



Artificial intelligence and machine learning in soil spectroscopic modelling

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Soil and Landscape Science
Curtin University, Australia

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Food and Agriculture Organization
of the United Nations

Outline

Diffuse reflectance spectroscopy

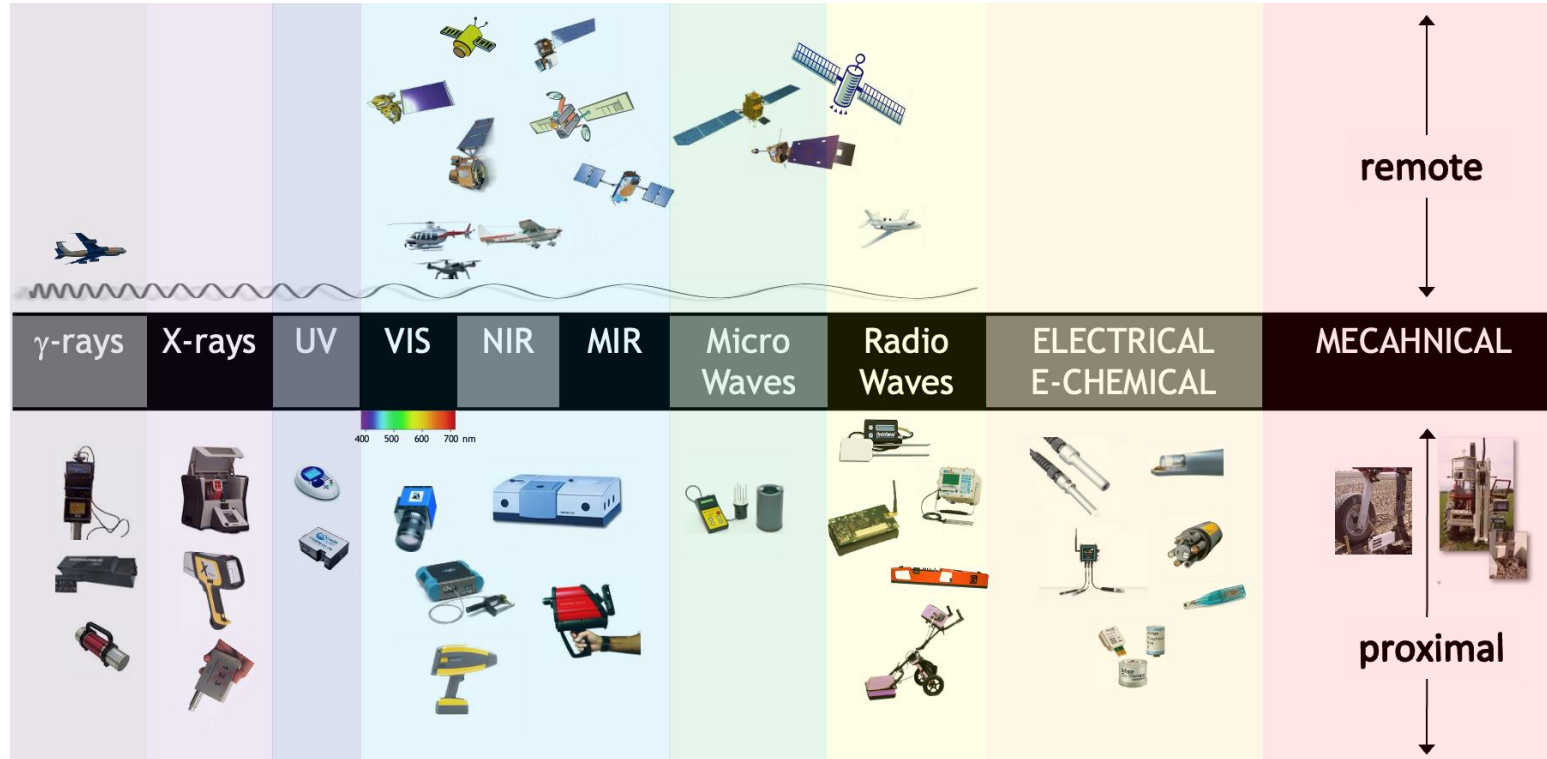
AI and machine learning

- Examples in soil spectroscopic modelling

Challenges

- Hyperparameter tuning
- Interpretation
- Localisation

Spectroscopy

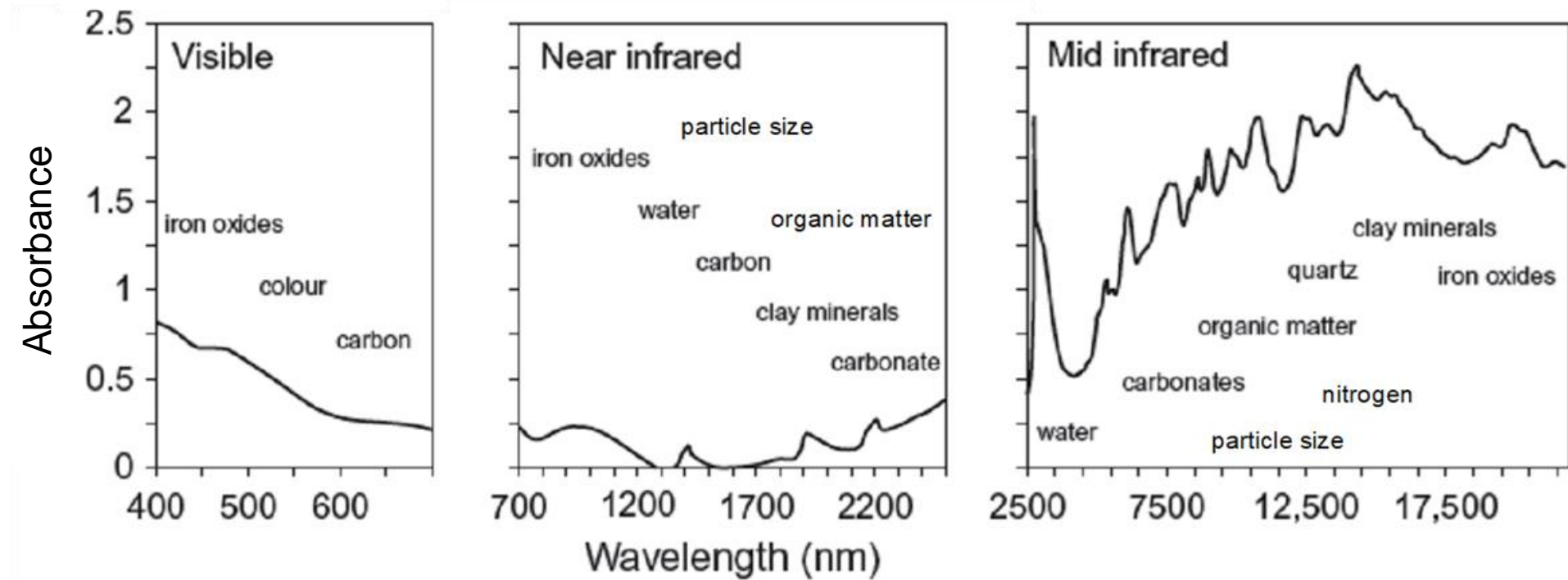


Sensors are becoming smaller, smarter, cheaper, faster, more energy efficient...



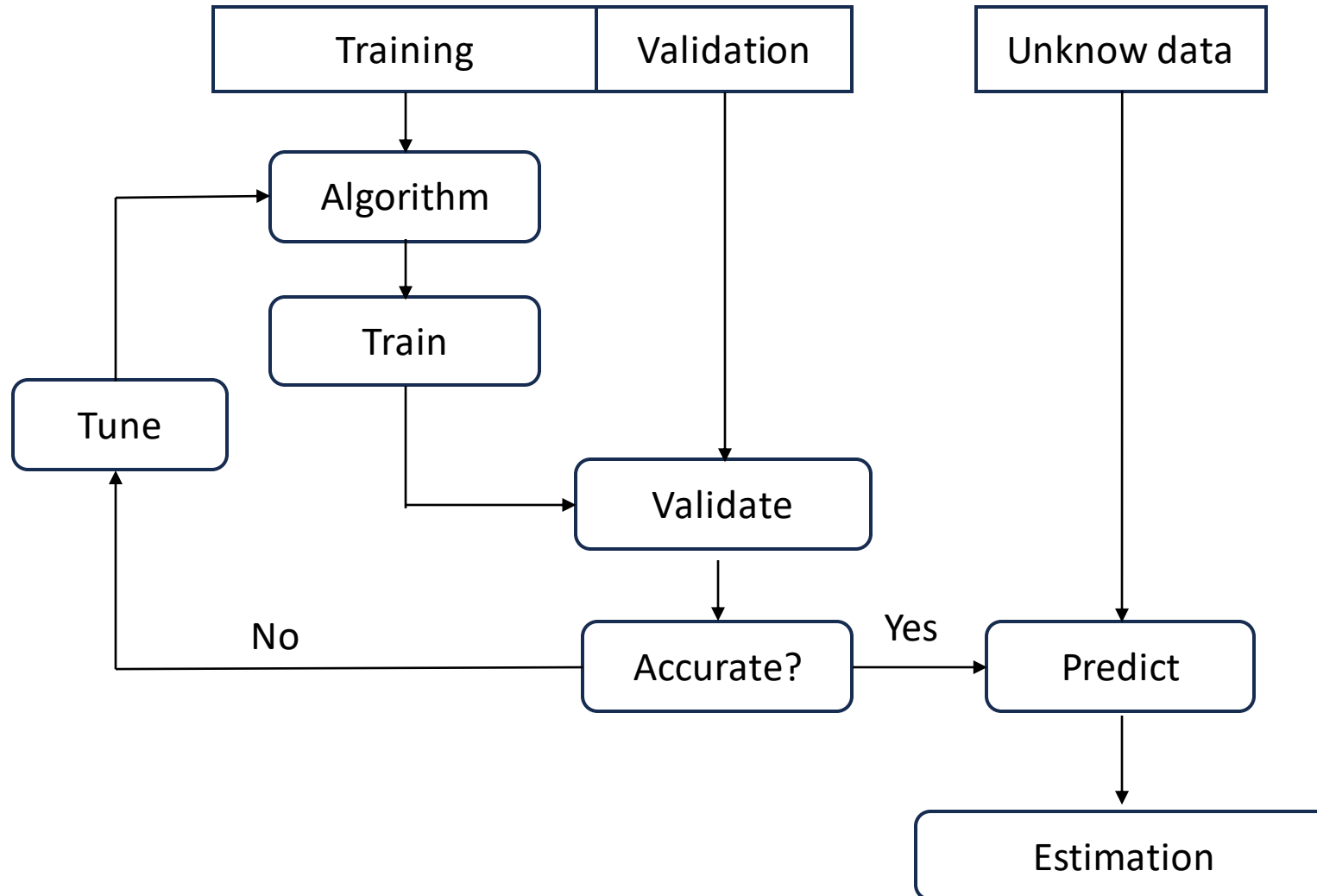
Soil diffuse reflectance spectroscopy

Spectra measure the composition of soil which determines soil properties



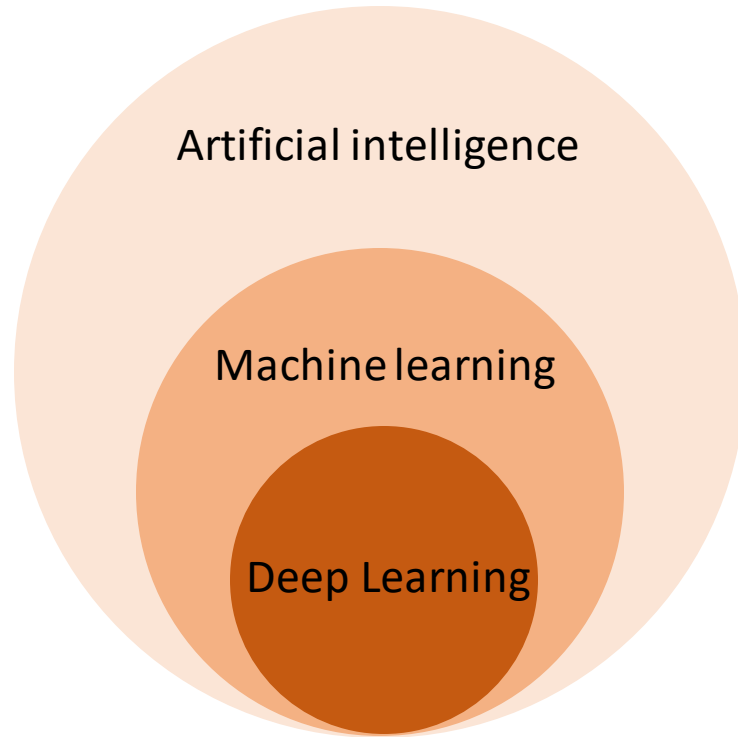
A single spectrum can provide information on the soil and its properties

Soil spectroscopic modelling - Multivariate regression



- A soil spectral library with spectra and corresponding soil properties.
- Statistical and machine learning can be used as the predictive models.
- Hyperparameters of the models need to be tuned for accurate predictive models.

