, community/district NRM, & global environmental benefits

Targeting, Adapting & Improving SLM

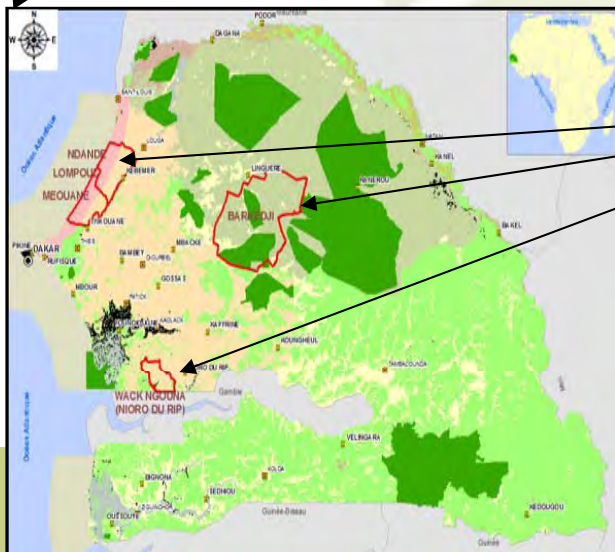
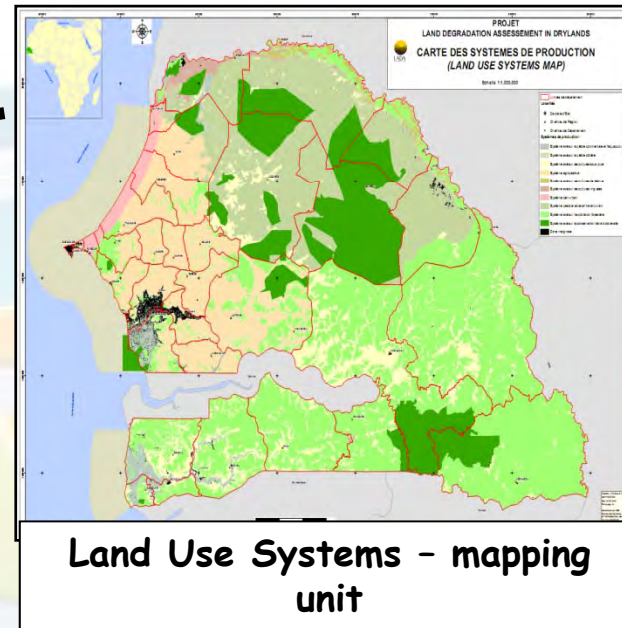
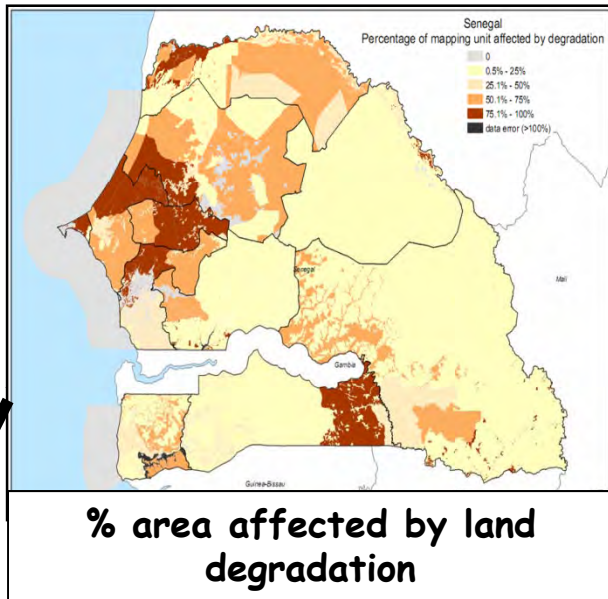
1. Conduct local level LADA-WOCAT LD & SLM assessments in selected watersheds/ community territories → better understanding of LD & SLM responses (DPSIR); causes and impacts on livelihoods and ecosystem services, constraints and incentives
2. Community/catchment planning of land use and SLM practices → land use plans implemented and monitored, by laws and measures to enhance tenure security, access to resources
3. Review of Policies, plans (NAP) , legislation and institutional capacities at all levels and identify ways to improve application through
 - district and village planning and
 - incentive measures
 - capacity building (training, materials, exchange visits etc)