Artificial intelligence and machine learning



...and also **SOIL SCIENCE**

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Deep transfer learning of global spectra for local soil carbon monitoring

ez^b, Thorsten Behrens^{c,d}, Lei Cui^e, Mingxi Zhang^a, Zhou Shi^g, Kenneth A Sudduth^h, Philipp Baumann^d, Raphael A. Viscarra Rossel^{a,*}

scientific reports

OPEN Automated spectroscopic modelling with optimised convolutional neural networks

Zefang Shen[✉] & R. A. Viscarra Rossel

nature geoscience ARTICLES

<https://doi.org/10.1038/s41561-019-0373-z>

Continental-scale soil carbon composition and vulnerability modulated by regional environmental controls

R. A. Viscarra Rossel^{1,2*}, J. Luo^{1,2}, T. Behrens³, Z. Luo⁴, J. Baldock⁵ and A. Richards⁶

Estimating soil fungal abundance and diversity at a macroecological scale with deep learning spectrotransfer functions

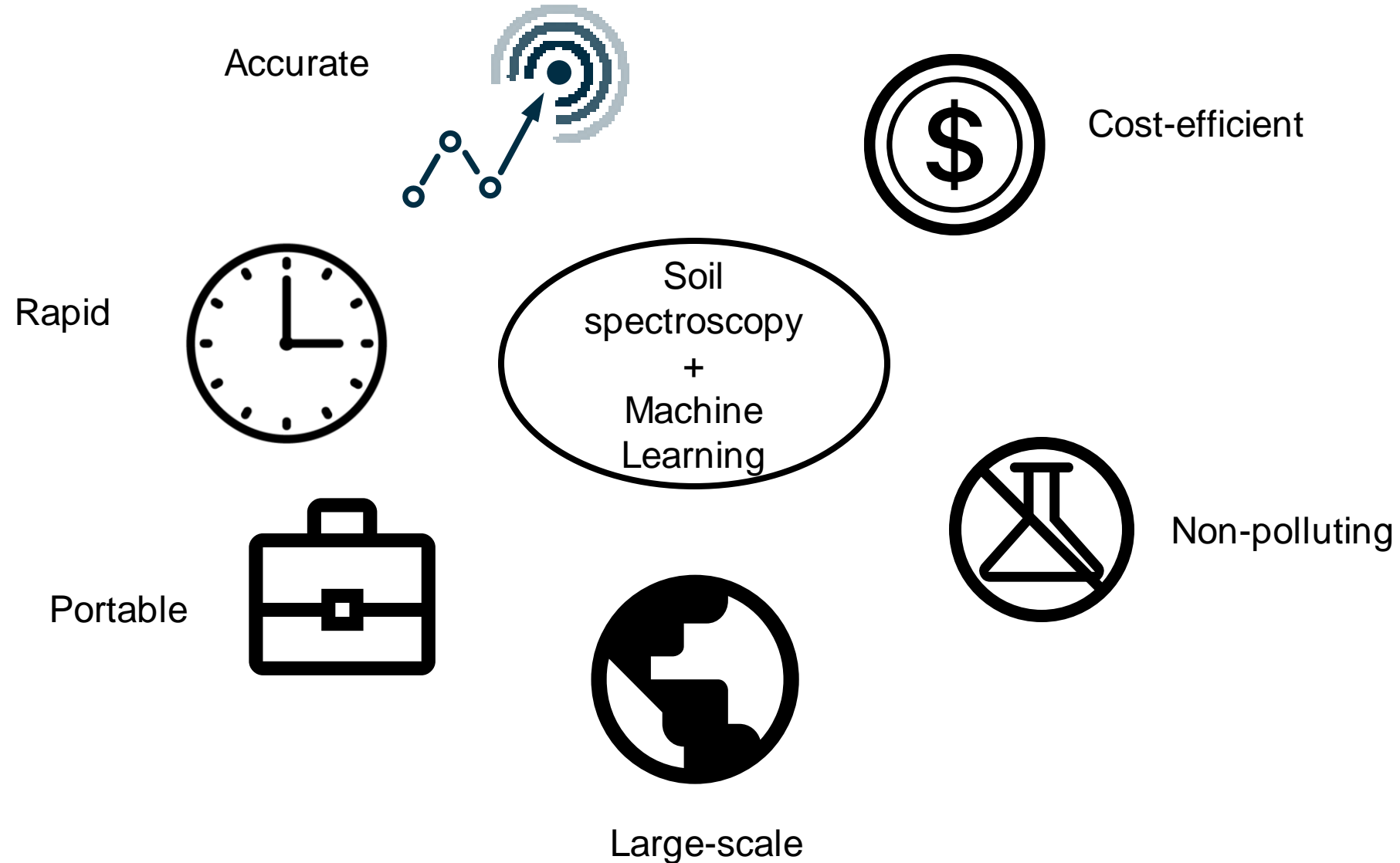
Yuanyuan Yang¹, Zefang Shen^{✉1}, Andrew Bissett², and
¹Soil and Landscape Science, School of Molecular and Life Science, University of Tasmania, Hobart, TAS, Australia
²CSIRO Oceans and Atmosphere, GPO BOX 1538, Hobart TAS 7005, Australia
Correspondence: Raphael A. Viscarra Rossel (r.viscarra-rossel@utas.edu.au)

SCIENTIFIC REPORTS

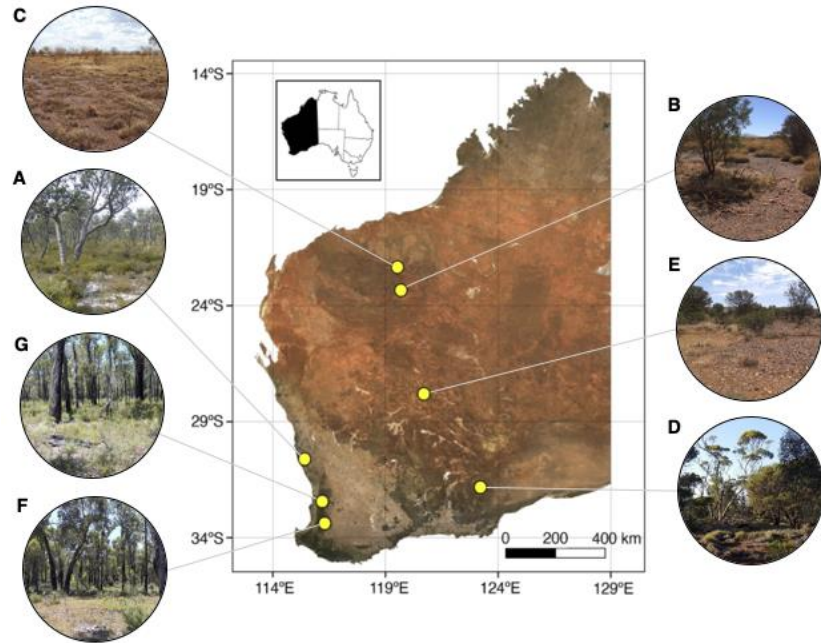
OPEN Multi-scale digital soil mapping with deep learning

Thorsten Behrens¹, Karsten Schmidt^{✉1}, Robert A. MacMillan² & Raphael A. Viscarra Rossel³

Soil spectroscopy with Machine Learning



Soil spectroscopy for mine site rehabilitation



56 sampling plots, 280 subplots.

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Miniaturised visible and near-infrared spectrometers for assessing soil health indicators in mine site rehabilitation

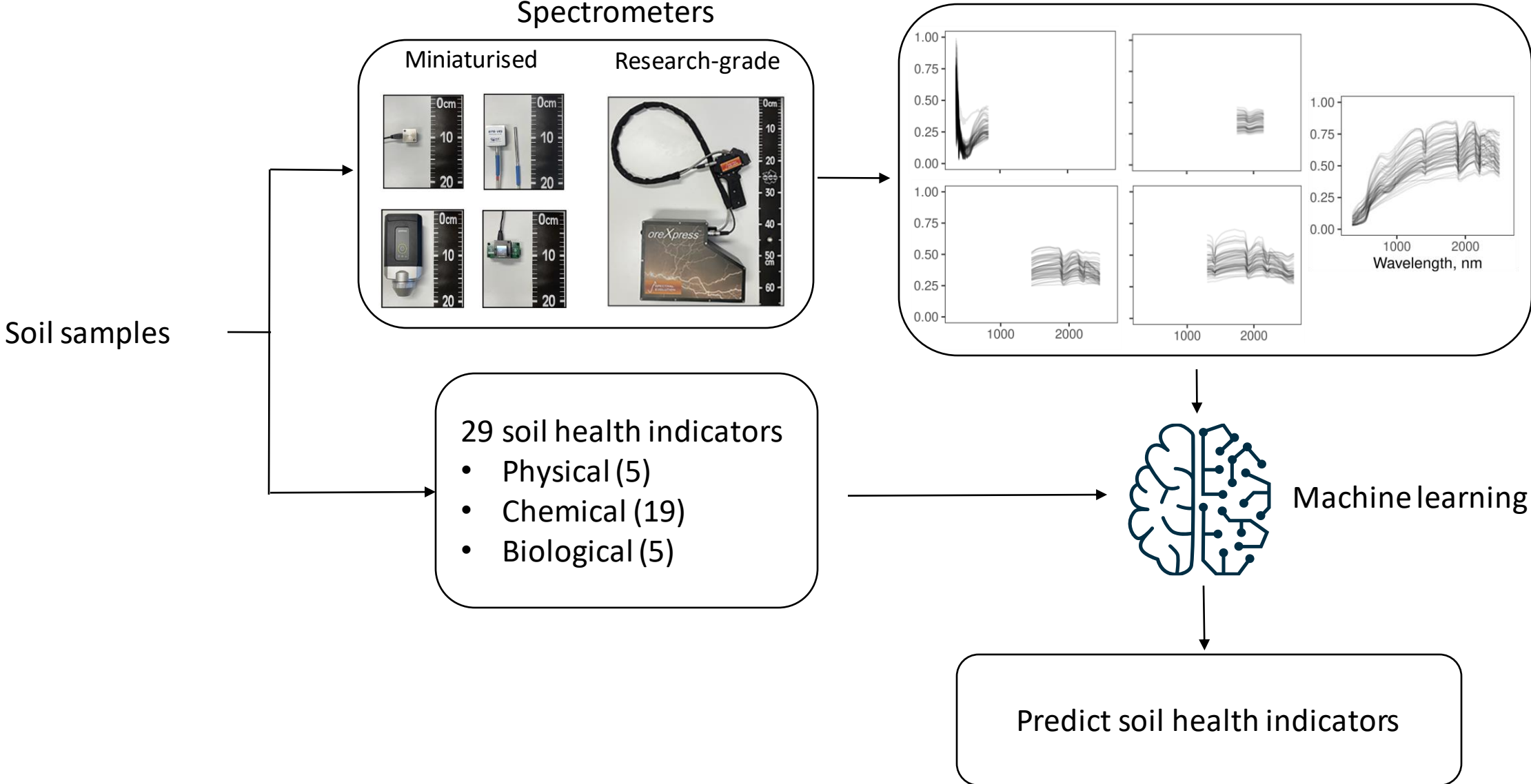
Zefang Shen¹, Haylee D'Agui², Lewis Walden¹, Mingxi Zhang¹, Tsoek Man Yiu¹, Kingsley Dixon², Paul Nevill^{2,3}, Adam Cross^{2,4}, Mohana Matangulu¹, Yang Hu¹, and Raphael A. Viscarra Rossel¹

| Physical property (5) | Unit | Mean | SD |
|-------------------------|--------------------|-------|-------|
| Sand | % | 51.44 | 12.38 |
| Slit | % | 24.10 | 12.22 |
| Clay | % | 24.46 | 17.12 |
| Bulk density | g cm ⁻³ | 1.36 | 0.15 |
| Electrical conductivity | dS m ⁻¹ | 0.19 | 0.49 |