WOCAT
agera
gro
DESIRE
A global initiative to combat desertification

Selection of Local Assessment Areas

Example of Senegal





The steps of local assessment



1. Study area Characterisation

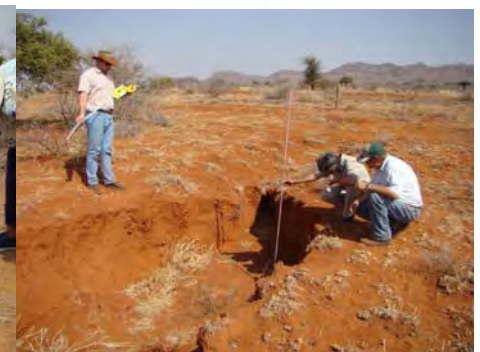
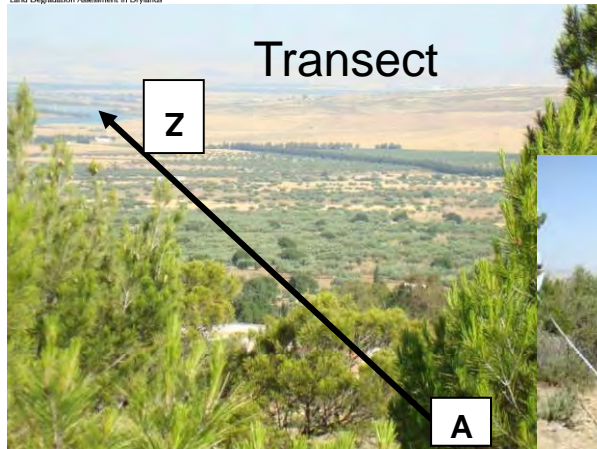
2. Reconnaissance Visit and Transect Walk

3. Assessing soil, water, vegetation status & trends in relation to LUS/T

4. Key informants, Land users & Household Livelihoods Interviews

5. Assess SLM best practices in area and effectiveness

6. Analyse LD impacts & SLM benefits on ecosystem services



Soil erosion / soil properties



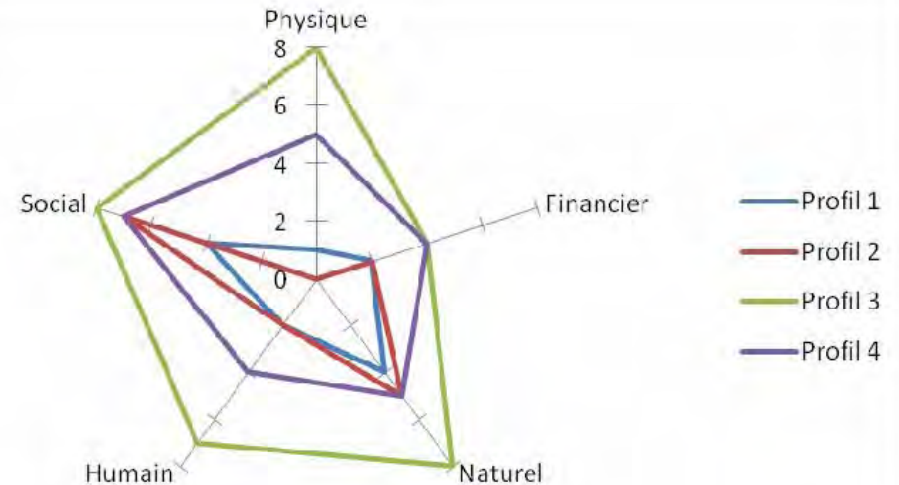
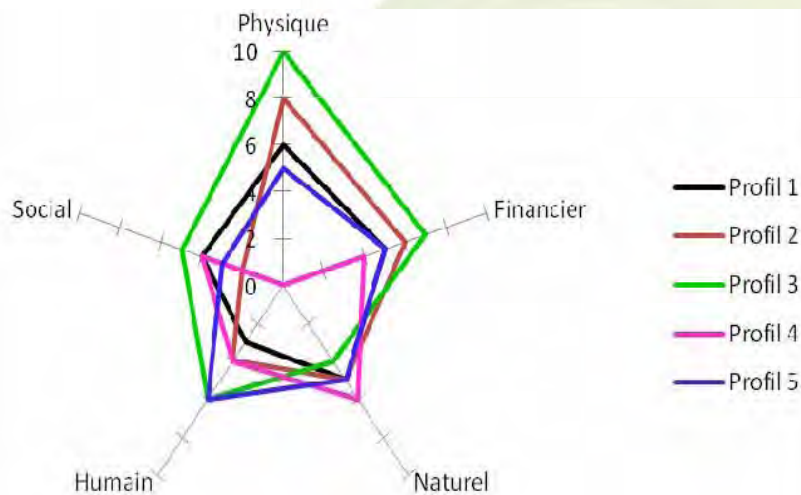
Water resources



The land use systems and types and resources being assessed determine which indicators and tools are required (e.g. pasture, crop, forest, surface/ground water)

Improved knowledge & understanding (baseline for monitoring):

- on LD status and trends, causes (direct & indirect) and impacts on land resources/ecosystems and on livelihoods
- on effects of land use/management practices of different land users (nature, extent, effectiveness, constraints)
- → analyse effectiveness of interventions and identify SLM measures for scaling up



Inform on progress & improve SLM design, planning and implementation:

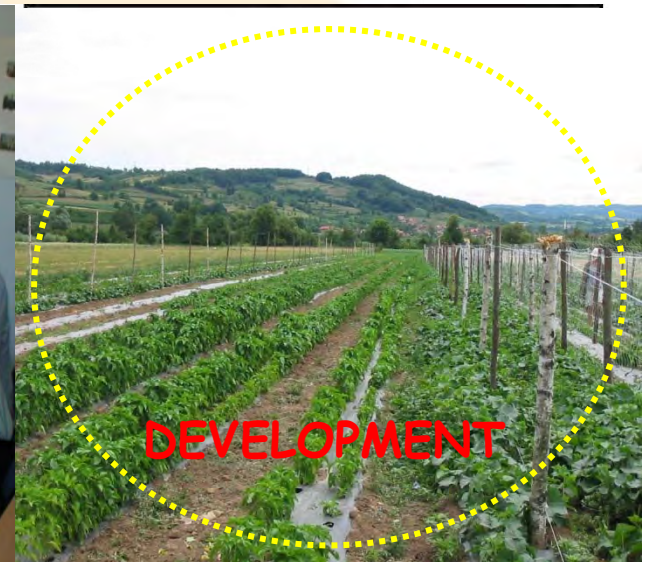
- sustain/enhance **productivity** (quality, quantity, product diversity)
- sustain/restore **soil, water, biological resources** (quality, quantity, diversity)
- sustain/restore **ecosystem functions** (carbon, water & nutrient cycles, pest, disease and climate regulation and soil formation) and **livelihood**

Costs/benefits		
Production/ economic	Socio-cultural	Ecological
<ul style="list-style-type: none"> • Diversified Yield 	Food Security	Water retention/supply

Participatory land use development

PLUD is a bottom-up approach with focus on planning at local level based on **knowledge** and **consensus** among the stakeholders.

Its main goal is to improve **land use planning** and **land resources management** by local users, based on dialogue between all concerned parties