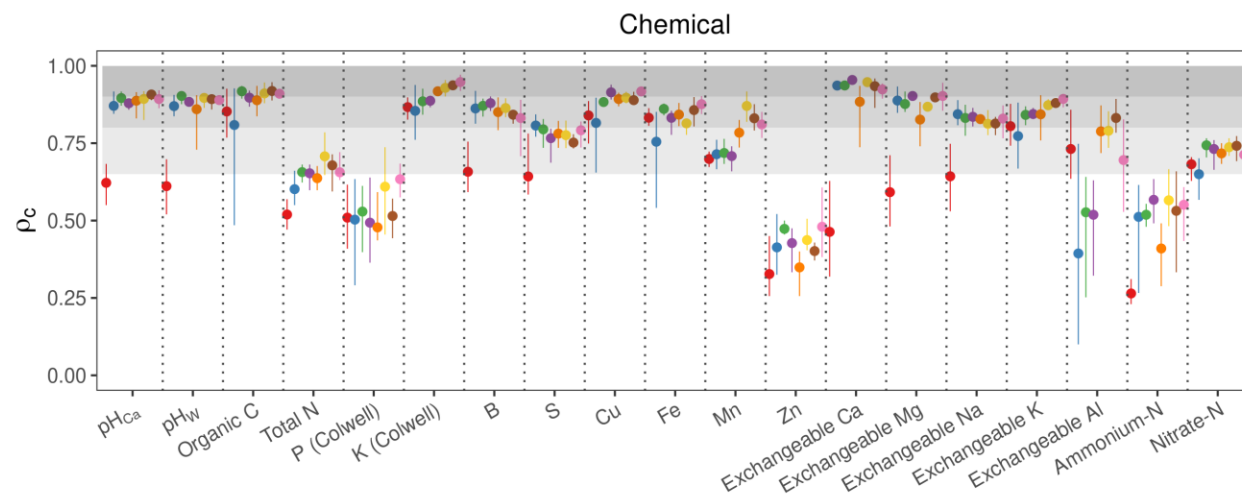
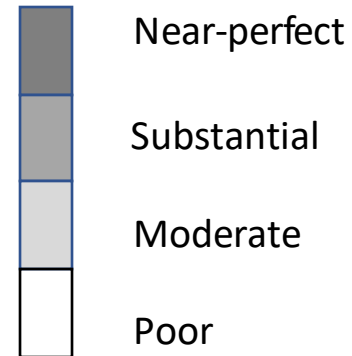
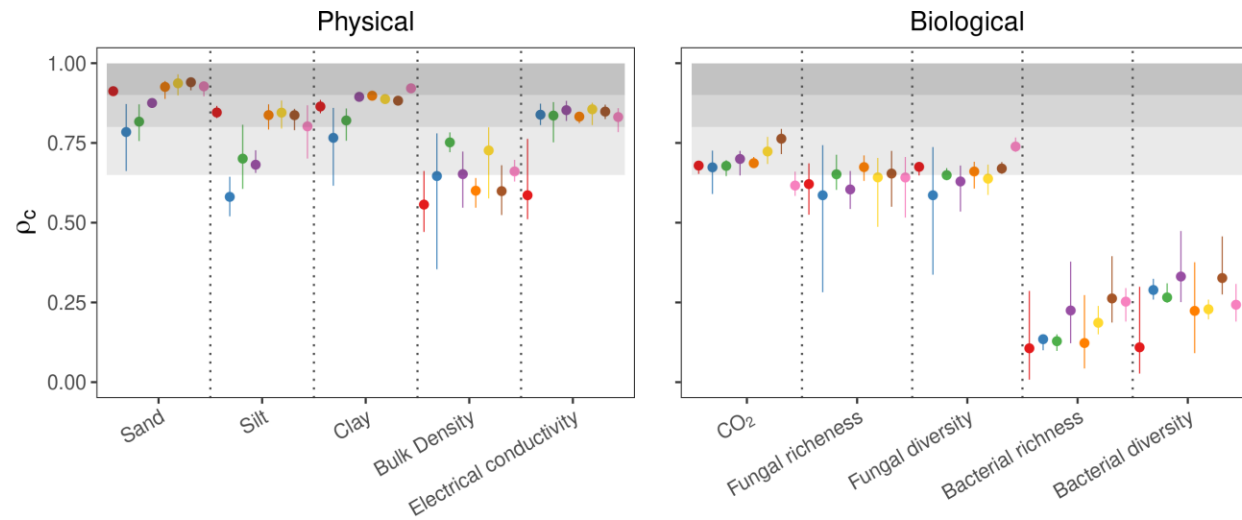
| Biological property (5) | Unit | Mean | SD |
|----------------------------|--------------------|--------|--------|
| CO ₂ production | mg L ⁻¹ | 30.41 | 29.22 |
| Fungal richness | - | 52.26 | 39.87 |
| Fungal diversity | - | 2.82 | 0.65 |
| Bacterial richness | - | 656.64 | 249.81 |
| Bacterial diversity | - | 5.58 | 0.60 |

| Chemical property (19) | Unit | Mean | SD |
|------------------------|-------------------------|--------|--------|
| pH _{Ca} | - | 5.74 | 1.20 |
| pH _w | - | 6.68 | 1.06 |
| Organic C | % | 1.21 | 1.07 |
| Total N | mg kg ⁻¹ | 14.52 | 23.50 |
| P (Colwell) | mg kg ⁻¹ | 4.53 | 2.62 |
| K (Colwell) | mg kg ⁻¹ | 191.71 | 145.15 |
| B | mg kg ⁻¹ | 0.73 | 1.04 |
| S | mg kg ⁻¹ | 60.13 | 225.00 |
| Cu | mg kg ⁻¹ | 0.84 | 0.72 |
| Fe | mg kg ⁻¹ | 18.24 | 16.89 |
| Mn | mg kg ⁻¹ | 13.94 | 15.64 |
| Zn | mg kg ⁻¹ | 0.56 | 0.35 |
| Exchangeable Ca | meq 100 g ⁻¹ | 6.09 | 5.67 |
| Exchangeable Mg | meq 100 g ⁻¹ | 1.91 | 1.81 |
| Exchangeable Na | Meq 100 g ⁻¹ | 0.65 | 1.53 |
| Exchangeable K | meq 100 g ⁻¹ | 0.38 | 0.31 |
| Exchangeable Al | meq 100 g ⁻¹ | 0.12 | 0.14 |
| Ammonium N | meq 100 g ⁻¹ | 3.39 | 3.27 |
| Nitrate N | meq 100 g ⁻¹ | 11.13 | 21.66 |

Soil spectroscopy for mine site rehabilitation



Soil spectroscopy for mine site rehabilitation



- The visible range spectrometer (A) accurately estimated soil texture (sand, silt, clay)
- The NIR spectrometers (B, C, D) estimated most of the soil properties with moderate or greater accuracy.
- Combining visible and NIR spectrometers produced more accurate estimates.
- Miniaturised spectrometers and their combinations estimated 24 out of 29 properties with moderate or greater accuracy.

Challenges in soil spectroscopic modelling

Hyperparameter tuning

Selection of hyperparameters are critical for the performance of machine learning models.

Interpretation

Machine learning models are usually considered as 'black-boxes' because they are difficult to interpret by humans.

Localisation

Models developed with large and diverse spectral datasets usually generalize poorly at more local scales.

Hyperparameter tuning

Grid search

Evaluate all possible hyperparameter configurations

Random search

Evaluate randomly sampled hyperparameter configurations

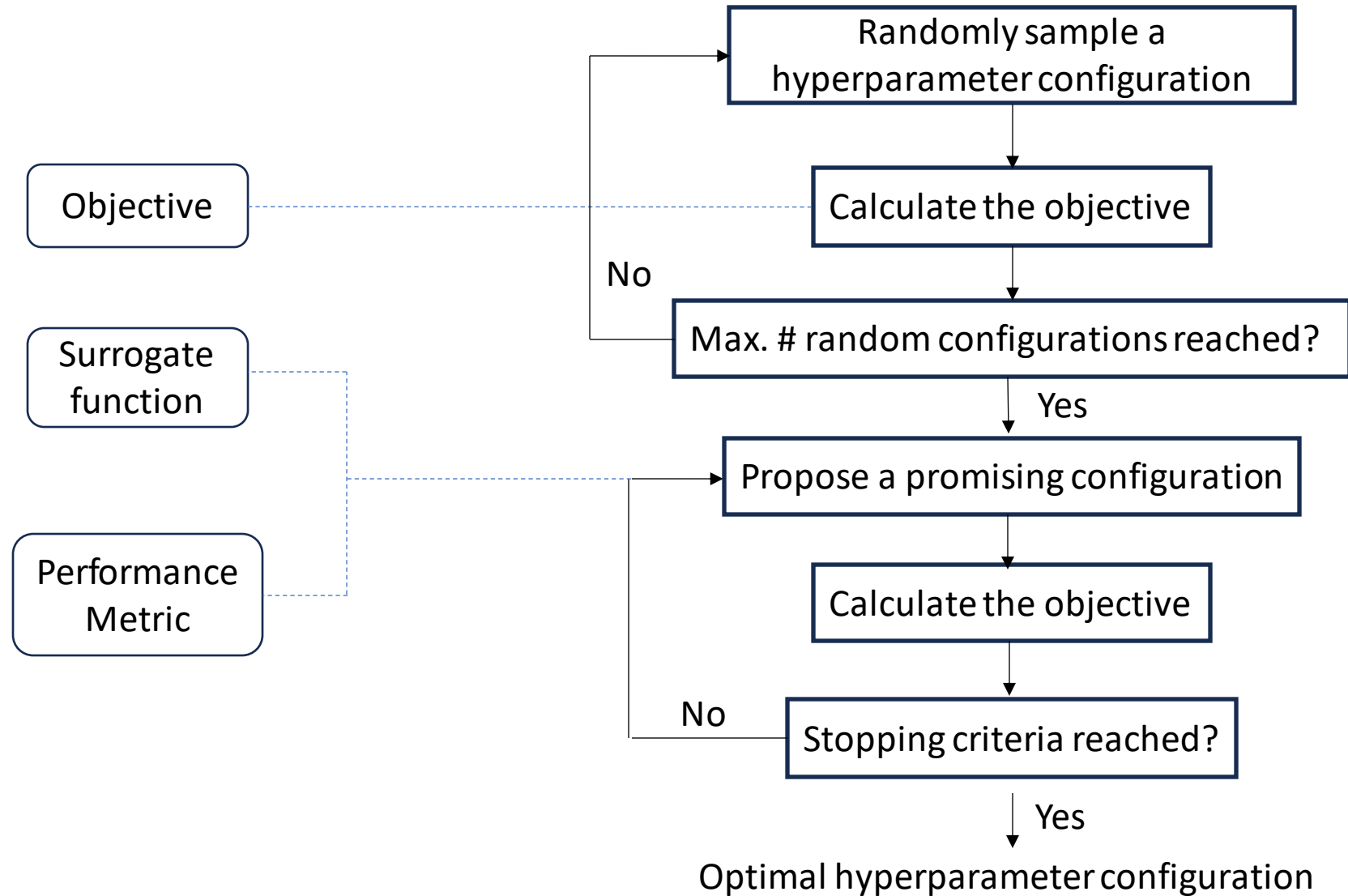
Hyperparameter optimization, e.g. Bayesian optimization

Use information from the previous evaluations to guide the hyperparameter search.