Outcome 3: Build SLM capacity in districts & communities



District land use planning

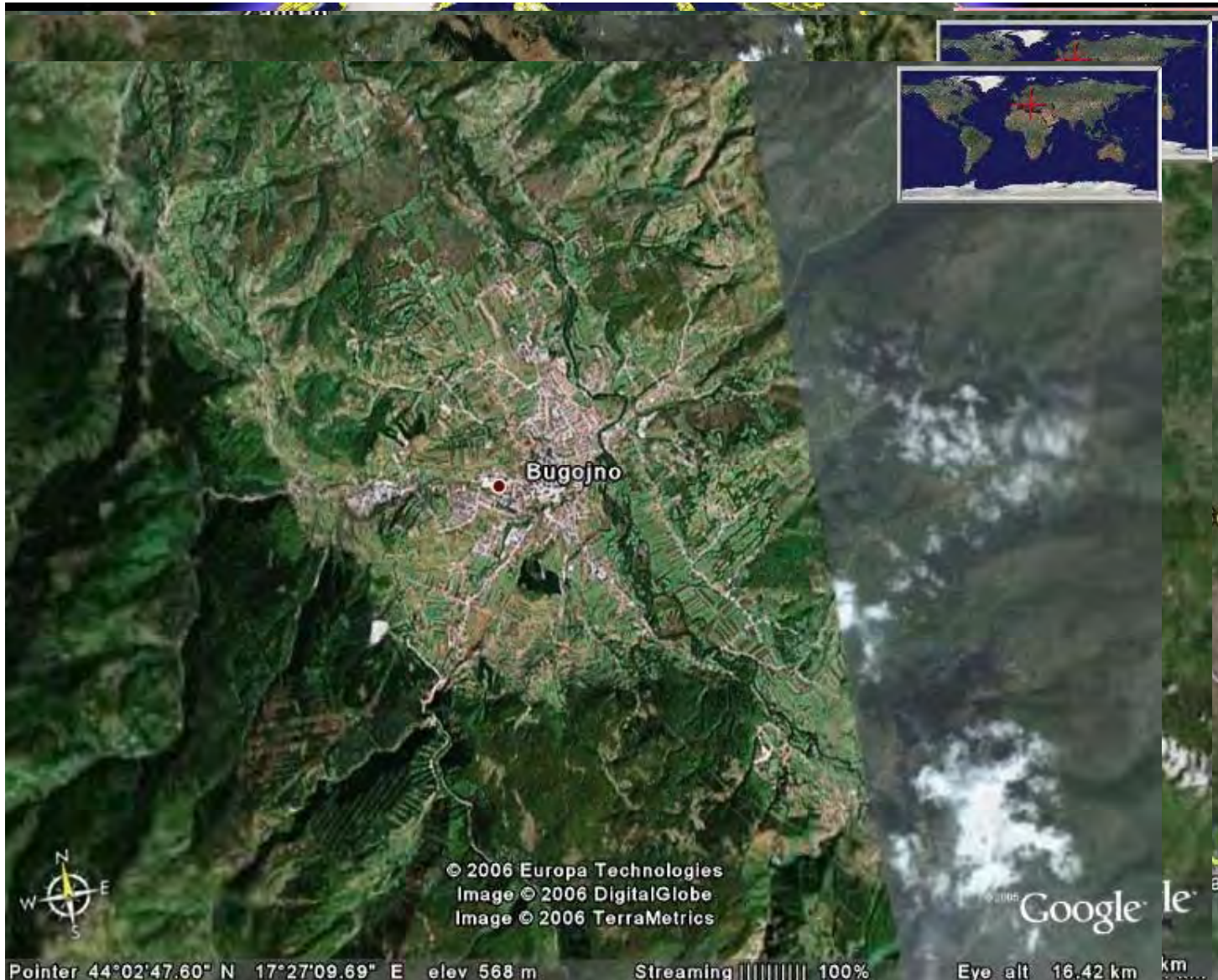
- LD and SLM assessment (LADA-WOCAT) → priority setting
- SLM best practices documented (WOCAT technologies and approaches databases)
- regulations/ bye laws and conflict resolution
- integrated multi-sector approaches

Community-based land /NR management

- Decentralized participatory land planning, land tenure and resource management
- Participatory Catchment Approaches to Soil and Water Conservation (contour bunds, vegetation strips, terracing, rainwater harvesting etc)
- Community Investment (grants, micro-credit, income generating activities and improved livelihoods).
- PES: Incentives to rural communities for preserving environmental services



Identification and inventory of a catchment/community territory



Aim for improved NRM and rural/ agricultural development

Characterization of the Territory?

Sæbø today – Typical Norwegian scattered housing



→ A piece of land

- with its resources
- with the people who can take decisions on it

Sæbø could have looked like this...