Grid search

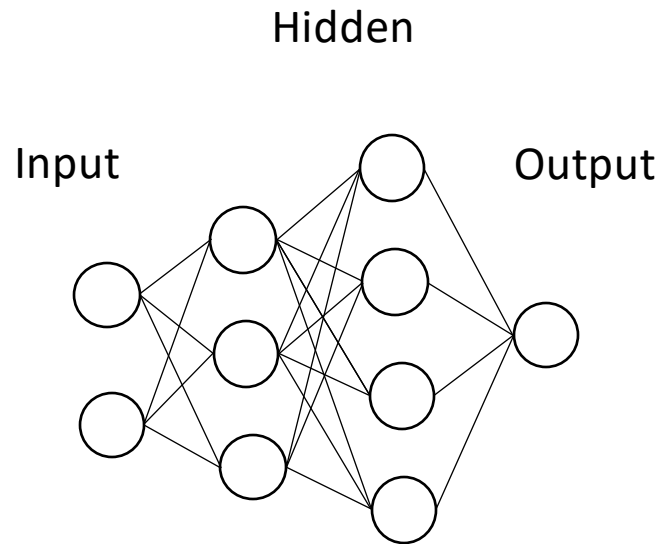
| Algorithm | Number of Hyperparameters | Number of model evaluations |
|----------------------------------|---------------------------|-----------------------------|
| Partial least squared regression | 1 | ~20 |
| Cubist | 2 | ~80 |
| Convolutional Neural network | 104 | $> 2^{104} \sim 10^{31}$ |

Hyperparameter tuning – Bayesian optimisation

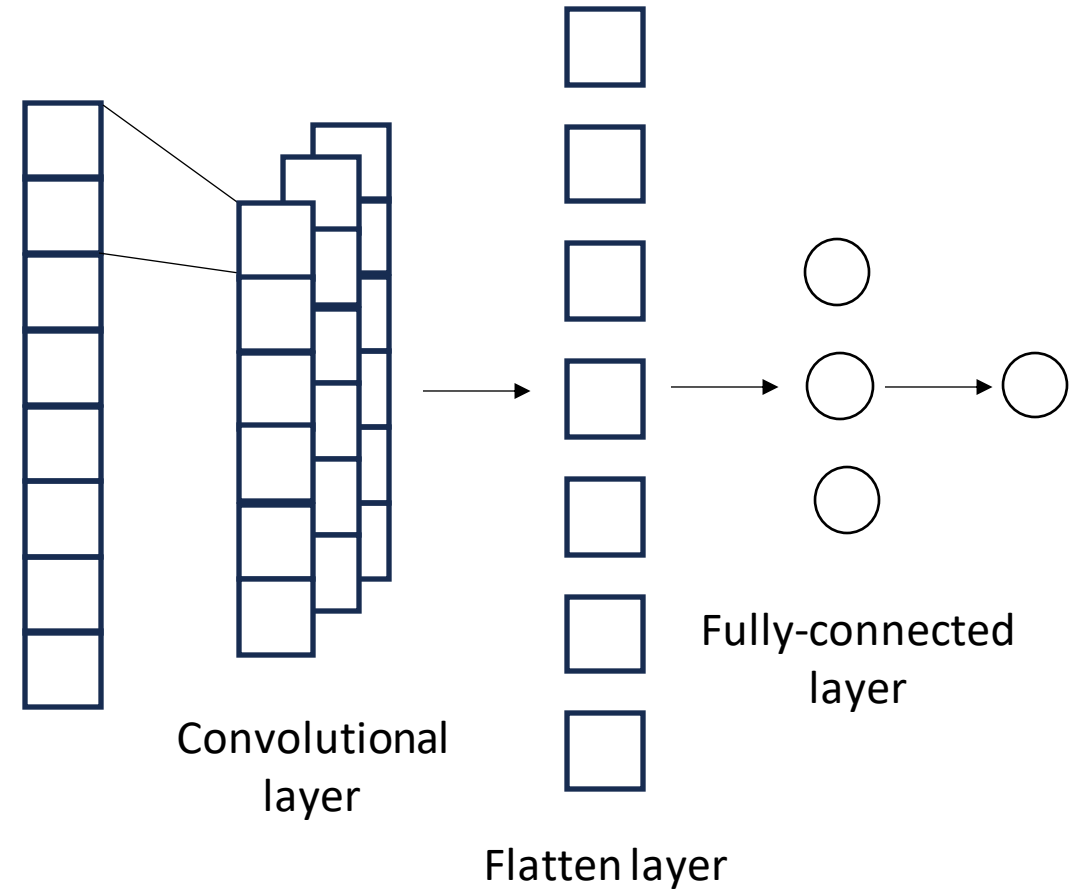


Hyperparameter tuning – Neural Networks

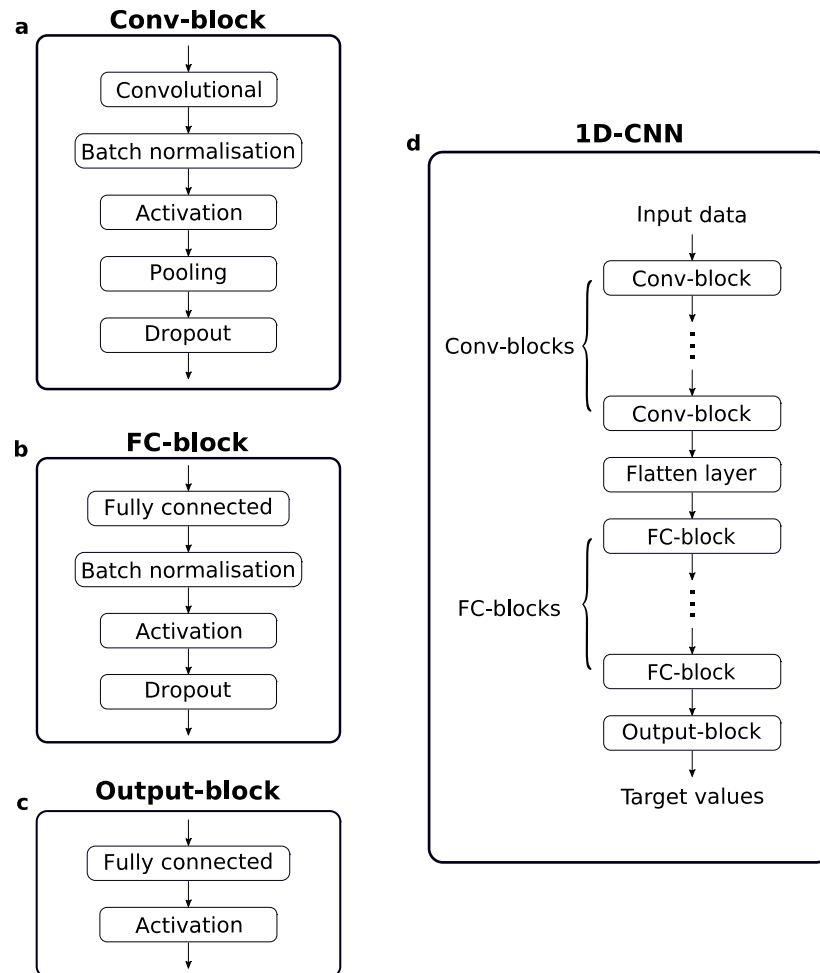
Artificial Neural Networks



One-dimensional convolutional neural networks



Hyperparameter tuning – Bayesian optimisation



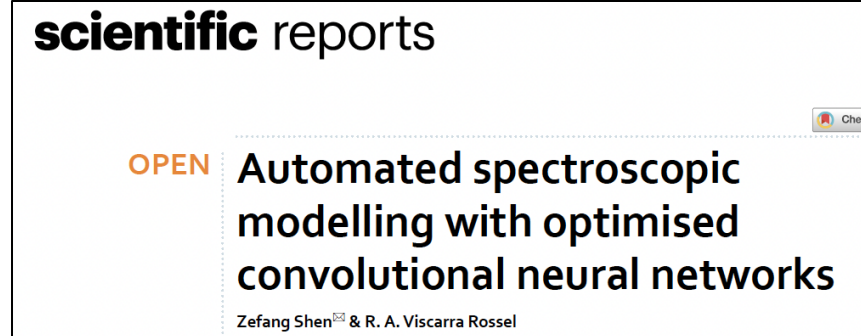
Objective:

Mean RMSE from 10-fold cross-validation

Hyperparameters:

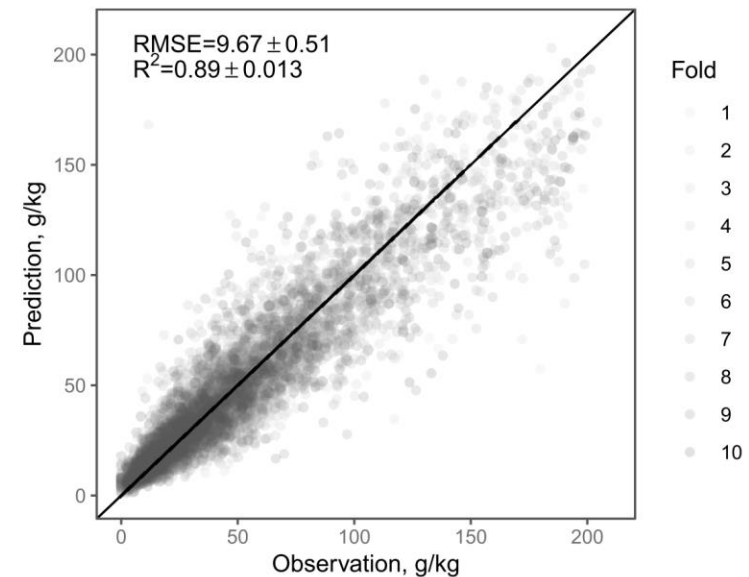
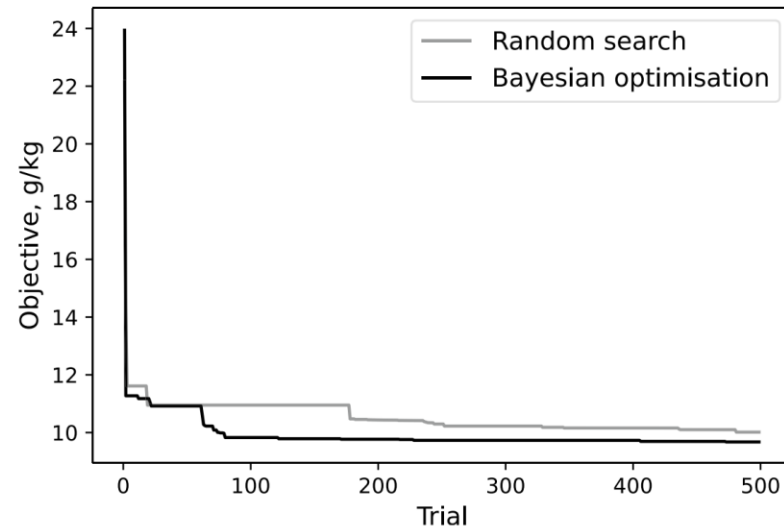
- # Conv-blocks
- # FC-blocks
- Hyperparameters in the Conv- and FC-blocks

Building blocks are optimised for best cross-validation performance using Bayesian optimisation.



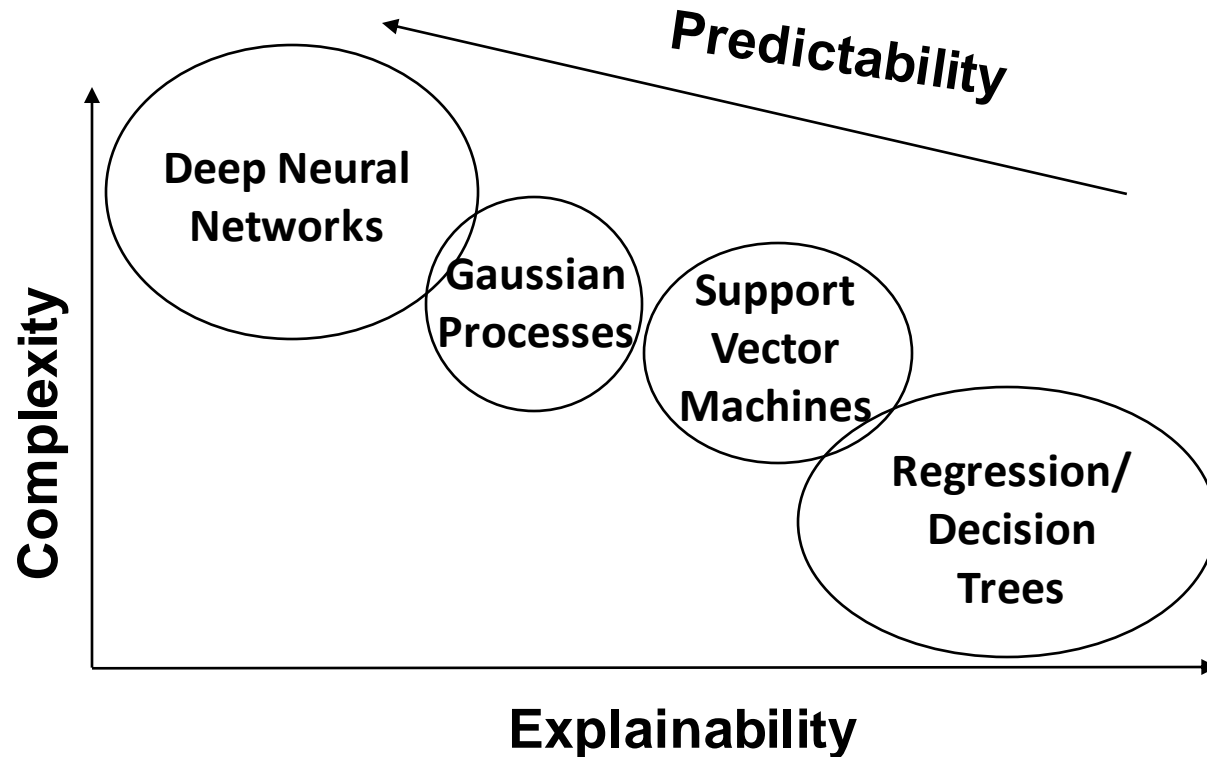
Hyperparameter tuning – Bayesian optimisation

Optimal 1D-CNN on the LUCAS dataset.



- Bayesian optimization produced the most accurate 1D-CNN.
- Bayesian optimization take much less trials to converge.
- Bayesian optimization can automatically discover an optimal 1D-CNN with best accuracy.

Interpretation of machine learning



Explainable Artificial intelligence (XAI)

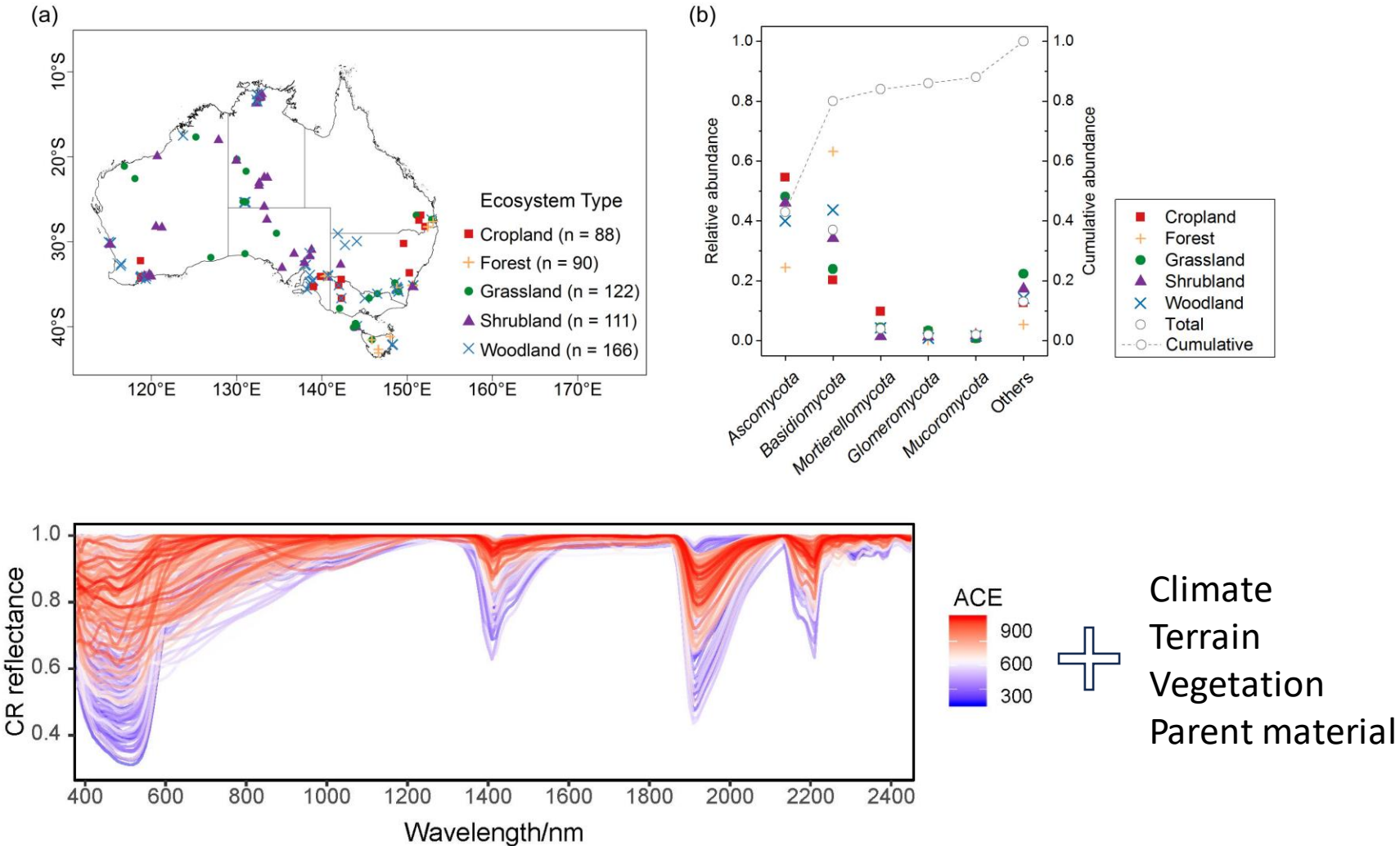
Tools and methods to help understand and interpret predictions made by your machine learning models

Examples:

- Perturbation-based feature importance
- SHapley Additive exPlanations (SHAP)

Understanding soil fungal abundance drivers

An example with perturbation-based XAI.



Models tested:

- Partial least squared regression (PLSR)
- Support vector machines (SVM)
- Random forests (RF)
- eXtreme Gradient Boosting (XGBoost)
- 1D-CNN

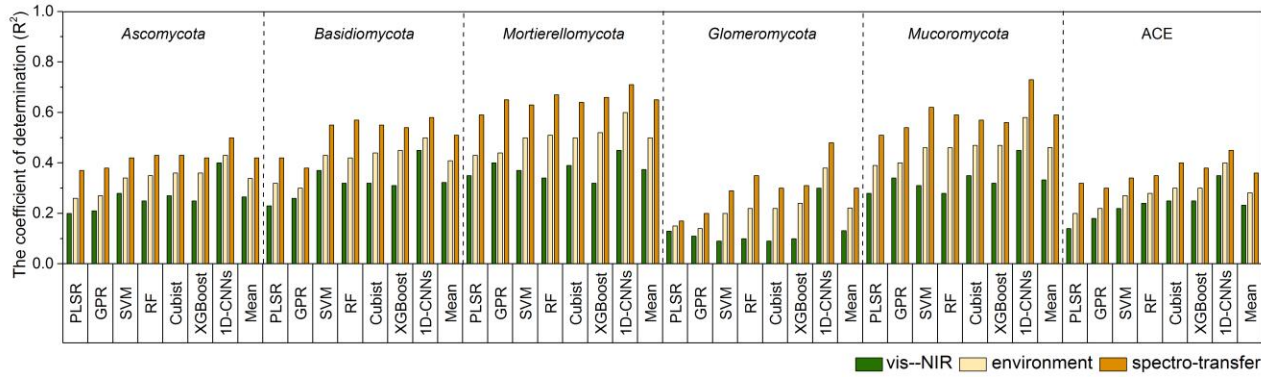
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SOIL

Estimating soil fungal abundance and diversity at a macroecological scale with deep learning spectrotransfer functions

Yuanyuan Yang¹, Zefang Shen¹, Andrew Bissett², and Raphael A. Viscarra Rosset¹

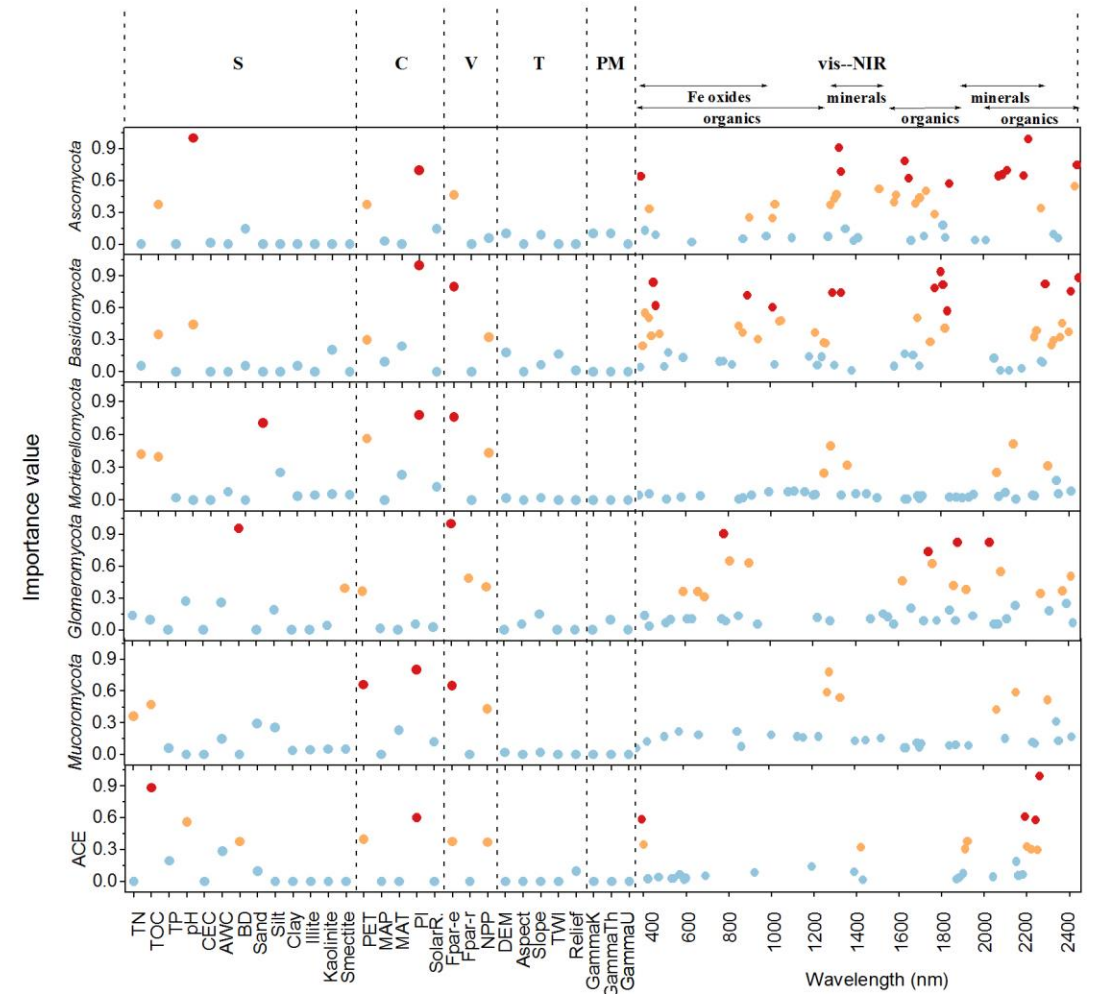
Understanding soil fungal abundancy drivers



1D-CNN produced the most accurate predictions for the fungal properties.