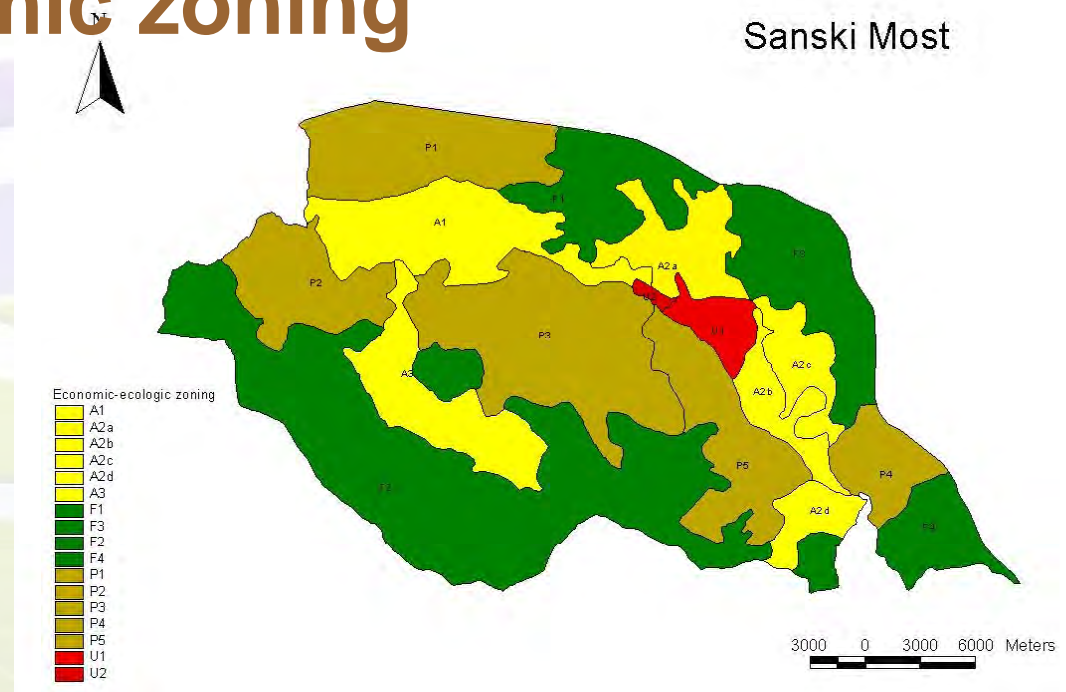
Agro-ecological or agro-economic zoning



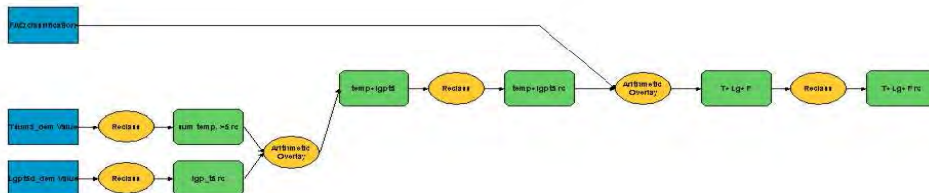
Sanski Most

- Cadastral borders
- Agricultural land in %
- Cultivable land in %
- Population density
- Size of agricultural fields
- Other...

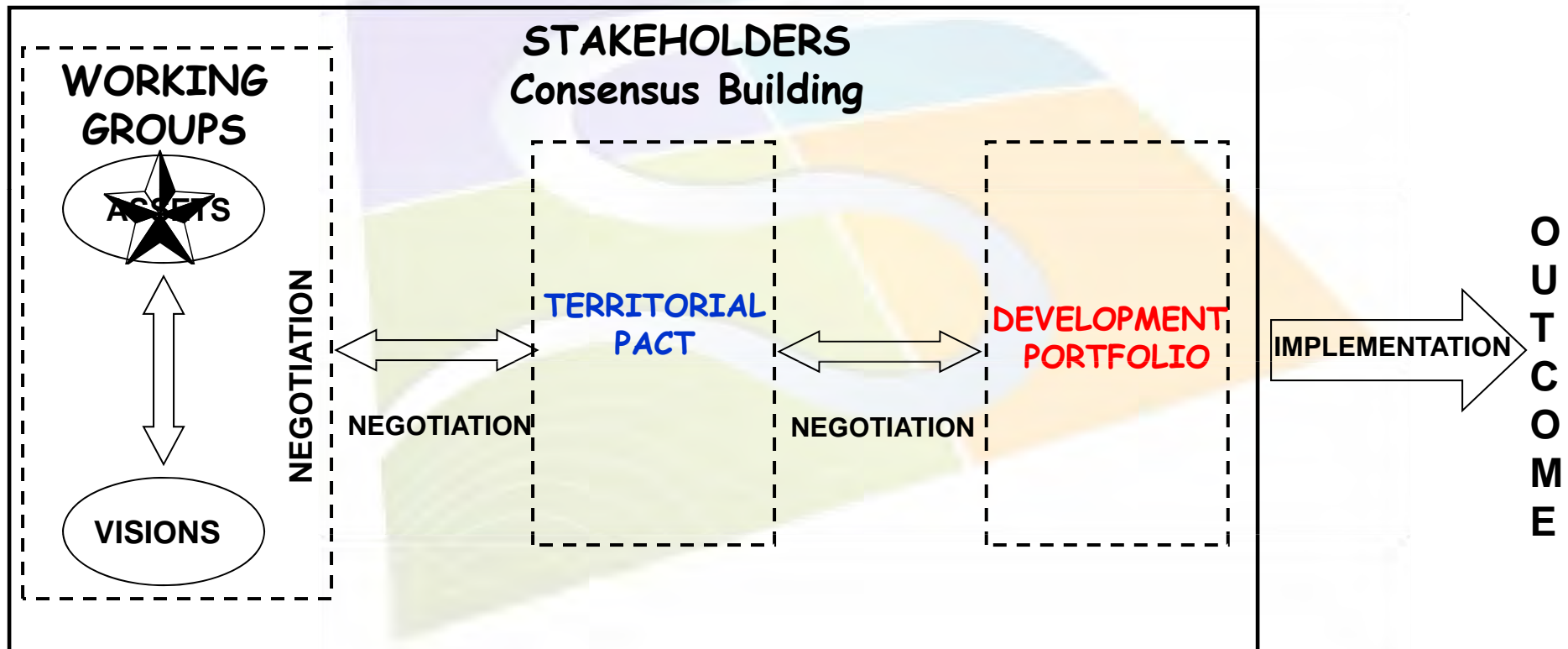
AEZ/EEZ allows the representation of a territory in a static form