Perturbation-based Feature Importance

1. Perturb a predictor of interest.
2. Predict on validation data.
3. Calculate the changes in accuracy (R^2).



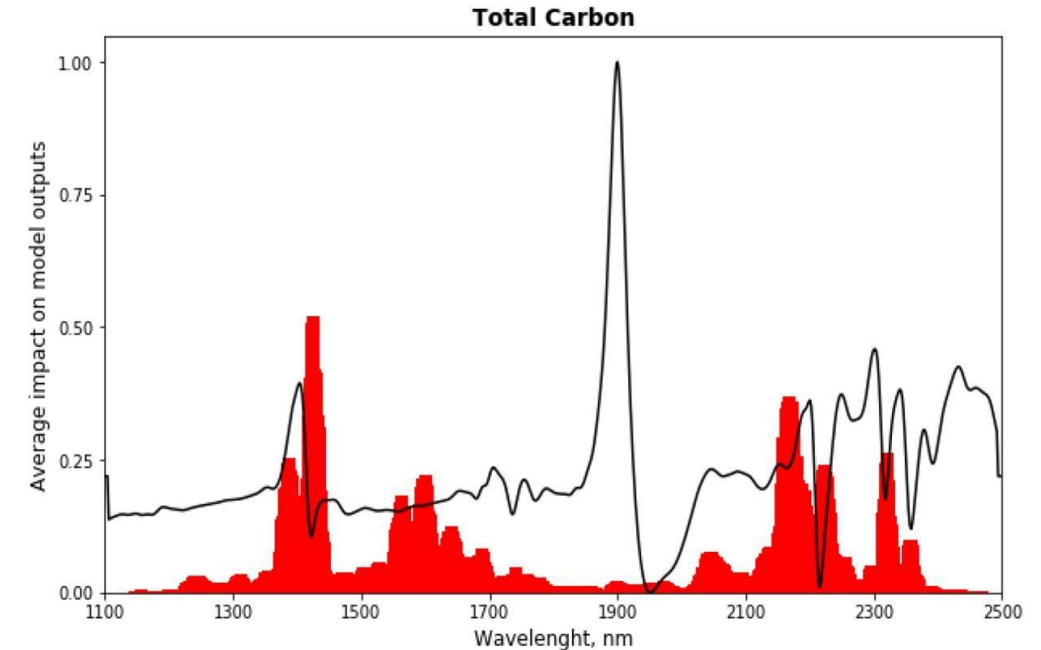
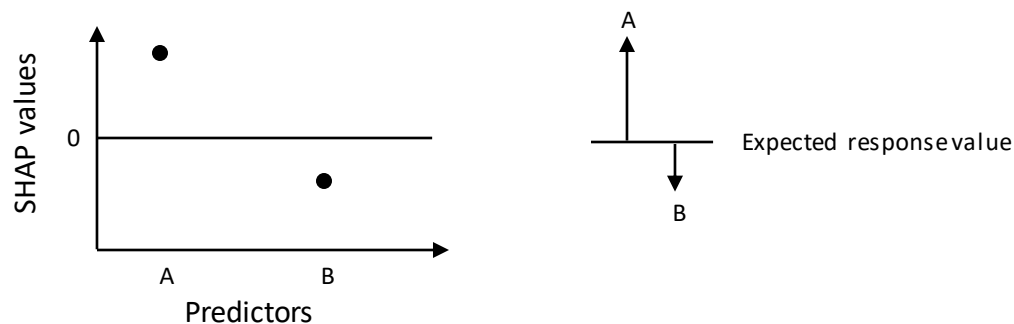
Interpreting soil spectroscopic modeling with SHAP values

SHAP values

- SHAP values are based on cooperative game theory and derive from Shapley values.
- Determine the contribution of each feature for a prediction.
- A background dataset is used as a reference for calculating the expected value.

Understanding SHPA values

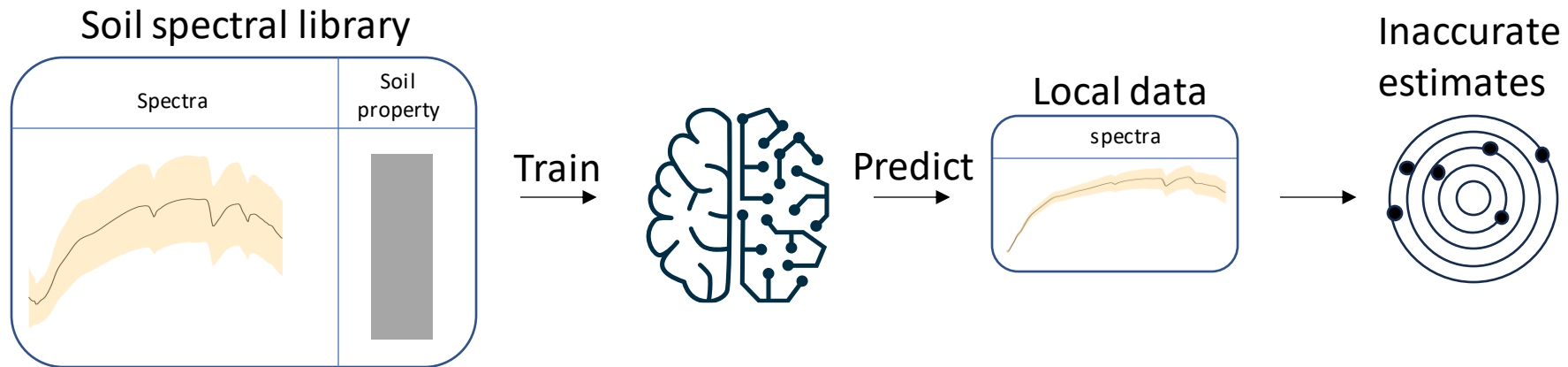
- Positive SHAP values indicate that the feature increases the prediction compared to the expected value
- Negative values indicate the opposite.



Haghi et al., 2021

Localising soil spectroscopic modelling

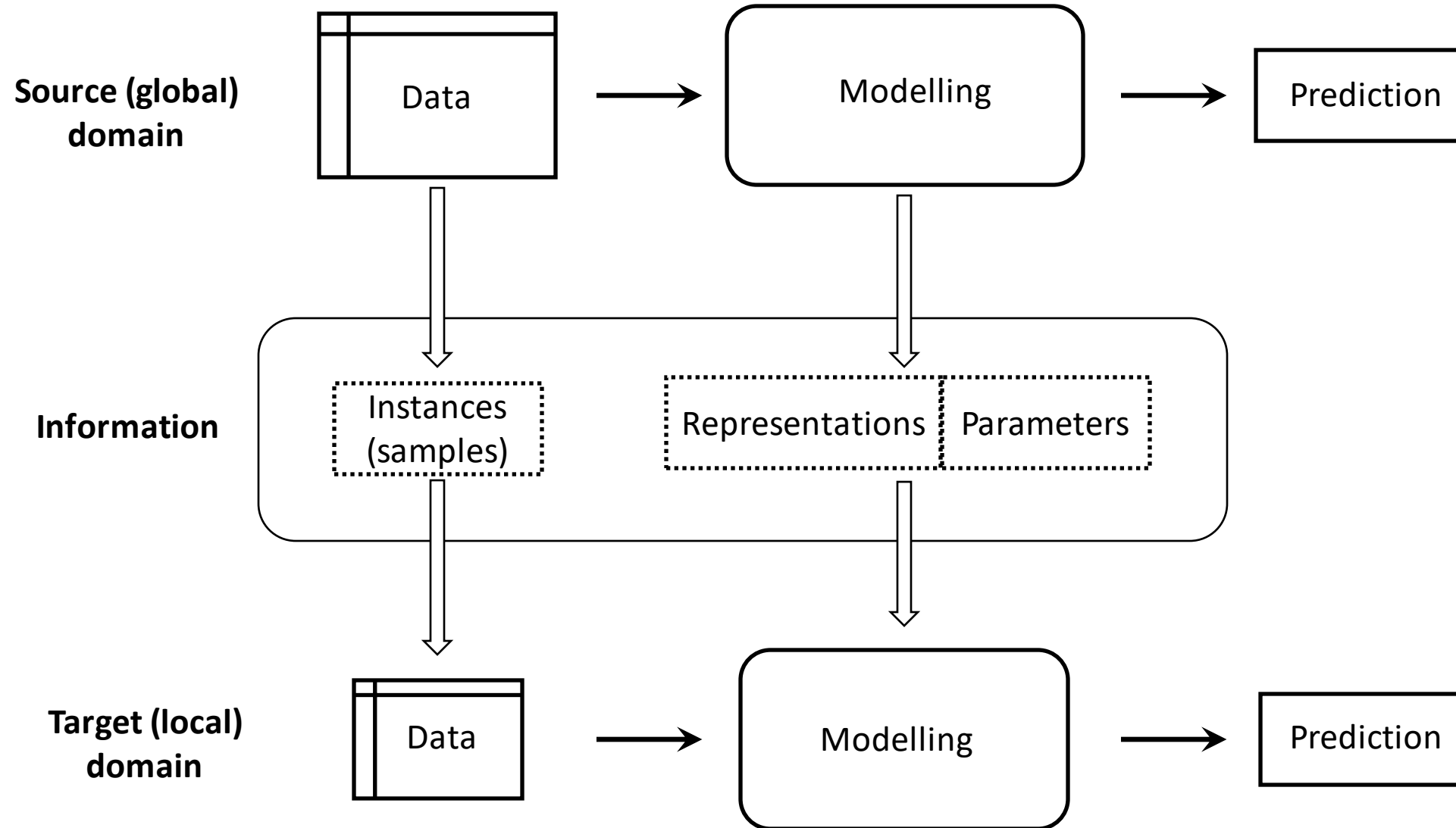
“Global” models built with large and diverse datasets do not generalise well on more homogeneous “local” data.



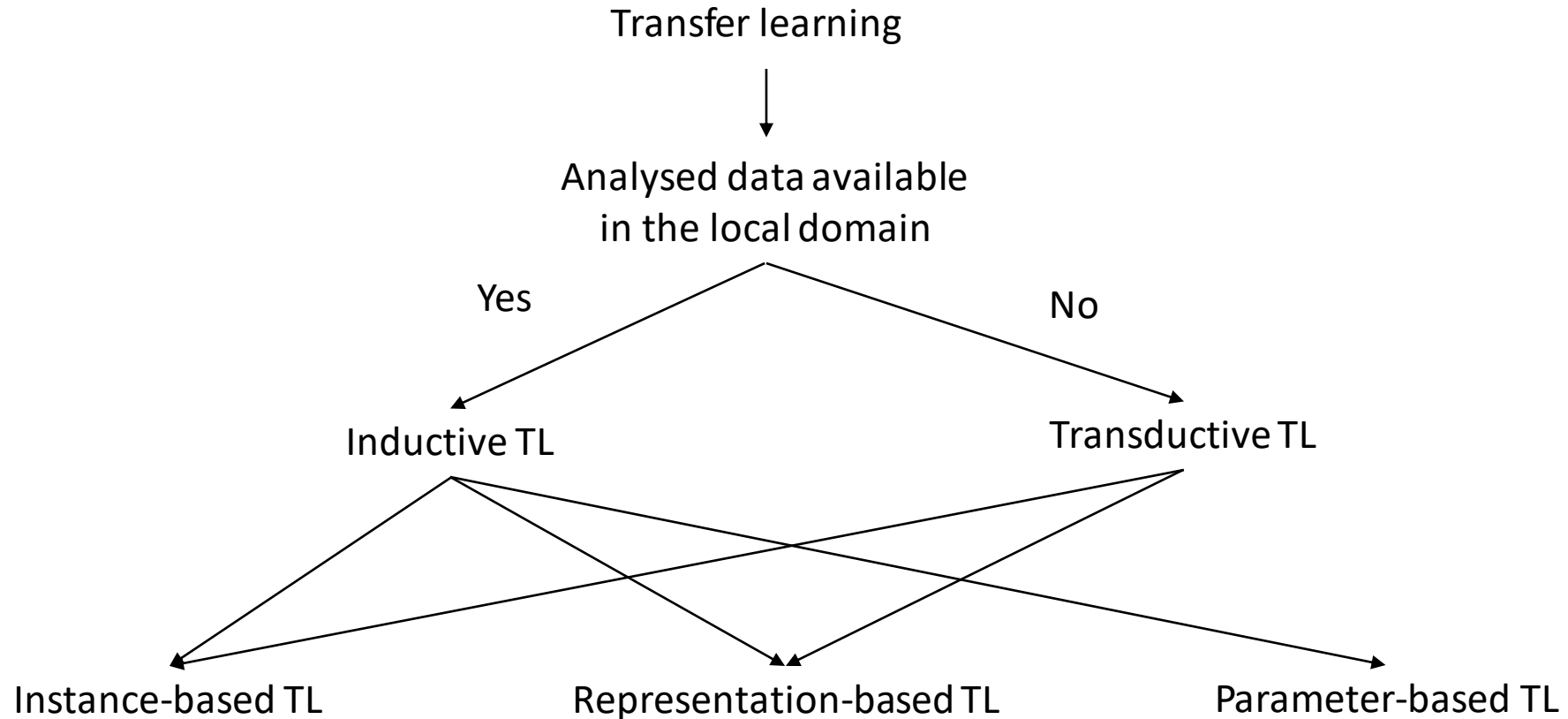
Localisation methods

- **Spiking**
 - Augment the local data with the soil spectral library
- **Conditional filtering**
 - Filter the soil spectral library with pedologic, geographic, land use information etc.
 - Augment the local data with the filtered library
- **Distance-based deterministic search**
 - Use distance metrics (e.g. Mahalanobis distance) to select spectral neighbours in the soil spectral library.
 - Develop spectroscopic modelling on the selected neighbours and predict on local data.
- **Data-driven heuristic search**
 - Generate subsets from the soil spectral library
 - Evaluate the subsets on the analysed local data
 - Augment the local data with the best subset
- **Reusing feature representations**
 - Train a neural network on the soil spectral library
 - Freeze the early layers and re-train the neural network on local data

Localisation as a Transfer Learning problem



Classification of Transfer Learning

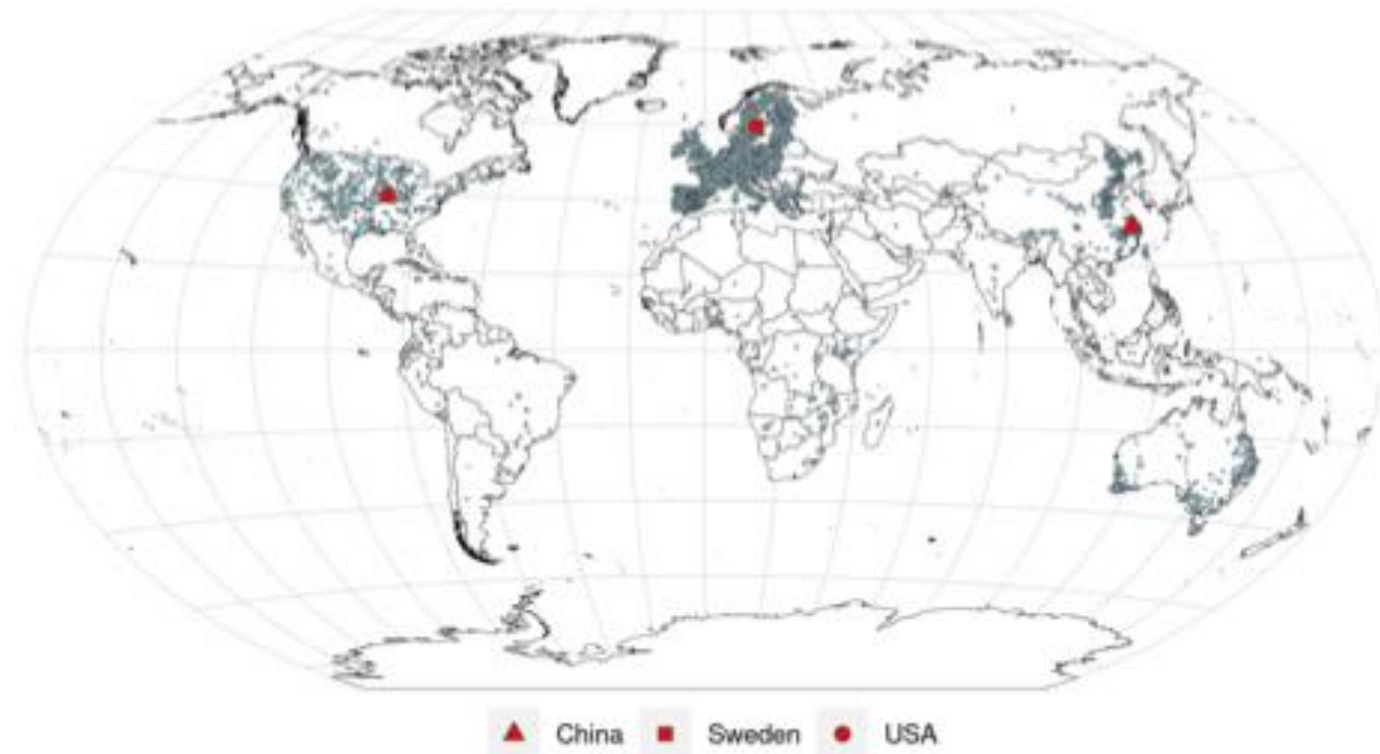


- Spiking
- Conditional filtering
- Distance-based deterministic search
- Data-driven heuristic search

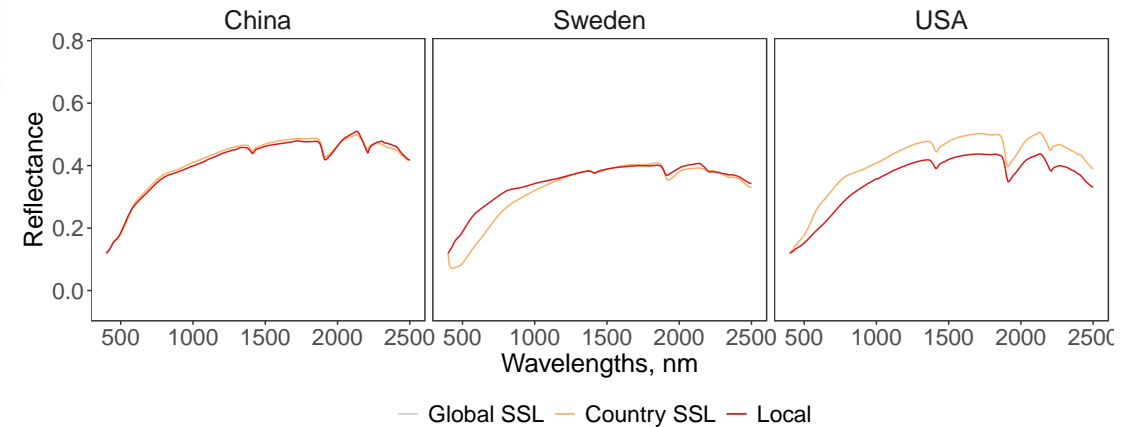
- Reusing feature representations

Localisation with Deep Transfer Learning (DTL)

Local soil organic carbon (SOC) modelling
Deep transfer learning for localising spectroscopic estimates of soil organic carbon at the farm-scale with a global soil spectral library (SSL).



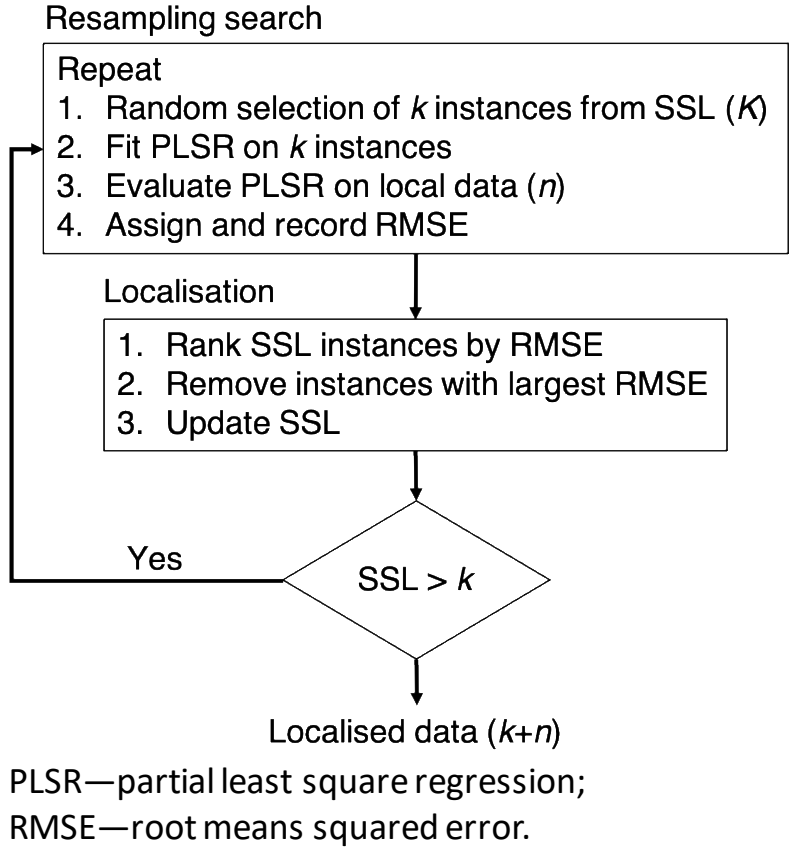
| | Dataset | # samples |
|-------|---------|-----------|
| SSL | Global | 50,422 |
| | China | 5,183 |
| | Sweden | 2,319 |
| | USA | 4,155 |
| Local | China | 135 |
| | Sweden | 108 |
| | USA | 216 |



Localisation with Deep Transfer Learning (DTL)