- Comparing natural conditions with crop requirements



A Territorial Pact on future land use is a negotiated agreement between the main stakeholders on how land could and eventually should be used in the future.



Development Portfolio is a list of well defined projects in order to implement those priorities.

Watershed management

A watershed is the geographic area drained by a water course. The concept applies at multiple scales from a farm crossed by a stream to a micro-watershed (managed by several farmers) to a large river or lake basin

The **Kagera river basin** reflects the complex system of watersheds and sub watersheds crossed by this major river and its tributaries while flowing from the source in Rwanda and Burundi to the mouth in Lake Victoria

For **effective land and water management** each part of the basin and each community territory should be seen in terms of its relation to the river (water regime-flow, hydrology, water supply, water use)

Watershed management

Watershed management is necessary to sustain the multiple services of watersheds for local people, their livelihoods and downstream

- **Improvement or stabilisation of water flow** (rainy and dry seasons → water users and suppliers (drinking, livestock, irrigation, ...))
- **Minimise runoff on the land and resulting soil erosion and suspended sediments** → land users (fertility), settlements (reduce damage/risk), water users and suppliers
- **Maintain water and soil quality** - minimise pollutants (fertiliser and pesticide residues, pollution from local agro-industry etc.) and nutrient load (washed out soil nutrients; eutrophication) → communities; water suppliers



Community planning and watershed management approaches



Active participation and organisation of land users- they should own and drive the process!

Participatory negotiated process among all stakeholders in developing the land use plan to meet their needs (production, water, energy..)

+ address conflict Strengthen community & farmers organisations, technical support and district LUP capacities

Environmental education upstream and downstream (exchange visits, film, radio)

Incentive measures- added value farm products, marketing, Payments for environmental services (C, biodiversity, water supply)

Participatory negotiated territorial development

Usefulness of technical information

- Definition of territory - inventory of resources and assessment (:D/SLM potential)
- To make realistic and sustainable plans
- To develop projects/actions to ensure efficient use of resources - including finance
- It favours negotiations and brokering - confidence building

Incentivating and Upscaling SLM

5. Design & test Payments for environmental services (PES)

- Carbon sequestration (soil carbon - crops, woodlots; grazing)
- Biodiversity conservation (niche products and ecotourism)
- Water supply downstream for cities (green water credits).

6. Partnership/collaboration with projects/partners for scaling up SLM (2012-2014)

- use of LD and SLM assessment tools (LADA-WOCAT)
- use of catchment/community planning tools
- SLM best practices (techniques and FFS + watershed + PNTD approaches) - testing, adaptation and scaling up
- Implement policies/strategies through district technical and budget support and develop SLM Strategic investment programmes (e.g. TerrAfrica-Uganda)

See websites Kagera www.fao.org/nr/kagera

LADA www.fao.org/nr/lada

WOCAT www.wocat.org

Thank you for your kind attention