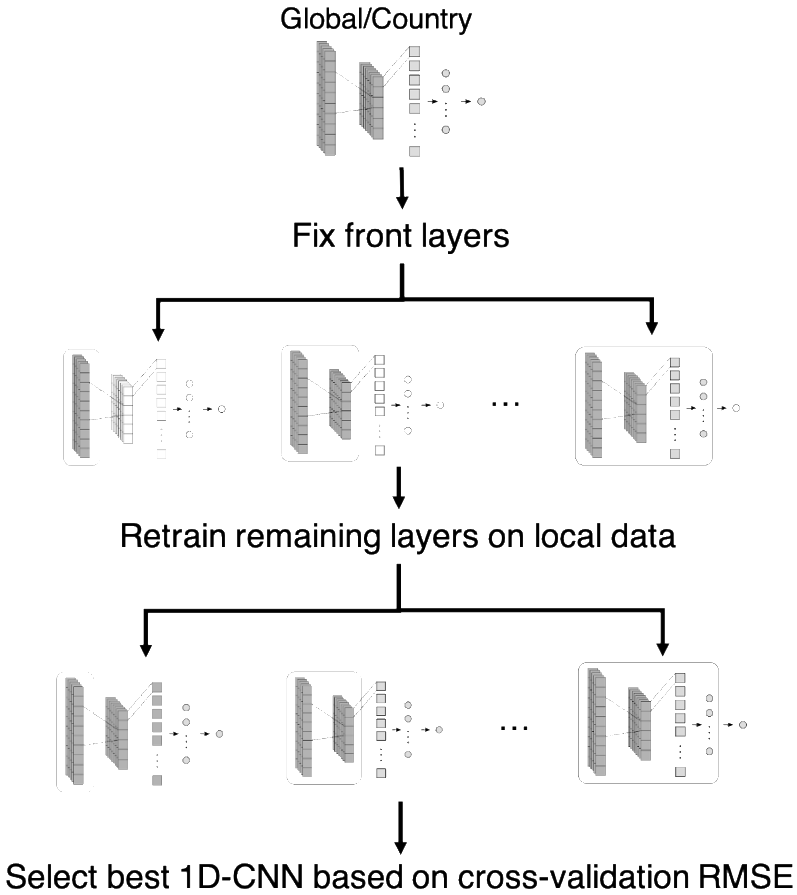
Transferring instances

RS-LOCAL-v2.0



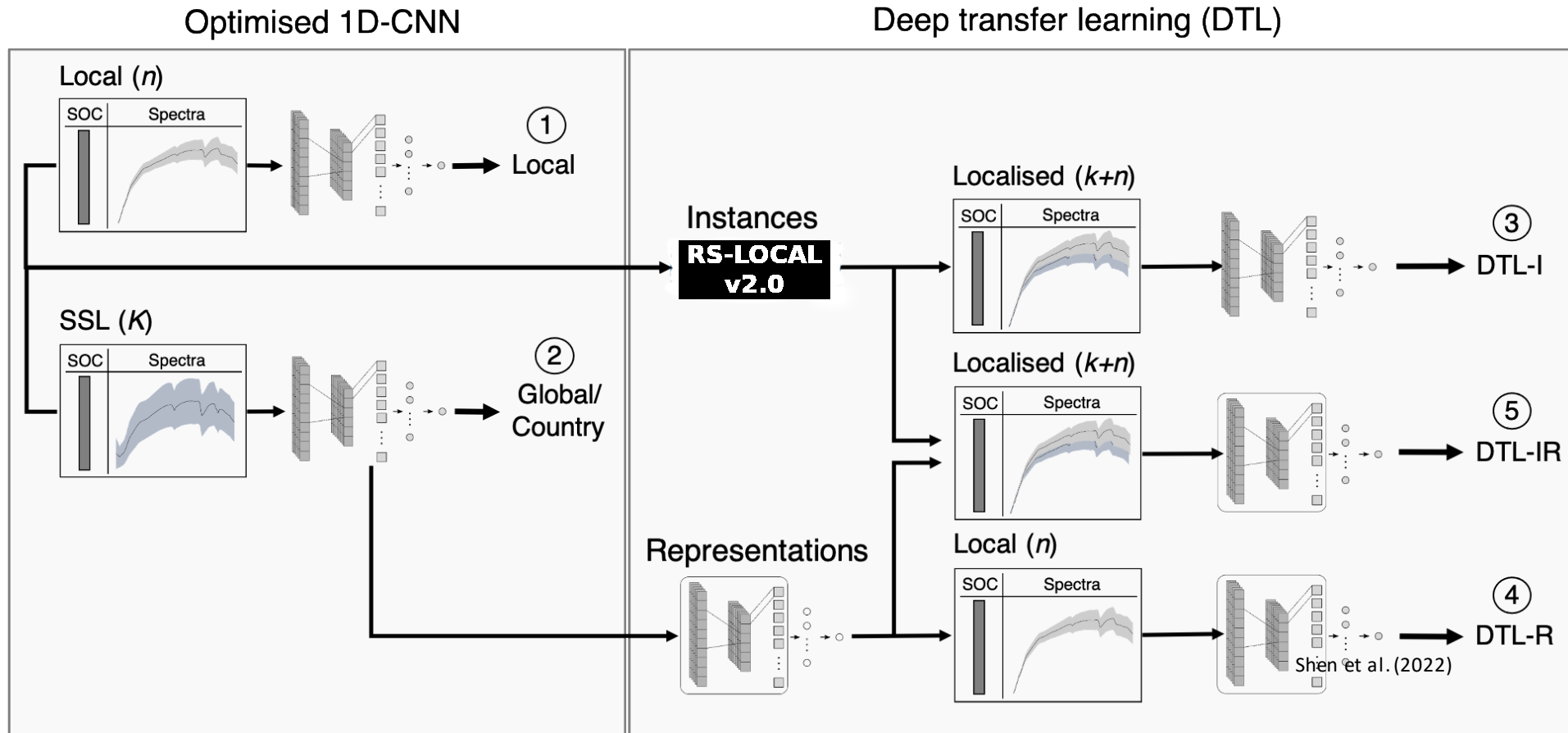
RS-Local-v2.0 selects relevant samples from SSL to augment local data for modelling.

1D-CNN and transferring representations



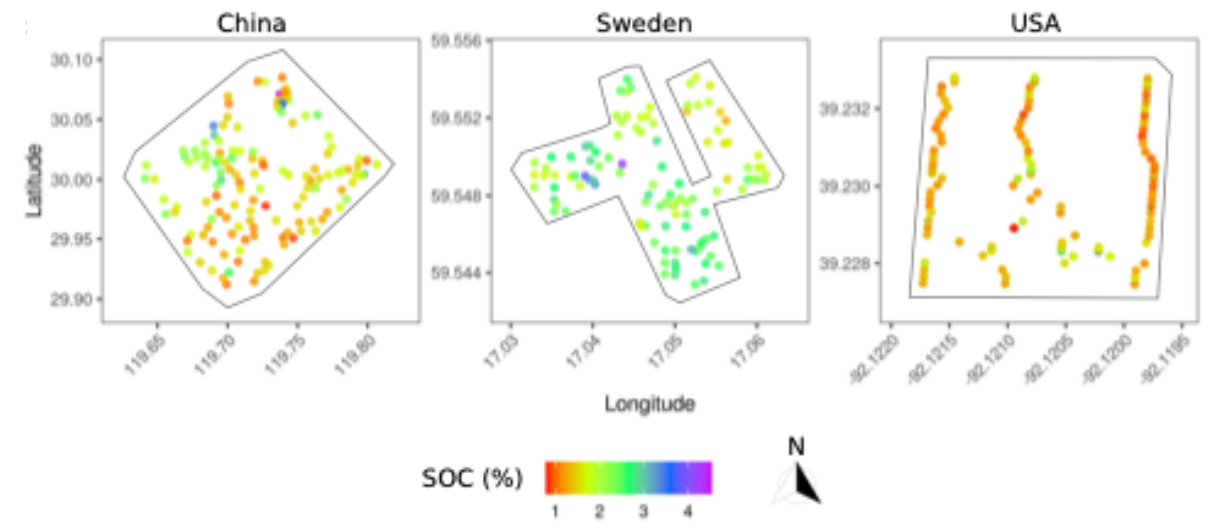
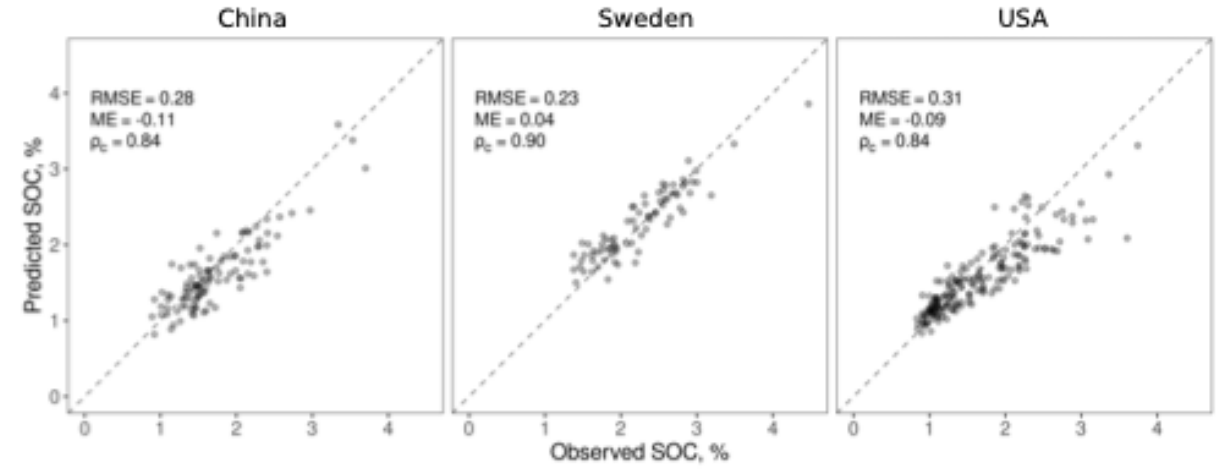
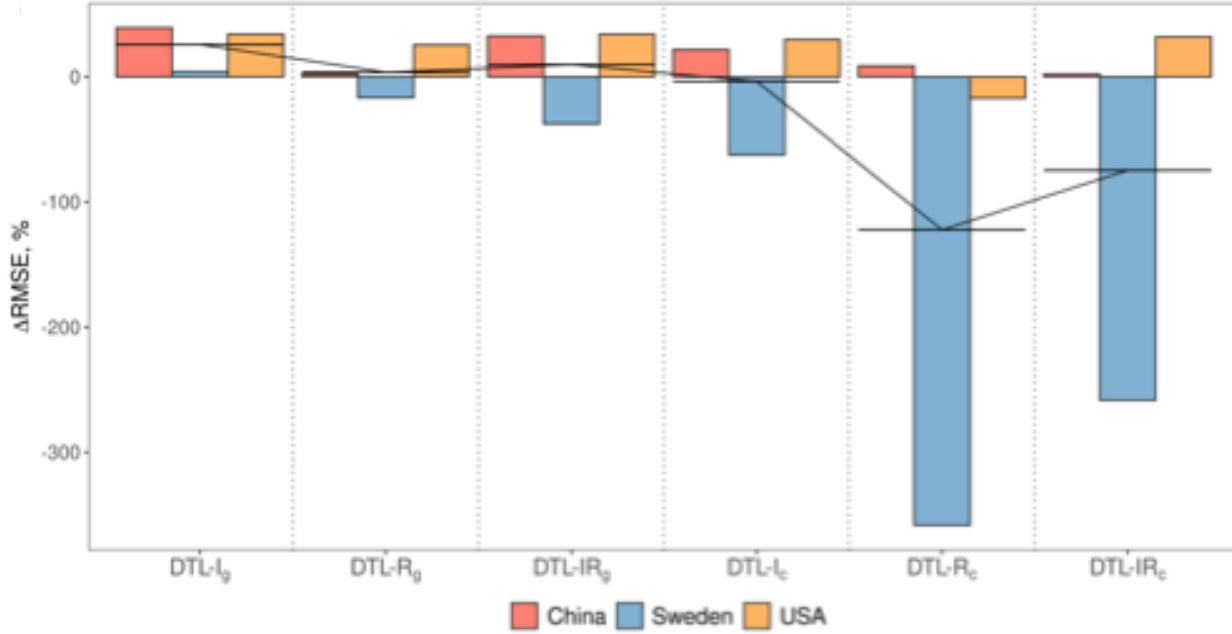
Lobsey et al., (2017), Shen and Viscarra Rossel (2021), Shen et al. (2022)

Localisation with Deep Transfer Learning (DTL)



- ① Local: 1D-CNN developed on local data ($n=30$).
- ② Global/Country: 1D-CNN developed on Global/Country SSL(s)
- ③ DTL-I: Deep transfer learning of Instances
- ④ DTL-R: Deep transfer learning of Representations
- ⑤ DTL-IR: Deep transfer learning of Instances and Representations.

Localisation with Deep Transfer Learning (DTL)



$$\Delta RMSE = (RMSE_{Local} - RMSE_{Other}) \times 100$$

- DTL-I from global SSL improved local SOC prediction accuracy by 25.8% on average.
- DTL-R and DTL-IR did not show consistent improvement.

ME—Mean error;
 ρ_c —Concordance correlation coefficient.

Take-home messages

- Soil spectroscopy is cost-effective for predicting soil properties.
- AI and machine learning are commonly used for soil spectroscopic modelling.
- Hyperparameter tuning is critical for obtaining accurate predictive models.
- Explainable Artificial intelligence (XAI) can help derive understanding of machine learning-based soil spectroscopic modelling.
- Transfer learning is the key for accurate local modelling with large spectral libraries.

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Thank you!

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